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Gas-assisted focused electron beam and ion beam processing and fabrication

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Beams of electrons and ions are now fairly routinely focused to dimensions in the nanometer range. Since the beams can be used to locally alter material at the point where they are incident on a surface, they represent direct nanofabrication tools. The authors will focus here on *direct* fabrication rather than lithography, which is indirect in that it uses the intermediary of resist. In the case of both ions and electrons, material addition or removal can be achieved using precursor gases. In addition ions can also alter material by sputtering (milling), by damage, or by implantation. Many material removal and deposition processes employing precursor gases have been developed for numerous practical applications, such as mask repair, circuit restructuring and repair, and sample sectioning. The authors will also discuss structures that are made for research purposes or for demonstration of the processing capabilities. In many cases the minimum dimensions at which these processes can be realized are considerably larger than the beam diameters. The atomic level mechanisms responsible for the precursor gas activation have not been studied in detail in many cases. The authors will review the state of the art and level of understanding of direct ion and electron beam fabrication and point out some of the unsolved problems. © 2008 American Vacuum Society.

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I. INTRODUCTION

The impressive development in micro-/nanofabrication tools and processes over the past half century has been closely linked to the integrated circuit (IC) industry. The drive has been to economically and reliably pattern as large an area as possible with ever finer dimensions. The minimum gate lengths in the most advanced chips are approaching the 32 nm regime, and the semiconductor industry projects that this figure will be cut at least in half before truly fundamental limits are reached. An enormous engineering effort over the past half century has resulted in the ability to “print” complex integrated circuits with nanometer dimensions cheaply and over large areas, albeit in factories that cost \$2 billion. The patterns on the surface of a wafer are defined in resist by, usually, optical lithography and then transferred to the substrate by some material alteration or removal technique. This is called “planar processing.” We will consider two “nonplanar” processes of surface alteration: focused electron beams and focused ion beams.

Nonplanar techniques of fabrication have been developed, whether for research applications, for potentially novel manufacturing, or, in special cases, as aids to the IC manufacturing process. The techniques for modifying a surface *locally* at micron and submicron dimensions or fabricating in

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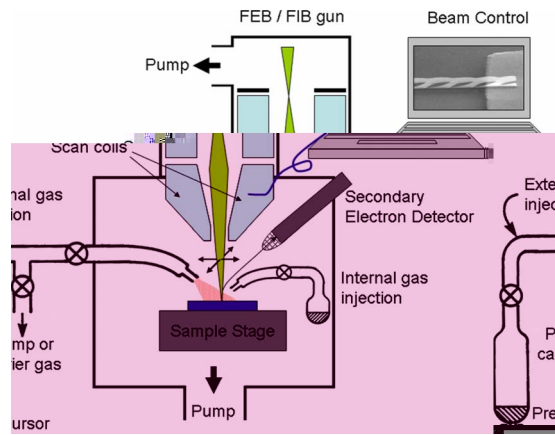


FIG. 1. Schematics of FIB and FEB nanofabrication systems. Gas injection systems (GIS) with external and internal precursor reservoirs are shown. Beam control is achieved by lithography software addressing pixels within the field of view for a defined dwell time.

three dimensions include laser beams, neutral atom beams, nanoprobe, as well as electron beams and ion beams. Nanoprobes are unique in that they have been used to move and place individual atoms as well as to locally modify a surface chemically or mechanically. On the other hand, photon, electron, and ion beams are generated remotely and impinge on the surface to deliver energy that directly removes or modifies the surface or, in the presence of an adsorbed precursor gas, induces a chemical reaction that deposits or removes material. The laser based processes were developed in the 1980s and are generally useful for patterning at dimensions above a micrometer.¹

A. Electron and ion beams

Focused electron and focused ion beams can have diameters below 10 nm and occupy a range between individual atom manipulation with scanning tunneling microscope probes and laser beams, both in dimensions and writing speed. They also have commonalities in how they are generated and used.

The apparatus used in both cases resembles a scanning electron microscope. A column about 30–50 cm tall and 15–20 cm diameter contains a “point” source of electrons or ions, charged particle lenses that focus this point on the sample at some energy, and means of deflecting or blanking off the beam. The range of energies is usually between 1 and 50 keV. The column is mounted on a vacuum chamber that has an *x-y* sample stage and in most cases has a means of introducing a precursor gas. A schematic of the configuration used for either electron or ion induced processes is shown in Fig. 1. The gas is introduced to the close proximity of where the beam is incident on the sample through a needle of ~ 1 mm diameter.

Anyone using a scanning electron microscope (SEM) has probably observed that the surface becomes contaminated with a film during exposure to the beam. The film is presumed to form from hydrocarbons that are present in the vacuum system, such as pump oil, which adheres to surfaces

and is altered by the electron beam. From the beginning of electron microscopy, the presence of contaminant gases and also the etching of such contamination in the presence of either water vapor or residual air had been observed.^{2–6} The contamination deposition phenomenon has, in fact, been exploited to write sub-100-nm features as early as 1976.⁷ Moreover, as early as 1960 gas (silicone oil vapor) was *deliberately* introduced into a vacuum system and bombarded with electrons to form a polymer film.⁸ It is surprising that only in the 1980s did researchers deliberately introduce gases other than pump oils into SEM chambers to deposit other materials.^{9–11} Although in the following years numerous precursor gases were demonstrated and various structures fabricated, the practical applications of electron beam induced processing as a micro-/nanofabrication technique did not grow rapidly until recently. This is partly due to the introduction of focused ion beams that were rapidly accepted as milling tools for micro- and nanofabrication.

The usefulness of a focused beam of ions as a micro-nanofabrication tool was pointed out as early as 1973.^{12,13} Using a conventional ion implanter as the source of ions, a 3 μm diameter beam was achieved and some of the possible applications were demonstrated. Due to the limited brightness of this type of source, the current density at the sample was only about 10^{-4} A/cm² so that any processing was very slow. Although 10^4 – 10^5 time brighter cryogenic sources were investigated soon thereafter,^{13,14} they were difficult to use and the practical focused ion beam (FIB) applications did not develop rapidly until the invention of the gallium liquid metal ion source.^{15,16} Resistless *electron beam* fabrication needs a precursor gas unless ultrahigh current densities are used, while ion beams can directly mill, implant, or damage material as well as deposit or etch in the presence of a gas ambient. Thus FIB applications were developed in the integrated circuit industry for photomask repair, fault diagnostics, circuit restructuring, and transmission electron microscopy (TEM) sample preparation. In research various other applications have been demonstrated, such as device fabrication by direct, maskless implantation, local damage, and high resolution secondary ion mass spectrometry (SIMS).

Recently electron beam direct fabrication has attracted increasing attention because of the unavoidable ion implantation and surface damage that accompanies any application of FIBs. In photomask repair, for example, Ga⁺ ions are implanted when chromium or other masking material is milled off. This reduces the optical transmission through the underlying quartz. As the wavelengths of the exposing radiation have decreased to 193 nm, and as the exposure tolerances have become more stringent, this loss of transmission is no longer acceptable. Consequently, electron beam induced etching and deposition using a precursor gas are being developed for mask repair.^{17,18} For other applications, such as circuit restructuring and fault diagnostics, FIB fabrication still plays an important role.

The review literature published so far comprises one review¹⁹ and one book²⁰ on gas assisted electron beam nanofabrication and Monte Carlo (MC) simulations that were

TABLE I. Properties of electron beam systems. The numbers quoted are very approximate. The minimum beam diameter in any system in practice depends also on electronic noise and vibration. As the beam acceptance angle is increased, the beam current and the beam diameter increase but over much of the range the current density incident on the sample remains approximately constant. Also as the beam energy is lowered, the beam diameter increases.

	Energy of beam (keV)	Minimum beam diameter (nm)	Current density (A/cm ²)	Remarks
SEM—field emission electron source	1–30	1–10	2000	Beam steering and blanking needs to be added for all SEMs.
SEM—LaB ₆ source	1–30	3–10	20	Processing results can be imaged immediately. Stage tilt available.
SEM—W source	1–30	5–10	2	
E-beam lithography	20–100	5–10	1000	No stage tilt. Sophisticated beam placement and blanking. Planar imaging.
STEM	200+	0.2	~10 ⁵	Restricted sample insertion.

published recently. A number of recent reviews exist for ion beam nanofabrication^{21–32} and two books;^{33,34} however, they treat mostly the fundamentals and applications of physical sputtering (milling) and review gas-assisted processes at a technological level or just very briefly.³⁵ The comparison of gas-assisted ion and electron beam processing also at a technological level was given in Ref. 36. Our review is different in that we focus on the fundamental aspects of *gas-assisted* material removal and addition in electron and ion beam processes. Because the applications of both beams often aim at similar goals and because a number of the fundamental aspects are similar, we treat both beams together.

B. Electron and ion beam properties

The sophisticated charged particle generation and manipulation apparatus in the electron and ion columns has been developed over many decades. We will not review this development here but rather concentrate on the properties of the beams incident on the samples, such as beam diameter, beam manipulation, current density, and energy. These parameters play a key role in the material removal, deposition, and alteration processes, which are our main focus.

1. Electron beams

The systems used to produce an incident electron beam of nanometer dimensions on a surface can be considered in three categories: SEMs, electron beam lithography systems, and scanning transmission electron microscopes (STEMs).

SEM's and electron beam lithography systems are in principle similar but have some different performance requirements. Both strive for minimum beam diameters below 10 nm and maximum current density in the beam. For lithography the beam energy is normally in the 20–100 keV range and beam stability or beam placement accuracy on the sample is of paramount importance. Genuine e-beam lithography systems including precision stages have not been, but certainly could be, used for the kind of processing we are considering. They are too expensive and are usually dedicated to only resist exposure. For SEMs the beam energy is usually in the 1–30 keV range and the minimum beam di-

ameter is near 1 nm. For the fabrication techniques of interest here, modified SEMs have been used because they are simpler, cheaper, and have easily accessible vacuum chambers. The modification needed is beam placement and scanning control that resembles e-beam lithography but is extended to cope with other exposure and blank strategies. STEMs operate at energies in hundreds of keV. The sample placement is usually quite restrictive since it has to be inside an electron lens. The properties of electron beam systems important to beam induced processing are summarized in Table I.

2. Focused ion beams

The FIB apparatus externally is very similar to the electron beam apparatus. In fact there are dual beam systems that have both an electron beam column and an ion beam column mounted on the same chamber.

A focused ion beam system can be regarded as composed of three parts: the source, the ion optical column, and the stage and beam control. The design and performance of these systems has been treated extensively elsewhere.³⁴

The ion source most often used today is the liquid metal ion source. It consists of a reservoir of liquid metal, which feeds the liquid metal to a sharpened needle, usually tungsten. This source has a high brightness, typically ~10⁶ A/cm² sr. The ion species used almost exclusively in the applications we are considering here is Ga⁺. Since the gallium ion has effects on the processing other than just energy delivery to the surface (damage, amorphization, and implantation), we need to mention other ion species that may turn out to be important in future ion beam processing.

Alloys can also be used, for example, Au/Si, Au/Si/Be, or Pd/As/B. The liquid alloy has to have a low enough vapor pressure to operate in vacuum, and not react with, yet wet, the needle. For the alloy a crossed-electric and magnetic-field filter is used in the column to select the desired ion species. Other species not available in liquid metal form, such as hydrogen or noble elements, may be desirable in many cases since they would not contaminate the sample the way Ga or other metal ions would. For these species the

TABLE II. Comparison of various ion sources. Unnormalized brightness is most often quoted in the literature. To get normalized (reduced) brightness divide by the extraction voltage typically about 10 kV. The total beam current and the beam diameter (usually taken as FWHM see below) depend on the acceptance angle, but the current density remains constant over much of the range.

Type of ion source	Ion species	Unnormalized brightness (A/cm ² sr)	Current density on sample (A/cm ²)	Minimum beam diameter (nm) (at 30 kV)	Ref.
Liquid metal	Ga ⁺	3 × 10 ⁶	10	10	15 and 16
Liquid metal alloy ^a	Au/Si/ Be	~10 ⁵ depends on the % of the desired species in beam	0.1–1.0	50	37
Gas field ion (supertip)	He ⁺	5 × 10 ⁹	1000	5	38 and 39
Gas field ion source	He ⁺	4 × 10 ⁹	...	0.6	40 and 41
Multicusp plasma	Kr ⁺	0.55 × 10 ³	1.2 × 10 ⁻²	100	42

^aFor a table of other alloys and references, see Ref. 32.

gas field ion source and the gaseous plasma source have been considered. The former, although brighter than the liquid metal, has to operate at cryogenic temperatures and is hard to use. The plasma source is orders of magnitude less bright than the liquid metal but relatively robust and easy to use. The plasma source has the advantage that many species of ions can be used and, in special circumstances, for example, when an intense beam is needed at larger dimensions, it may outperform the liquid metal ion source in spite of the limited brightness. The sources and their properties are summarized in Table II.

The details of the surface alteration effects that a beam of electrons or ions produces are determined by the spatial dependence of the current density (or particle flux) in the beam. The most important factors determining the current density distribution are the source of the particle current (ideally a point source) and the charged particle optical column, which ideally focuses this point source on the sample.

C. Characteristics of incident primary electron and ion beams

According to Fig. 2, for an astigmatism and aberration-

free incident beam, the central part of the radial flux distribution incident on a plane surface can be well described by a Gaussian,

$$f(r) = \frac{(I_p/e_0)}{2\pi a^2} \exp\left(-\frac{r^2}{2a^2}\right), \quad (1.1)$$

where $f(r)$ is in electrons or ions per unit area and time, a is the standard deviation, I_p is the beam current, and e_0 is the elementary charge. I_p can be measured in a Faraday cage. The standard deviation can be derived from knife edge measurements,^{43,44} resolution measurements of Au spheres on C,⁴⁵ or from the frequency spectrum of specifically defined patterns.^{46,47} The latter method and the knife edge method have the advantage of being an *operator independent* measurement. The frequency spectrum of images with non-specific patterns is also used but the relation of such determined beam size to the standard deviation depends on the (individual) signal to noise cutoff.⁴⁸ Different definitions of beam size are in use. For any incident peak function, one can define the widths at $1/e$, $1/e^2$ (e =Euler's constant), the full width at half maximum (FWHM), and full widths (FW) comprising 50% or 90% of all electrons or ions. For the

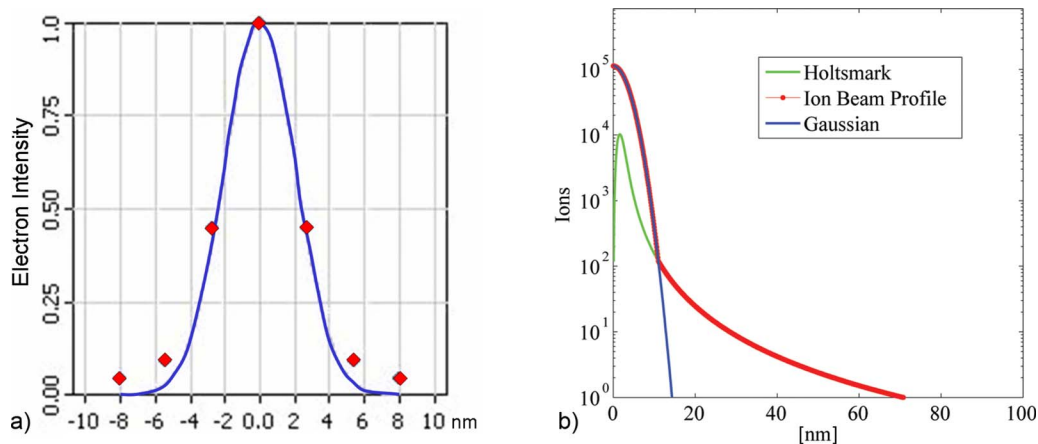


FIG. 2. Distribution of focused electron and ion beams. (a) Measured FEB distribution (20 keV): the solid line is a Gaussian with FWHM=4.4 nm. Courtesy of S. Babin, Abeam Technologies. (b) Simulated Holtzmark distribution for 30 keV Ga⁺ ions at 1 pA. The FIB has a FWHM=7 nm but extends to 70 nm. Based on Ref. 52, courtesy V. Callegari, Empa.

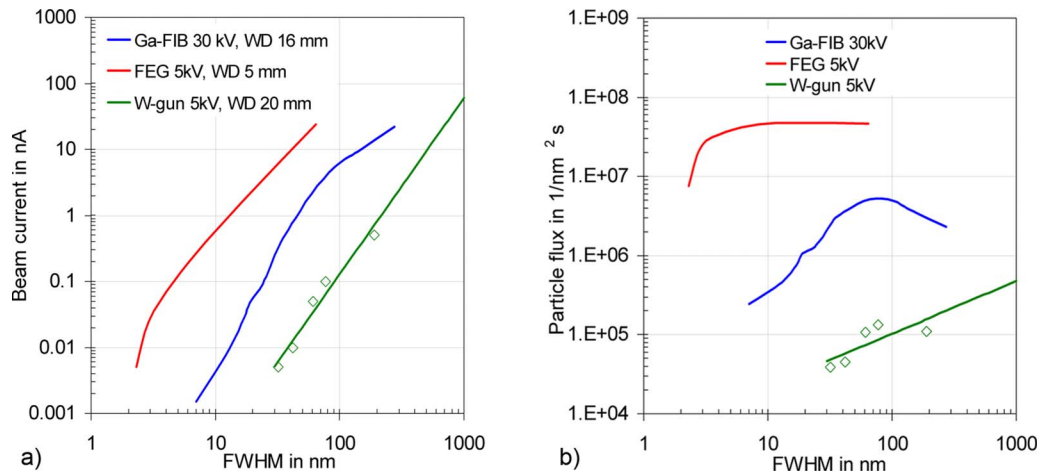


FIG. 3. Beam size of the FEI Novalab Dual Beam with a Schottky field emission gun (FEG) and a liquid Ga metal ion source (Ref. 54) and a Hitachi S3600 with a thermionic W filament. WD stands for working distance. (a) Maximum beam current vs beam FWHM. Symbols represent measured values (from frequency spectrum) extrapolated with a $I_p = d^{8/3}$ dependence. (b) Corresponding average incident particle flux.

Gaussian distribution [Eq. (1.1)] the widths become $W_{1/e} = 2a\sqrt{2}$, $W_{1/e^2} = 4a$, $\text{FWHM} = 2a(2 \ln 2)^{1/2} \approx 2.355a$, $\text{FW50} = \text{FWHM}$, and $\text{FW90} = 2a(2 \ln 10)^{1/2} \approx 4.292a$. The long low-intensity tails of the radial ion beam distribution^{49,50} [see Fig. 2(b)] can be modeled with a Holtsmark distribution arising from the Coulomb repulsion between charged particles.⁵¹ The FIB tails are responsible for the halo deposition and halo etching (overspray) around exposed areas and may affect the fabrication of closely spaced structures.

The main characteristics of charged particle beams are the acceleration (or landing) voltage, the current, and the beam diameter impinging on the substrate. The smallest beam diameter is obtained for the highest energy and smallest working distance. However, in typical particle beam machining setups, the working distance is larger to provide space for the precursor supply and thus not minimized to obtain the best resolution. Furthermore, the beam energy of choice corresponds to the best deposition or etching results, which is not necessarily the highest energy available from the optical columns. Thus the beam profile corresponding to the experiment should be measured with the above mentioned methods. Calculated examples for the influence of the beam acceleration voltage on beam diameter can be found in Refs. 34 and 53.

Figure 3(a) shows beam current versus beam diameter curves for a state of the art dual beam machine with field emission gun and liquid metal Ga ion source and for a tungsten filament SEM. Average fluxes of primary electrons or ions were calculated as $f = (I_p / e_0) / (\pi d^2 / 4)$, $d = \text{FWHM}$, and are presented in Fig. 3(b). A typical range of $f_0 = (10^4 - 5 \times 10^7) \text{ nm}^{-2} \text{ s}^{-1}$ can be identified for scanning electron and scanning ion microscopes. The best FWHM for Ga-FIB is reported to be 5 nm at 35 keV and 2 pA.⁵⁵ For focused electron beam (FEB) the FWHM for >100 keV high-energy beams, usually used in STEMs, can be smaller than 1 nm. The beam current defines the average time between the arrivals of two subsequent incident particles (e_0 / I_p); for 1 nA it is about 0.2 ns. The average time between particle arrivals

on the surface may be important for both electrons and ions. If the surface activation produced by one particle has not decayed to equilibrium before the next particle arrives, one will likely observe dose rate effects.

II. PRINCIPLES AND FUNDAMENTALS OF GAS-ASSISTED FEB AND FIB DEPOSITION AND ETCHING

Conceptually, etching and deposition induced by FIB and FEB is considered as a reaction with surface adsorbed molecules having second order kinetics. Hence the dissociation rate is proportional to the surface density of both molecules and electrons or ions, see Fig. 4. The proportionality implies that any other possible elementary intermediate reactions leading to the final dissociation product occur on a time scale that is fast compared to the time of two successive charged particle impacts.

In a system with rotational symmetry, the vertical FEB deposition or etch rate $R(r)$ (in units of dimension per unit time) as a function of the distance r from the center of the primary electron (PE) beam is for steady state,⁵⁶

$$R(r) = Vn(r) \int_0^{E_0} \sigma(E)f(r,E)dE, \quad (2.1a)$$

where V is the volume of the *decomposed* molecule or etched atom, $n(r)$ is the number of adsorbed molecules per surface unit, $\sigma(E)$ is the energy dependent electron impact dissociation cross section (leading to deposition of nonvolatile fragments or to volatile etch products depending on the molecule), and E_0 is the energy of the incident PEs. The flux distribution $f(E, r)$ is a convolution of the incident primary beam with the emitted secondary and backscattered electrons and their respective energy spectrum.

Similar considerations apply for FIB where the spatial distribution being responsible for molecule dissociation is a convolution of the primary beam distribution with the distribution of excited surface atoms generated by the collision

cascade⁵⁷ (see Sec. II A 1. As shown in Fig. 5, for the FIB deposition and etching rate an additional physical sputter term must be taken into account. Frequently the “FIB” notation of Eq. (2.1a) is in terms of yields,

$$Y_{\text{net}} = Y_{\text{ch}} \pm Y_S, \quad (2.1b)$$

where Y_{net} is the net deposition or etch yield, Y_{ch} is the chemical deposition or etch yield due to dissociation of the molecule, and Y_S is the physical sputter yield. The plus sign applies for gas-assisted FIB etching, whereas the minus sign holds for gas-assisted FIB deposition. The dependence on energy and radius is not explicitly noted anymore since the yields are given in units of dissociated or sputtered atoms per *incident* ions. The conversion of the sputter yield into a sput-

ter rate R_S is differently formulated in literature for FIB. In the case of FIB induced deposition, the physical sputter rate is assumed to be independent of the number of adsorbed precursor molecules⁵⁸ and $R_S(r) = Y_S V f(r)$. In the case of gas enhanced etching, the physical sputter rate becomes adsorbate dependent and is inversely proportional to the adsorbate coverage⁵⁹ $R_S(r) = Y_S V f(r) (1 - n(r)/n_0)$, where n_0 is the density of a complete monolayer. Conversion of the chemical deposition or etch yield into a chemical deposition or etch rate yields $R_{\text{ch}}(r) = Y_{\text{ch}} V f(r) \cdot n(r)/n_0$, i.e., the chemical rate is proportional to the adsorbate coverage. Using the relation $\sigma = Y_{\text{ch}}/n_0$, the FEB notation $R_{\text{ch}}(r) = \sigma V f(r) \cdot n(r)$ of Eq. (2.1a) is obtained (without explicit notation of energy). Of note is that the chemical reaction yield for *deposition* must override the physical sputter yield in order to get a (visible)

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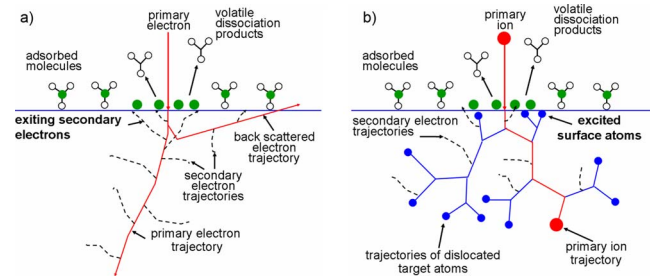


Fig. 6. (a) Scheme of interactions of primary electrons generating an emitted flux of secondary electrons and backscattered electrons. All electrons can dissociate surface adsorbed molecules via electronic excitation. (b) Scheme of interactions of primary ions generating secondary electrons and a collision cascade of substrate atoms. The nonsputtered target atoms remain as excited surface atoms contributing to molecule dissociation (modified from Ref. 57).

density given by the inverse of the molecule size. This adsorption type accounts for surface sites already occupied by nondissociated precursor molecules and limits the coverage to n_0 . The parameters $n=n(r,t)$ and $f=f(r,t)$ are considered time and position dependent.

Solving Eqs. (2.1a), (2.1b), and (2.2) during FEB or FIB processing would allow predicting the shape evolution of deposits and etch holes or, in other words, spatial deposition and etch rates. The above sketched concept does not include irradiation processes in the bulk of deposits due to penetrating electrons nor any gas phase reactions. In this section we consider in detail the parameters and processes involved in Eqs. (2.1a), (2.1b), and (2.2) before we discuss their analytical and numerical solutions in Secs. III and IV.

A. Nonlocal surface effects due to electron and ion interactions

Besides the direct interaction with the beam, the dissociation of surface adsorbed molecules involves substrate medi-

ated effects generated by the interaction with the incident beam. These nonlocal effects for FEB are due to the secondary and backscattered electrons, whereas for FIB the effects are due to excited surface atoms (see Fig. 6).

1. Interaction mechanisms

Secondary electrons (SEs) are produced between inelastic collisions of weakly bound outer shell valence electrons of semiconductors and insulators or weakly bound conduction band electrons of metals with the PEs. They are generated along the entire trajectory path of the primaries. However, only a few of these created secondary electrons can escape the substrate surface. They have exit energies situated around a peak of a few eV [see Fig. 7(a)]. The secondary electron escape depth depends on its initial energy transferred in an inelastic collision, the substrate material (composition), and the work function. It is in the order of a few nanometers. Backscattered electrons (BSEs) are reflected primary electrons due to elastic collisions having energy peaks situated near the primary electron energy and “escape” from a much larger range depending on energy given in Appendix A.

Incident primary ions also incur inelastic and elastic collisions, which are often referred to as electronic and nuclear collisions in the FIB community. Heavier ions such as gallium lose a significant fraction of their energy in nuclear collisions. As a consequence they have a very short range compared to electrons (see Appendix A) and dislocate many substrate atoms along their trajectory. The dislocated atoms themselves further collide with neighboring substrate atoms in nuclear collisions and initiate collision cascades giving rise to sputtering and a distribution of excited surface atoms around the incident primary ion beam [see Fig. 6(b)]. Secondary electrons are generated during inelastic collisions of primary ions. For Ga ions impinging with 1–30 keV onto

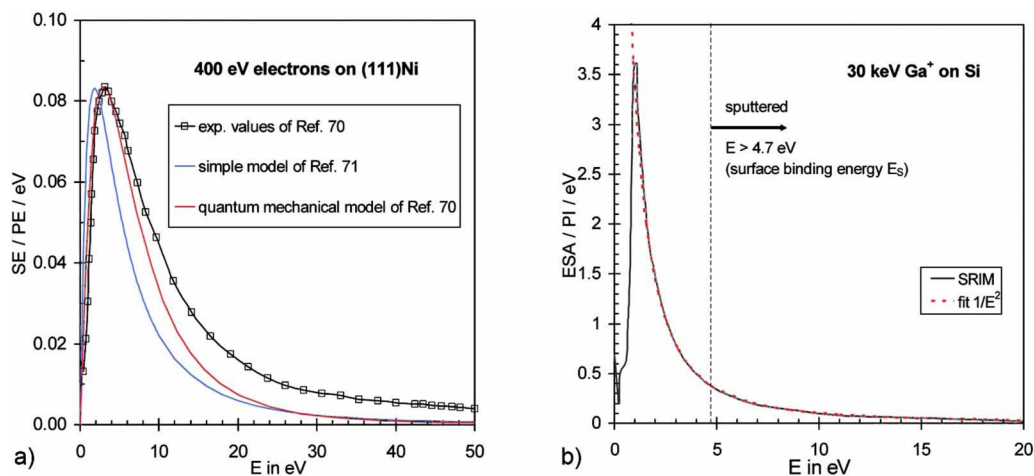


Fig. 7. Secondary electron (SE) energy spectrum per primary electron (PE). Comparison of experimental data of Ni (Ref. 70) with the quantum mechanical electron reflection model of Schaefer and Hoelzl (Ref. 70) and the model of Chung and Everhart (Ref. 71). The surface below the experimental curve was set to the secondary electron yield=1.1 of Ni at $E_0=400$ eV. (b) Energy spectrum of excited surface atoms (ESA) per primary ion (PI). Monte Carlo simulation [SRIM (Ref. 73)] of the energy normal to the surface. The nonsputtered dislocated Si atoms constitute the excited surface atoms responsible for dissociation (surface below the curve, $E < 4.7$ eV). At 30 keV, the ESA yield is $Y_{ESA}=5.5$ Si/Ga ion and the sputter yield is $Y_S=2.6$ Si/Ga ion.

silicon, the electronic loss is about one order of magnitude smaller than the nuclear loss, whereas for He ions it is reverse (see Appendix B).

For gas-assisted FEB processing, it is still an open debate to which electrons the dissociation can be attributed. In certain experiments it is mostly attributed to the secondary electrons.^{60–65} However, also the primary electrons are reported in some cases to dominate or to contribute to the process.^{62,66} In our opinion, the dominance of secondary or primary electron interaction with molecules or, in other words, the dominance of the high-energy part of the spectrum against the low energy part of the spectrum in dissociating molecules must be verified from case to case.

For gas-assisted FIB processing, it was shown experimentally that the chemical deposition yield is linearly proportional to the number of surface atoms excited by the collision cascades.^{57,67} A proportionality of the secondary electron yield with the chemical deposition yield was also experimentally observed for FIB platinum deposition.⁶⁰ However, an analysis of the data indicates that this mechanism is unlikely. To attribute magnitude of the dissociation yield to the secondary electrons emitted due to the ion incidence reported in this work, the yield per secondary electron from ions would have to be 23 times higher than the yield for secondary electrons emitted due to electron bombardment. Moreover, data available on the secondary electron yield of metals due to noble gas ion bombardment show that the secondary electron yield decreases with increasing ion mass.⁶⁸ For example, in the case of silver, the secondary electron yield for 10 keV xenon ions is about four to five times lower (depending on crystalline orientation) than for 10 keV neon ions. The situation is similar in most metals. Nowhere in the tabulated cases does a secondary electron yield an increase going from neon to xenon. However, the dissociation yield for gold deposition has been shown to *increase* significantly in going from neon to xenon in the range of 2–10 keV.⁵⁷

There is evidence that the mechanism of gas enhanced FIB etching is similar to the mechanism for FIB induced deposition. Measurements of the etch rate of SiO₂ by all of the noble gas ions and XeF₂ appear to correlate with the stopping power and the ion mass.⁶⁹ Since both sputter rate and ion induced deposition rate also correlate with the stopping power,⁵⁷ the gas-assisted ion etching mechanism is also likely to be explained by the same collision cascade model.

2. Energy spectra of emitted electrons and of activated surface atoms

Electrons incident on a substrate generate secondary electrons. An experimental secondary electron energy spectrum generated by 400 eV primary electrons incident on Ni⁷⁰ is shown in Fig. 7(a). The energy spectrum is characterized by its peak energy and FWHM. Experimentally, for clean metal surfaces the peak energy was shown to vary between 1 and 5 eV and the energy FWHM within 3–15 eV.⁷⁰ A highly idealized model for the secondary electron energy distribution of Ref. 71 gives the simple relation $f_{SE}(E) \sim E/(E + \Phi)^4$, where E is the energy of the secondary electrons and

Φ is the work function of the substrate. The $1/E^3$ decay for higher energies fits the data well but a large mismatch with the experimental peak position is found. Better fits to experiments are obtained from the solution of the Boltzmann equation and the consideration of electron reflection⁷⁰ [see Fig. 7(a)]. The energy dependence of the secondary electron spectrum is independent of the PE energy for energies >100 eV,^{71,72} but the secondary electron yield changes. The secondary electron yield Y_{SE} , in secondary electrons per primary electron [or often δ (%) in “SEM” literature], is defined as the integral of the curve in Fig. 7(a) within the energy range $0 \leq E \leq 50$ eV. The integral for $50 \text{ eV} \leq E \leq E_0$ (not shown in fig. 7(a).) gives the backscattered electron yield Y_{BSE} [often η (%) in “SEM” literature].

For electron impingement, the secondary electron yield versus incident energy follows a universal curve,⁷⁴ according to which the normalized yield has its maximum for most materials around 1 ± 0.5 keV. This curve is frequently used to scale secondary electron yields in Monte Carlo simulations. However, it must be critically noted that agreement with secondary electron yields does not mean that the spectrum is correctly simulated. In fact, it *strongly* depends on the generation mechanism^{45,75} varying already for different pure bulk metals (inner shell excitation, plasmon losses, and conduction electron excitation). For typical FEB and FIB fabricated compound compositions and composite substructures like in Figs. 43 and 44, the *status quo* of the secondary electron generation and thus its energy spectrum is *unknown*. Furthermore, electrical charging can also alter the secondary electron spectrum drastically and suppress the low energy part.^{76,77} We stress these points here as it implies limits on the reliability of Monte Carlo (MC) simulations discussed in Sec. IV.

Secondary electron yields for electron irradiation increase with atomic number of the target material. For yields of secondary and back scattered electrons with specific targets and e-beam energies, we refer the reader to a comprehensive database.⁷⁸ For *in situ* determinations of both yields, see Ref. 79.

Ions incident on a substrate generate (among others) excited surface atoms. The spectrum of excited surface atoms is characterized by an energy peak situated at a few eV and a $1/E^2$ decay⁵⁷ with increasing energy [see Fig. 7(b)]. There are no experimental values available, which allow verifying the MC simulations. Excited surface atoms with energies higher than the surface binding energy E_S (approximately the heat of sublimation) can leave the substrate and are thus sputtered. The area below the curve in Fig. 7(b) for $E > E_S = 4.7$ eV is equal to the sputter yield Y_S , and the area for $E < E_S$ gives the yield of excited surface atoms Y_{ESA} . Whereas the yields change with primary ion energy, the energy spectrum does not change considerably with incident energy according to SRIM simulations.^{73,80} In addition to excited surface atoms, secondary electrons are also produced by incident ions, which are used for imaging the samples. All yields are summarized for two incident energies on Si and compared for Ga ions and electrons in Table III.

TABLE III. Comparison of yields for electron and ion irradiation of silicon with 5 and 30 keV incident energies. Yields are in units per primary ion or per primary electron. Y_{ESA} =yield of excited surface atoms, Y_S =physical sputter yield, Y_{SE} =secondary electron yield, and Y_{BSE} =backscattered electron yield.

	E_0 (keV)	Y_{ESA}^a	Y_S^a	Y_{SE}	Y_{BSE}
Ga^+	30	5.5	2.6	3.3 ^b	...
e^-	30	...	0	0.06–0.19 ^c	0.15–0.18 ^c
Ga^+	5	4.3	1.7	2.5 ^b	...
e^-	5	...	0	0.18–0.54 ^c	0.09–0.19 ^c

^aCalculated from SRIM simulations (Ref. 73).

^bFrom Ref. 60.

^cFrom Ref. 78.

Table III shows that for silicon secondary electron yields by ion irradiation are about a factor of 5 to 50 higher than by electron irradiation.

3. Radial density distribution of surface interactions

For both electron beam and ion beam induced processes, the minimum dimensions of the structures that can be produced are larger than the incident beam diameters. The radial flux distributions of the emitted secondary and backscattered electrons and of the excited surface atoms determine the minimum dimensions of the structures that can be fabricated. Calculations of these radial distributions rely on Monte Carlo simulations. Figure 8(a) shows the radial flux distributions for electron bombardment of bulk silicon with a zero diameter beam. The emitted secondary electron distribution comprises all electrons with an exit energy ≤ 50 eV and the backscattered distribution all electrons with energies ≥ 50 eV until the incident electron energy. The MC program MOCASIM (Ref. 81) was used and works with small-angle and large-angle Mott cross sections for inelastic energy losses and with Gryzinski and Moller cross sections (inner shell excitation)^{82–85} for inelastic collisions. It applies a straight line approximation with exponential decrease for secondary electron generation and parametrized escape depths and exit energies.^{86,87} The radial density of secondary electrons is one to two orders of magnitude larger than backscattered elec-

trons near the beam center. The radial distribution of backscattered electrons extends to the range R_{BSE} as defined in Appendix A. A broad contribution of secondary electrons being generated from backscattered electrons is also visible. Note that the long tails of the distributions are not generated when using membrane substrates. According to Fig. 8(b), the emitted secondary electron flux contains a very peaked contribution at $r=0$ with a FWHM in the order of 0.1 nm due to secondary electrons generated by incident primary electrons. The strong confinement is due to the small average exit depth limiting the exit cone of secondary electrons to small angles around the penetrating primary electron beam. At the full width containing 50% of secondary electrons, the flux decays by almost two orders of magnitude. The central region of the distribution can be fitted, for instance, with $f_{SE}(r) = A \exp(-Br - C/(r+D))$.⁵⁶ Convolution of curves in Fig. 8 with an incident beam distribution gives the real emitted radial distribution.

Analogous MC simulations for excited surface atoms generated by incident primary ions could be performed but we would like to mention an experimental approach to estimate the extent of this distribution proposed by Ref. 67: from measured chemical FIB deposition yields Y_D [deposited metal atoms/ion], the diameter of the excited surface atom distribution is obtained by calculating how much surface the number of decomposed molecules initially covered, namely,

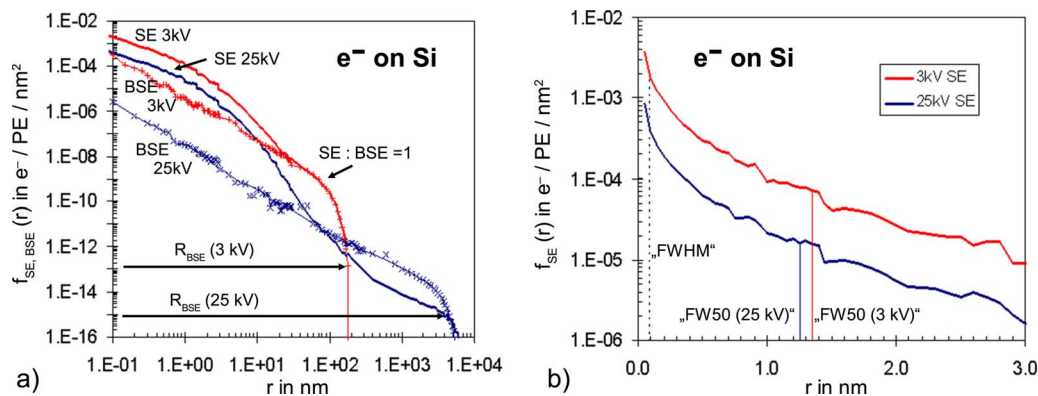


FIG. 8. MC-simulated radial distributions of emitted secondary electrons (SEs) and backscattered electrons (BSEs) per primary electron (PE): Zero diameter electron beam impinging normal with 25 and 3 keV at $r=0$ on planar bulk silicon. The SE exit depth was taken as 5.2 nm and the mean exit energy as 70 eV. (a) Double-log plot showing the SE distribution tails extending to the range of backscattered electrons R_{BSE} . (b) Log plot of the secondary electron flux. Comparison of the FWHM and FW50 (full width containing 50% secondary electrons).

TABLE IV. Estimates of nonlocal effect ranges due to emitted secondary electrons (d_{SE}) and excited surface atoms (d_{ESA}) according to Eq. (2.3) using measured deposition yields Y_D or chemical etch yields Y_{etch} . The molecule diameter δ_m is taken from table VI. The deposition yield Y_D is not uniquely define in literature. Here we adapt the definition of Dubner (Ref. 57), which corrects for the simultaneous sputtering of the deposit.

Particles	E (keV)	Molecule	m	δ_m (nm)	Y_D, Y_{etch}	d_{ESA}, d_{SE} (nm)
Kr+	50	(CH ₃) ₂ Au(tfa)	1	0.35	24 ^a	1.7
Ga+	42	W(CO) ₆	1	0.33	5.3 ^b	0.8
Ga+	25	I ₂ (Si etch)	2	0.5	40 ^c	6.3
e^-	5	MeCpPt(Me) ₃	1	0.4	0.001 ^d	<0.1
e^-	25	(hfac)CuVTMS	1	0.8	0.02 ^e	0.1

^aReference 67.

^bReference 68.

^cReference 89.

^dReference 90.

^eReference 91.

$$d_{ESA} \cong m^{-1} Y_D^{1/2} \delta_m, \quad (2.3) \quad (1)$$

where δ_m is the molecule diameter and m is the metal stoichiometry in the molecule. This approach assumes one complete adsorbed monolayer. If this is not the case, the range would become larger. This approach can be extended to both gas-assisted FIB etching (where m^{-1} would now denote the number of molecules involved in the etch reaction) and FEB deposition and etching (see Table IV).

From Table IV and Eq. (2.3) follows that the size of the excited surface atom distribution is in the sub-10-nm range; however, to our knowledge this resolution has not yet been experimentally verified by gas-assisted FIB experiments. Of note is that sub-5-nm resolution was obtained for FIB *milling* (without gas) on membranes.⁵⁵ The size of the emitted secondary electron flux is in the sub-1-nm range, which is in accordance with the FWHM value obtained from MC simulations [see Fig. 8(b)]. The subnanometer-resolution for gas-assisted FEB was already demonstrated with deposition from W(CO)₆.⁹²

B. Electron interaction with molecules

There are numerous interaction mechanisms during electron impact on molecules, such as dissociation, stimulated desorption, polymerization, and sputtering. For most mechanisms further submechanisms can be identified. Each is described by an energy dependent cross sections $\sigma(E)$. However, irradiation data of relevant molecules used for FEB and FIB deposition and etching are very rare.

On the other hand, the knowledge of the cross section is crucial in gaining full control over the purity, the throughput, and the precision of the FEB and FIB gas-assisted nanoscale fabrication process. It depends on the cross section's energy dependence which part of the energy spectrum and its respective radial distribution will determine the dissociation rate, ultimate resolution, and purity of the deposit or etch process.

Due to the lack of data mainly two practical approaches are pursued:

- (1) The first approach is to determine one constant deposition or etch cross section from FEB or FIB experiments at a given incident energy. Thereby the wealth of irradiation induced processes due to the emitted energy spectrum is condensed into one measurable physical parameter. Evidently, the unique identification of the governing interaction channel for deposition or etching is not answered from this approach. Nevertheless, a reproducible material system parameter under specific irradiation conditions is obtained. This approach is discussed in more detail in Secs. III B and III D.
- (2) The second approach adapts the very few obtainable energy dependencies from gas phase measurements and melds them into a generic type of energy dependence for use in MC simulations. However, it remains an open question if the chosen interaction channel with its energy dependence is characteristic for the deposition or etch experiment.

In this section we briefly review energy dependencies of cross sections from gas phase measurements and adsorbed molecules. Gas phase interactions with charged particles can occur above the substrate (see Sec. II D 4) and have been studied in detail. Interaction channels with adsorbed molecules are similar but often reduced due to additional relaxation paths with the surface.

1. Electron interaction with gas phase molecules

The electronically excited dissociation of a few halogenated etch gas molecules relevant in semiconductor plasma processing by electron impact was reviewed by Christoprou and Olthoff.⁹³ Figure 9 shows three dissociation mechanisms for a CF₄ gas phase molecule: (a) The dissociative electron attachment is a resonant interaction in an energy window close to the emitted secondary electron intensity peak. It has its peak value when the electron energy matches the energy of the lowest unoccupied molecular orbital. It was shown for more complex alcohol molecules that dissociative

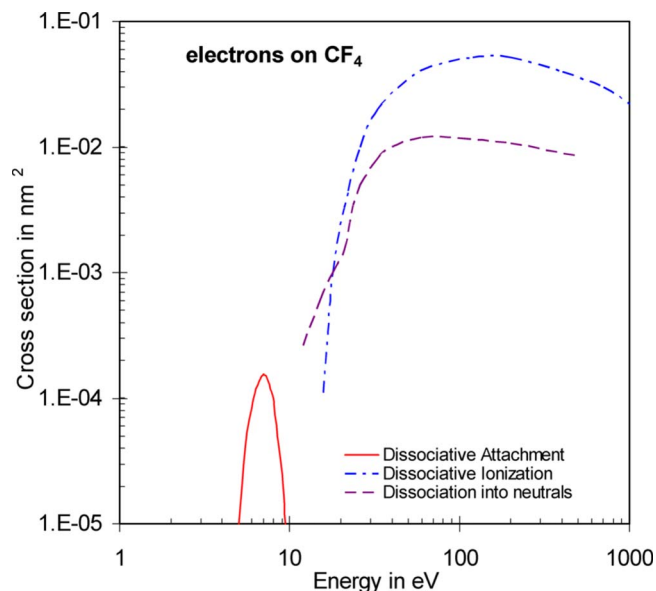


FIG. 9. Electron impact total cross sections for gas phase CF_4 (reproduced from Ref. 92).

electron attachment is state selective, i.e., depending on the incident electron energy the hydroxyl group is dissociated (6.5 eV) or the alkyl groups (8–9 eV).⁹⁴

(b) The cross section for direct dissociation into ions has a shape with threshold energy at >10 eV corresponding to the ionization energy. The peak value is situated around 70–100 eV and decays with increasing energy. (c) The cross section for dissociation into neutrals has the same features. The threshold energy is related to the molecule bond enthalpy (or dissociation energy) being situated at around 10 eV. Each of the electron impact dissociation mechanisms can lead to several dissociation products (or can have several dissociation channels), which were also studied in detail for the molecule CF_4 .⁹⁵ The sum over all channels for one mechanism gives the total cross section of this mechanism.

The energy dependence of the non resonant cross sections shown in Fig. 9 has been described by different models, such as the binary-encounter-Bethe model for ionization⁹⁶ or by generic equations for dissociation of hydrocarbons.⁹⁷ Both are mainly used for MC simulations.^{20,66}

The variety of fragments due to dissociative ionization as function of energy of a relevant metal containing molecule, $\text{Co}_2(\text{CO})_8$, is shown in Fig. 10 and was obtained from mass spectrometry measurements.⁹⁸ It becomes evident that it is difficult to generate a simple generic cross section that adequately simulates the deposition process.

Other spectra for other carbonyls can be found in Refs. 98–102 and for $\text{Ni}(\text{PF}_3)_4$ in Ref. 103. The onset of each ionic fragment curve corresponds to its appearance potential (ionization energy threshold).

Mass spectra taken at constant energy (mostly 70–100 eV) of most molecules can be accessed in databases. They figure relative abundances of ionic fragment species. As an estimate which deposit composition can be expected from dissociative ionization, we propose to sum up the com-

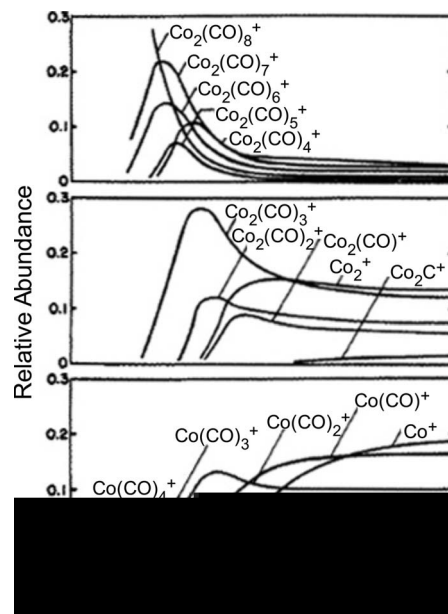


FIG. 10. Relative abundance of positively charged ionization fragments from a gaseous $\text{Co}_2(\text{CO})_8$ parent molecule vs electron impact energy E_0 . The decomposition of $\text{Co}_2(\text{CO})_8$ proceeds in a series of successive CO group removals with specific threshold and peak energies. For better visibility the fragments are grouped into three graphs starting with the successive removal of CO groups from $\text{Co}_2(\text{CO})_8$ (top and middle graph). The bottom graph shows successive CO group removal from the monomer ion $\text{Co}(\text{CO})_4^+$ (reproduced from Ref. 98).

positions of all *nonvolatile* species from the mass spectrum weighted by their respective relative abundances. For example, this approach would suggest for $\text{Co}_2(\text{CO})_8$ that the FEB deposit contains 22 at. % Co and 39 at. % C and O or has a stoichiometry of about $\text{Co}_2(\text{CO})_4$. The metal content is close to experimental findings at low beam currents and low-aspect-ratio deposits where beam heating effects can be excluded.¹⁰⁴ Also for FEB deposition using $[\text{RhCl}(\text{PF}_3)_2]_2$ as a precursor, an accordance of the mass spectrum and the composition is found.¹⁰⁵ However, this estimate will not work for molecules, the dissociation channel of which is not dominated by dissociative ionization.

2. Electron interaction with adsorbed molecules

A major difference that distinguishes electron irradiation processes at surfaces from gas phase dissociative processes is that the underlying material provides additional channels for electronic relaxation that are not available in the gas phase.¹⁰⁶ Dissociation cross sections of molecules for irradiation might be much smaller for adsorbed molecules due to fast surface relaxation processes taking place.¹⁰⁷ A second major difference is that in the condensed phase, electron scattering phenomena are dominated by the effects of multiple scattering; an electron may scatter many times before it passes through the film, is reflected back into vacuum, or becomes trapped. Consequently, a determination of an absolute cross section per scatter event is not easily possible. Effective cross sections that quantitatively describe the scattering of the ensemble of molecules within condensed

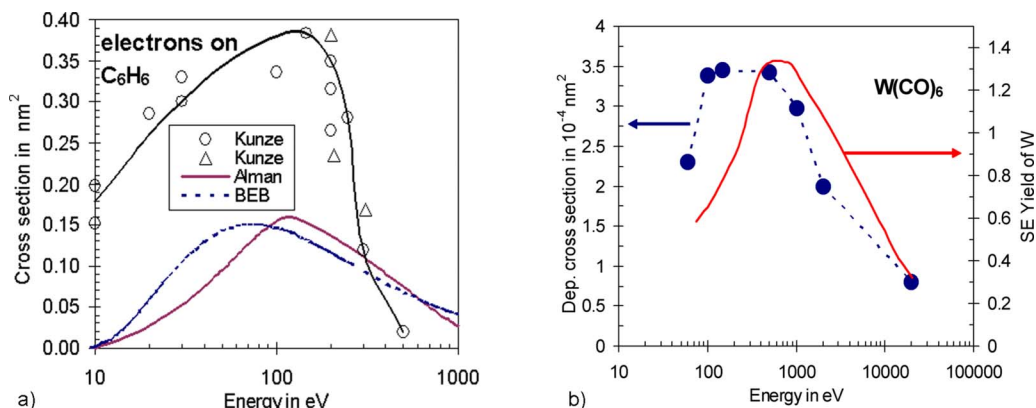


FIG. 11. (a) Electron impact deposition cross section of benzene [reproduced from Kunze (Ref. 110)]. For comparison the generic ionization cross sections according to Alman (Ref. 97) and the BEB theory (Ref. 96) are shown. (b) Electron impact deposition cross section for W(CO)₆ and secondary electron (SE) yield of pure W [reproduced from Hoyle (Ref. 64)].

halogeno-methane films and water films were reviewed.¹⁰⁸ A third major difference to gas phase dissociation is that additional relaxation channels are also provided inside the condensed film and that new channels become operative, for instance, when dissociation products react with neighboring molecules.¹⁰⁹

Apart from the scarce data on gas phase electron impact cross sections for relevant molecules, equivalent data for adsorbed molecules are also very few. Figure 11(a) compares cross section energy dependencies obtained from electron beam induced deposition experiments with benzene¹¹⁰ with the generic cross section of Alman⁹⁷ and the binary-encounter-Bethe (BEB) cross section.⁹⁶ The differences with the experiment are obvious and can be probably attributed to the fact that the dominant channel for deposition is a polymerization reaction and not (only) dissociation into ions. At high energies the decay is much stronger than anticipated by the models for dissociative ionization.

Figure 11(b) shows a comparison of the W(CO)₆ FEB deposition cross section with the secondary electron yield. The discrepancy at low electron energies was attributed to possible gas reactions that might have contributed to the deposition.⁶⁴ The W(CO)₆ deposition cross section is a factor 1000 smaller than the deposition cross section of C₆H₆. This might be related to different efficiencies of deposition channels: W(CO)₆ must be dissociated to tungsten or tungsten-rich nonvolatile fragments, whereas C₆H₆ molecules become nonvolatile by polymerization triggered by electron induced radical formation.

There are a few data sets for dissociative electron attachment of adsorbed molecules measured in ultrahigh vacuum conditions and well defined surfaces. For the molecule (hfac)Cu-VTMS adsorbed on (111)Si, a threshold energy of 4 ± 0.5 eV and the onset of a possible direct ionization mechanism at around 23 eV were observed.¹¹¹ Due to the existence of many possible resonant energies in the Cu-precursor molecule, there is no sharp resonance maximum. An observed shift to lower binding energy for fluorine is attributed to rebonding to different atoms in the electron impact deposit. Oxidation of hydrogen-passivated Si(111) sur-

faces induced by dissociative electron attachment of physisorbed H₂O showed a threshold at around 5 eV and a peak of about 5×10^{-17} cm² at 11 eV. The use of hydrogenated silicon as a prototype for resistless e-beam lithography with linewidths below 60 nm was demonstrated.¹¹² The resolution was found to depend on the electron beam diameter and the range of secondary electrons generated by the incident electrons.

The dissociation of Ni(CO)₄ on Ag(111) by 100–300 eV electrons showed desorption of positive ions and excited neutrals together with the conversion into unidentified Ni_x(CO)_y species on the surface.¹¹³ Carbonyl groups thermally desorb from these surface bound species at 200–400 K, leaving a Ni deposit on the surface. The reported total cross section for Ni(CO)₄ dissociation is about 2×10^{-16} cm² in this energy range. It remains an open question of how applicable these data are on “ill-defined” surfaces, such as surfaces of deposits or amorphized top layers, being formed while FEB and FIB gas-assisted processing.

3. Electron stimulated desorption

Electron stimulated desorption (ESD) is initiated by an electronic excitation. Bonds of surface species are excited and can result in desorption of ions, neutrals, or vibrationally excited (metastable) species from the surface. Madey¹¹⁴ resumed the related cross sections as follows: the maximum cross sections from desorption of ions from surfaces (10^{-23} – 10^{-20} cm²) are generally smaller than those for desorption of neutral species (10^{-20} – 10^{-18} cm²); both are smaller than typical cross sections for gas phase dissociative ionization (10^{-16} cm² for 100 eV electrons). Threshold energies for desorption can be as low as 5 eV (neutral molecules); for ion desorption via valence and shallow-core electrons, excitations have thresholds of 15 eV or more. Electron induced desorption cross sections depend on the surface and the adsorbed molecule. We give as an example the following desorption cross sections at an electron energy of 100 eV and

a surface temperature of 350 K: $\sigma(\text{O}_2 \text{ on Si}) = 6 \times 10^{-17} \text{ cm}^2$, $\sigma(\text{CO on Pd}) = 1 \times 10^{-17} \text{ cm}^2$, and $\sigma(\text{Cl}_2 \text{ on Pd}) = 1.6 \times 10^{-17} \text{ cm}^2$.¹¹⁵

The related models describing electron stimulated desorption are the Knotek–Feibelman model^{116,117} for ionic substrates and the Menzel–Gomer–Redhead model for covalent adsorbates.^{118,119} According to the Knotek–Feibelman model, the materials most likely to decompose are maximal valency compounds in which the cation and anion have large Pauling electronegativity differences: TiO_2 , V_2O_5 , SiO_2 , Al_2O_3 , WO_3 , and MoO_3 . Halides lose halogen during electron bombardment and have been shown to work as high resolution inorganic resists.^{120,121} Electron stimulated desorption mechanisms of hydrogen and fluorine from organic molecules was investigated in Ref. 122. The role of Auger electron cascades leading to electron vacancies and successive displacements in covalent crystals or stimulated desorption in ionic species was reviewed in Ref. 123. Electron nano-etching was demonstrated, for example, on membranes of Al_2O_3 , MgO , Si , and Al , resulting in holes with *nanometer* resolution in diameter using 40–100 keV electrons.¹²⁴ The same paper demonstrates e-beam milling of 4 nm diameter holes in AlF_3 .

Excellent review articles^{107,125,126} on desorption induced by electronic transition and ESD give further information for the interested reader. However, compared to “surface science” conditions (crystallographically defined surfaces and ultrahigh vacuum conditions), the situation is kind of ill defined for most gas-assisted FEB and FIB processes since the deposit surface is neither crystallographic nor “clean.” In fact, the dissociation process very probably leads to complex surface situations with ligands partially fragmented or intact ligands adsorbed on partially decomposed surfaces. Thus we think that at best trends can be identified from above surface science experiments.

4. Physical sputtering due to electrons

Here we discuss a purely mechanical process of material removal by electron bombardment. In contrast to the above discussed mechanisms, no electronic excitation is involved. The maximum kinetic energy that can be transferred to an atom with mass m_a in a collision with an electron having an energy E and mass m_e is ($E < 200 \text{ keV}$)

$$E_{\max} = 4E \frac{m_e m_a}{(m_e + m_a)^2} \cong 4Em_e/m_a. \quad (2.4)$$

The momentum transfer involved in this high-angle collision is mainly in the incident direction.¹²⁷ In the case of electron “transparent” structures (e.g., membranes, nanotubes, and nanowires), it is believed that the sputtering crater forms predominantly at the beam-exit surface. Sputtering occurs when the energy transfer $E_{\max} \geq E_s$, where E_s is the surface binding energy often inferred from the sublimation energy per atom. Thus the incident electron energy E_0 must be larger than $E_0 \geq (E_s/4)(m_a/m_e)$ to cause physical sputtering. For example, a Si atom has a surface binding energy of $E_s = 4.7 \text{ eV}$ and can be removed with an incident electron en-

ergy of $E_0 \geq 57 \text{ keV}$. The sputter cross section derived from a nonrelativistic Rutherford-scattering model is¹²⁸

$$\sigma = 3.54 \times 10^{-3} \frac{Z^2}{AE_0} (1/E_s - 1/E_{\max}), \quad (2.5)$$

with E_0 and E_s in eV, σ in nm^2 , and A is the atomic weight of the target atom. Incident energies larger than a few tens of kilovolts are needed to overcome the threshold energies in the range of about 5 eV. The cross section for sputtering of a carbon membrane by 80 keV electrons is $1.8 \times 10^{-22} \text{ cm}^2$.¹²⁸ At incident electron energies $E_0 > 100 \text{ keV}$, the energy transfer reaches the magnitude of displacement energies E_D for atoms in crystals, which can be used to crystallize amorphous silicon,¹²⁹ to reinforce carbon nanotubes bundles,^{130,131} or to sculpt FEB deposited Si–O–C nanowires.¹³²

C. Ion interaction with molecules

Energetic ions incident on a surface on which gas molecules are adsorbed can produce a number of effects: for example, desorption of the molecules, dissociation of the molecule, or reaction of the molecules with the substrate material. The latter two effects are exploited in FIB applications. If ions are incident on the surface that has some $\text{W}(\text{CO})_6$ adsorbed, the molecules dissociate, forming conducting deposits of a mixture of tungsten and carbon. On the other hand if xenon difluoride is adsorbed on, say, silicon, then the fluorine will react with the substrate and cause it to be removed as SiF_4 or other gaseous species. Here we will briefly review a mechanistic approach describing the interactions with molecules.

1. Ion interaction with adsorbed molecules

For ion-impact dissociation an energy dependence of the cross section was proposed by Dubner⁵⁷ based on a simple kinetic energy transfer model between an excited surface atom, of mass m_{ESA} , and the atoms of the adsorbed molecule. The maximum kinetic energy that can be transferred to an atom with mass m_a in a (nonrelativistic) collision is

$$E_{\max} = 4E_{\text{ESA}} \frac{m_{\text{ESA}} m_a}{(m_{\text{ESA}} + m_a)^2}, \quad (2.6)$$

where E_{ESA} is the energy of an excited surface atom due to a collective collision cascade terminating at the surface (see Sec. II B). The energy dependence of the dissociation cross section for a given atom of the molecule according to Ref. 57 in our notation is

$$\sigma(E) = \sigma_0 (1 - E_{\text{diss}}/E_{\max}), \quad (2.7)$$

where E_{diss} is taken as the dissociation or bond energy of the precursor. The total cross section for the molecule is obtained by summing the individual cross sections of the constituting atoms according to their stoichiometry and their relative area covered by each atom in the molecule (see Fig. 12). For excited surface atom energies $E < E_{\max}$, the molecule is not dissociated and $\sigma(E) = 0$. The value σ_0 is asymptotically reached with increasing energy of the excited surface atom

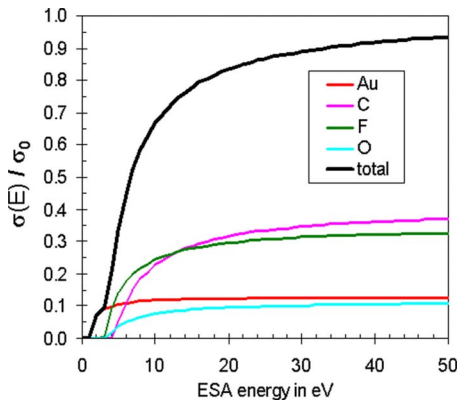


FIG. 12. Plot of $\sigma(E)/\sigma_0$ vs energy of excited surface atom according to the kinetic energy transfer model. The excited surface atom is gold and the precursor molecule $\text{Me}_2\text{Au}(\text{hfa})$. The dissociation energy of this molecule was assumed to be 1 eV (adapted from Ref. 57).

and represents a constant for a given incident energy. We note here the analogy with the cross section for electron sputtering in Eq. (2.5).

The energy dependence of the cross section from Fig. 12 and the energy distribution of excited surface atoms from Fig. 12(b) allow the energy integral in Eq. (2.1a) and (2.1b) to be evaluated for ions. The weak point in this model is that it does not answer the question how the energy transfer from the excited surface atom to the molecule proceeds. The postulated atom-atom collision is surely oversimplified but good agreement with experimental yield measurements was found.⁵⁷ An alternative model describing the ion impact as a thermal spike was also discussed but does not fit the data as well as the binary collision model.

2. Physical sputtering due to ions

Of all of the processes we are discussing, focused ion beam milling is probably the one most widely used. The gallium ion beam is used to remove material of any type and does not require chemical reactions. In the semiconductor industry FIB milling is used in failure analysis, circuit restructuring, and TEM sample preparation. A number of other applications range from scanning probe tip trimming to nanohole fabrication in gold films used to observe effects of light interaction with surface plasmons, see Sec. VII.

Sputtering occurs when the energy transfer $E_{\max} \geq wE_s$, where E_s is the surface binding energy often inferred from the sublimation energy per atom. Heats of sublimation of all elements are summarized in Ref. 133. From experiments the prefactor was found to vary between $w=1$ and 6.7 according to the mass ratio of ion and target atom.¹³⁴ Accordingly, the threshold incident energy is $E_0 = wE_s/E_{\max} = 0.25wE_s(m_{\text{ion}} + m_a)^2/(m_{\text{ion}}m_a)$. For Si the surface binding energy $E_s = 4.7$ eV and, consequently, an incident Ga ion with an incident energy of 36.2 eV can already cause sputtering. Since FIB processing is predominantly performed at keV energies, the maximum energy transfer also exceeds largely the bulk displacement energy (Si: 14 eV), which causes collision cascades of dislocated target atoms along the primary ion tra-

TABLE V. Sputter yields for normal incidence, different ions, incident energies, and materials.

Substrate	Ion	Energy (keV)	Yield (atoms/ion)	Ref.
Si	Ga ⁺	30	3.1 ± 0.8	151
Si	Ga ⁺	30	1.92 ± 0.16	139
Si	Ga ⁺	25	2.6	152
Si	Ga ⁺	25	3.9 ± 0.4	136
Si	Kr ⁺	25	3.1	150
Diamond (100)	Ga ⁺	20	2	153
Diamond	Ga ⁺	50	2.3	154
Hard amorphous carbon	Ga ⁺	50	2.6	154
GaN	Ga ⁺	15	5.2	155
		30	6.2	
		50	6.8	
		70	6.7	
InP	Ga ⁺	30	7.5	155
GaAs	Ga ⁺	30	4.8	155
SiC	Ga ⁺	30	2.5	155
Al ₂ O ₃	Ga ⁺	30	1.9	155
Au	Ga ⁺	100	32	139
Au	Ga ⁺	40	15.7 ± 1.3	58
Au (plated)	Ga ⁺	25	18 ± 3	136
Au (evap.)	Ga ⁺	25	23 ± 5	136
Au	Kr ⁺	25	20	150
W (RF-sputt.)	Ga ⁺	25	5 ± 0.7	136
W	Kr ⁺	22	4.1	150
Al	Ga ⁺	30	1.1–4.5	139
Al	Si ⁺	30	−0.26	156
		120	−0.09	
SiO ₂	Ga ⁺	68	2.0 molec./ion	157
SiO ₂	Ga ⁺	25	0.84	136
SiO ₂	Ga ⁺	30	0.85	158
SiO ₂	Ga ⁺	30	2.0	155

jectory (amorphization). When they reach the surface with a normal energy component larger than 4.7 eV, they can leave the surface as sputtered atom [see also Fig. 7(b)].

The fundamental quantity that describes the milling rate at a given ion current is sputter yield, i.e., the average number of substrate atoms (or molecules in the case of compound substrates) removed from the surface by each incident ion. The sputter yield of a number of materials is shown in Table V; the yield for Kr⁺ ions is also included for comparison since Kr⁺ is the noble gas ion closest to Ga⁺ in mass. Note that the yield of Al milled with Si is negative, i.e., the material swells due to irradiation. The relation of the sputter yield Y_S in units of removed atoms per ion and the sputter rate R_S in units of removed volume per charge (often given in $\mu\text{m}^3/\text{nC}$) is $R_S = Y_S M / (\rho N_A e_0)$, where N_A is Avogadro's constant, e_0 is the elementary charge, and M and ρ are the molar mass and density of the sputtered material. While the sputter yield or rate would seem to be a straightforward quantity that is easily measured, this is not always the case, particularly with focused ion beams. Possible complications are as follows.

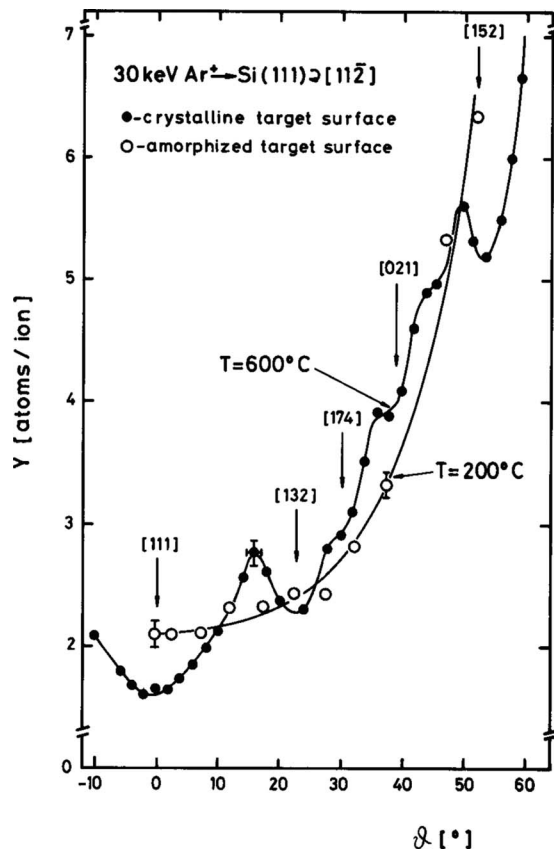


FIG. 13. Milling yield as a function of angle of incidence of 30 keV Ar ions on a (111) surface of Si, rotated about the (112) axis. The milling yield is seen to drop when the ions are incident along a crystal symmetry direction. For an amorphized target the curve is smooth (from Ref. 135).

- (1) As a result of ion channeling, the sputter yield depends on the orientation of the crystal axis with respect to the incident ion beam (see Fig. 13). Thus, in a polycrystalline sample, each grain may mill at a different rate and a milled surface may become very rough as illustrated in Fig. 14. This adds a complication to the measurement of yield for metal films, which are usually polycrystalline.
- (2) Surface reaction with residual gas atoms may play a role, particularly if a large area is scanned with a low current beam. For example, at 10^{-6} mbar, which is a typical pressure in FIB chambers, 1 ML can form in 1 s. In other words the impingement rate of molecules

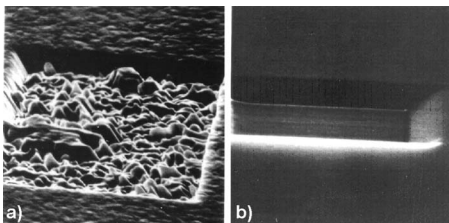


FIG. 14. (a) Box of $10 \times 10 \mu\text{m}^2$ milled in polycrystalline gold using a repetitive serpentine scan such that each pass removed only a fraction of a monolayer. Ion beam at 30° from normal at 25 keV and 283 pA. (b) A similar box milled in single crystal silicon (from Ref. 136).

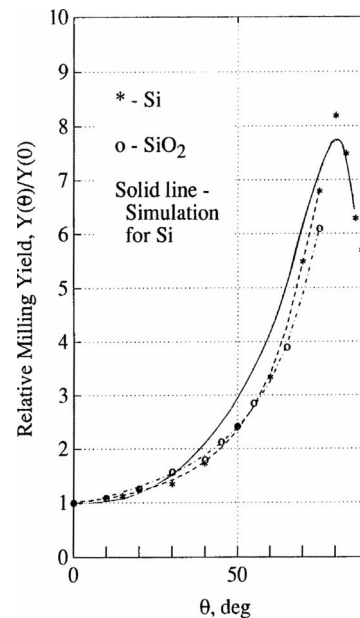


FIG. 15. Relative milling yield as a function of angle from normal for silicon dioxide and for silicon. Note that both experiment and simulation show a decrease in yield as the angle approaches 90° (from Ref. 136).

is about $5 \times 10^{14} \text{ cm}^{-2} \text{ s}^{-1}$, according to Eq. (2.9). On the other hand, if an area of $10 \times 10 \mu\text{m}^2$ is milled with a current of 100 pA, the impingement rate of ions is $6 \times 10^{14} \text{ cm}^{-2} \text{ s}^{-1}$. Thus the milling rate may be seriously affected. Moreover, when an atmosphere of water is deliberately introduced, the milling rate of reactive materials such as Si is decreased.¹³⁷ In fact, the negative milling yield of Al by Si ions (see Table V) may be partly due to the fact that Al rapidly reacts with the ambient gas. In addition there is some evidence that surface diffusion of adsorbates such as hydrocarbons may play a role at low doses by causing deposition of material.¹³⁸

- (3) The angle of ion incidence on the surface affects the sputter yield. Thus a surface that has some topography may mill at a different rate than a flat surface. The increase in milling yield can be several-fold in going from normal to grazing incidence as shown in Fig. 15. However, at grazing incidence the yield again falls. This is due to the fact that some of the incident ions are reflected. This can lead to unwanted milling due to these reflected ions. This is seen in Fig. 16(a) where we see trenches along the edge of the bottom of a pit which we would have expected to have a flat bottom.
- (4) The yield may depend on the focused ion beam scan rate. If the beam is scanned slowly and the thickness of material removed per scan is comparable to the beam diameter, then locally under the beam the angle of incidence will not be normal. This leads to an enhanced milling rate and, in addition, ions are reflected and may result in additional milling.^{35,139-141} This effect may increase the observed sputter yield by as much as a factor of 2.
- (5) The sputtered material may redeposit on the substrate.

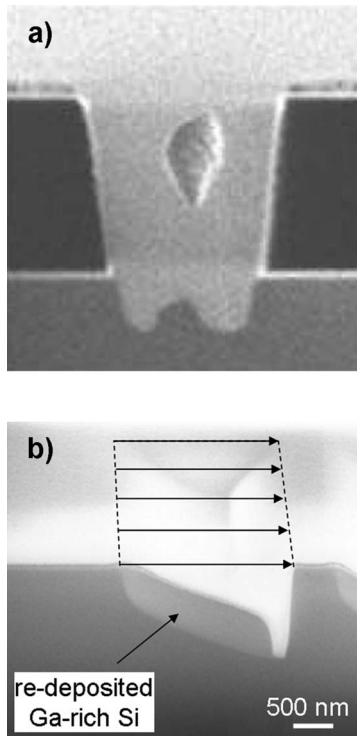


FIG. 16. (a) Milling with a stationary FIB. Self-focus effect due to reflection of ions at the steep sidewalls. The reflected ions mill at the periphery of the pit bottom and temporarily form a nonplanar bottom (from Ref. 35). (b) Redeposition during FIB milling of silicon with Ga ions. The milling was performed by a series of single scans from left to right. The increasing depth is due to the angular dependence of the sputter yield (adapted from Ref. 28).

The sputtered atoms have been shown to be emitted from the surface in a cosine squared distribution, which is maximum normal to the surface and going to zero at grazing angles.^{28,142,143} If a substantial thickness is removed per scan, then redeposition may decrease the milling rate and the measured sputter yield. This is illustrated by an extreme case of redeposition in Fig. 16(b).

- (6) Reaction of gallium with the substrate occurs in some materials. The effects we have discussed so far, which determined the material removal rate, do not specifically depend on the fact that Ga ions are used. Approximately the same results would be obtained, for example, with krypton ions that have close to the same mass. This is not the case with some III-V compounds such as GaAs, GaSb, or GaN or antimony. Anyone who has milled GaAs with Ga ions has seen droplet formation on the surface. In focused ion beam irradiation of GaSb, microcavities and filaments are observed,¹⁴⁴ nanoblister and agglomeration of Ga are observed in the irradiation of GaN,¹⁴⁵ while the irradiation of antimony produces a porous nanowire network.¹⁴⁶ These effects are complicated and poorly understood. We will not discuss them further here, even though they may be important and may be exploited in interesting ways in the future.
- (7) Ion-surface interaction can be expected to be temperature dependent. For example, raising the temperature

of Si to 400 °C greatly reduces channeling effects in implantation.¹⁴⁷ One would expect substrate temperature to play a role in FIB milling. Under some circumstances, e.g., low thermal conductivity samples, high beam currents, and slow scans, the beam may heat the sample locally (see Sec. II F). The milling rate of crystalline Si has been observed to decrease by 40% when the temperature of the substrate is increased to 350 °C, while the milling rate of amorphous Si is unchanged. No material swelling due to amorphization at low overall doses was observed at a target temperature of 350 °C. At room temperature this swelling is observed. A dose rate dependent effect, namely, beam induced recrystallization that depends on temperature, may affect milling rates.¹⁴⁸

To avoid the effects in (4) and (5) in either measuring the normal incidence milling yield or in milling a sample to a desired predictable depth, the scan speed of the beam should be high enough so that these effects do not come into play. To avoid reaction with residual gas, low current scans over large areas should not be used. A way to mitigate the roughness of the surface of a focused ion beam milled polycrystalline metal has been demonstrated.¹⁴⁹ The surface to be milled was first patterned into a dense array of cones, called the egg crate pattern. As a result in further milling, there was a large variation of angles of incidence so channeling effects were averaged out and pits could be milled in copper with a flat bottom. In some cases a reactive gas can be used. We will discuss this later in Sec. V D 2.

Apart from the complications listed, the milling yield, of course, depends in general on the substrate, the ion mass, and the ion energy. These effects have been studied in detail experimentally and theoretically.¹⁵⁰ The milling yield increases with energy and, depending on the ion/substrate combination, peaks in the 50–150 keV range.

Since Ga and Kr have almost the same mass, one might expect the milling yields to be the same. However, Ga is a solid and Kr is a gas. So the Ga that is implanted in the substrate has to be sputtered off while Kr may leave the surface spontaneously.

Monte Carlo and molecular dynamics approaches of FIB sputtering were reviewed in detail in Ref. 159. Semiempirical formulas of sputter yields for Ar, Ne, and Xe ions are given in Refs. 133, 160, and 161. For free MC programs simulating ion interactions with amorphous solids we refer to SRIM (Refs. 73 and 80) or Geant 4.¹⁶² A commercial software tool including redeposition and self-focus effects is IONSHAPER.¹⁶³

In many practical FIB milling situations, one may need to sculpt a particular profile, for example, a blazed grating. The FIB is particularly well suited for this since most other micro-nanofabrication techniques remove or add material uniformly. Because of the effects discussed above, in particular, the dependence of milling rate on angle of incidence and the effect of redeposition, FIB sculpting is in many cases not straightforward and computer models of the process have been developed.^{142,143,164,165}

TABLE VI. Summary of vapor pressures (P_{vap}) and molecule diameters (δ_m) of selected precursors. The mean free path λ was calculated at vapor pressure according to Eq. (2.8a). The monolayer density was calculated according to $n_0 = 1.154 \delta_m^{-2}$. The Knudsen number ($\text{Kn} = \lambda / \text{diameter}$) was calculated for a typical tube diameter of 0.6 mm.

Precursor	P_{vap} (Pa)/ T	δ_m (Å)	n_0 (nm ⁻²)	λ (μm)	Kn	P_{vap} Ref.
Cu(hfa) ₂	0.4/25 °C	8.0 ^a	1.0	3614	6	168
Me ₂ Au(tfa)	7.3/23 °C	3.5 ^b	9.6	1067	1.8	169
Mo(CO) ₆ [(PF	14.9/23 °C	3.3 ^b	10.9	589	1	169

D. Impinging precursor flux

The supply of molecules and the knowledge of their distribution and supply density at the place of irradiation are obviously of utmost importance in gas-assisted FEB and FIB processing. Before we enter into the quantitative description of the molecule flux delivered by tube-based gas injection systems, we would like to point out that care should be taken to minimize molecule reactions with the walls of the precursor reservoir and the tube system. In the specific case of Fe(CO)₅ under ultrahigh vacuum conditions, such wall reactions were observed and led to a high carbon monoxide concentration in the flux since the iron was bound to the walls.¹⁶⁶ Evidently, any deposit composition will depend on the impinging flux composition and, furthermore, the uptake of precursor molecules at the tube walls will change the impinging flux distribution.

The mathematical framework necessary to adequately describe the gas flow depends on the Knudsen number, which is the ratio of the mean free path (MFP) between molecule collisions and a characteristic dimension of the gas injection system under consideration. The mean free path is given by

$$\lambda = \frac{kT}{\sqrt{2} \pi \delta_m^2 P}, \quad (2.8a)$$

where P is the pressure, T is the absolute temperature, and k is the Boltzmann constant. Assuming that molecules are closely packed as in a face-centered cubic lattice, the molecule diameter δ_m can be estimated from¹⁶⁷

$$\delta_m \cong 1.122 \left(\frac{M}{\rho N_A} \right)^{1/3}, \quad (2.8b)$$

with ρ as the density of the bulk or liquid and M as the molar mass of the molecule. $N_A = 6.02 \times 10^{23} \text{ mol}^{-1}$ is Avogadro's

constant. Another approach uses the maximum bond length of the molecule from crystallographic data. Both approaches can differ within several tens of percent for the same molecule according to its geometric configuration (planar, linear, etc.). Often the gas injection system has tube geometry and the characteristic dimension is its diameter. Knudsen numbers can thus be calculated and examples are given in Table VI.

Molecular flow where no intramolecular collisions occur is obtained when the MFP is larger than the diameter of the gas injection tube, i.e., the Knudsen number $\text{Kn} > 1$. A transient flow regime, where intramolecular collisions occur, is established for $0.1 < \text{Kn} < 1$. Laminar or viscous flow holds for $\text{Kn} < 0.1$. Since vapor pressures of molecules vary over order of magnitudes (see Table VI), all flow regimes can be established; each requiring a specific mathematical framework. However, the situation simplifies due to the fact that a pressure gradient installs along the gas injection tube. This means for a molecule coming from the precursor reservoir and approaching the tube exit that its MFP becomes steadily (and considerably) larger. This is due to expansion into the microscope chamber, which is constantly pumped down to a given background pressure generally smaller than 10^{-4} mbar (corresponding to roughly $\text{MFP} = 10 \text{ cm}$). Thus the local pressure at tube exits and above the substrate can mostly be considered as molecular and we can use the framework of rarefied kinetic gas theory to derive some useful relations in the next sections.

1. Molecule flux in chambers and at tube exit

The *uniform* molecular flux (in units of molecules per unit area and unit time) incident on a surface placed in a chamber with pressure P is, according to the kinetic theory of gases,

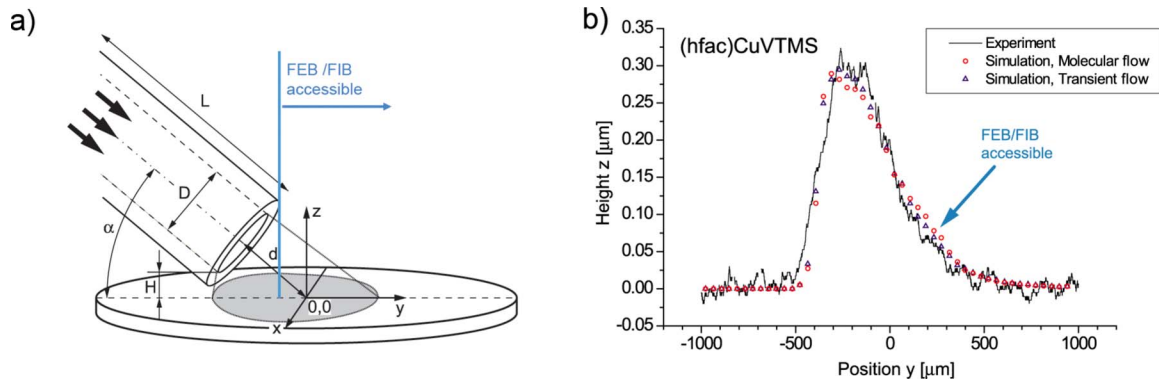


FIG. 17. (a) Tube-based precursor supply. The flux distribution impinging on the substrate depends on the supply angle α , the distance d , the height H , and the inner diameter D of the tube. The access region for FIB/FEB is indicated. (b) Measurement and simulation of impinging precursor distribution. Tube inclination of 60° and inner tube diameter 0.5 mm. The precursor flux peak is covered by the tube geometry (from Ref. 179).

$$J = PN_A(2\pi MRT)^{-1/2}, \quad (2.9)$$

with N_A as the Avogadro constant, $R=8.314 \text{ J mol}^{-1} \text{ K}^{-1}$ the universal gas constant, T the absolute temperature, and M the molar mass of the gas molecule. Equation (2.9) is equivalent to the well known expression for Knudsen effusion. As an example we calculate the flux of water molecules in an environmental SEM, $J=7.2 \times 10^6 \text{ nm}^{-2} \text{ s}^{-1}$ at $P=2 \text{ mbar}$ (vapor pressure of water at -13°C) and $J=7.2 \text{ nm}^{-2} \text{ s}^{-1}$ at $P=2 \times 10^{-6} \text{ mbar}$ (typical background pressure of SEM); in units of monolayer per second $J=7.6 \times 10^5 \text{ ML/s}$ and 0.76 ML/s , respectively. This result is obtained by dividing J by the monolayer density n_0 of water from Table VI.

The molecule flux at the tube exits is easily measurable via changes in evaporated mass Δm (solid precursor) or volume ΔV (liquid precursor) during the injection period Δt ,

$$J = \frac{\Delta m N_A}{\Delta t M A_{\text{exit}}} \frac{1}{M A_{\text{exit}}}, \quad (2.10)$$

where A_{exit} is the tube exit surface. Injecting molecules changes the chamber pressure. Using the pump speed S (volume per unit time) and assuming zero loss due to condensation at the chamber wall, the molecule flux at the tube exit can be calculated as

$$J = P_{\text{chamber}} N_A \frac{1}{A_{\text{exit}}} \frac{S}{RT}. \quad (2.11)$$

However, the pump speed S should be known for the specific gas molecule and the setup, which includes pressure losses due to protection grids and pipes connecting the pump with the chamber. Compared to Eq. (2.10), Eq. (2.11) should be considered as rough order of magnitude estimation. Calibrated mass flow meters allow adjusting the molecule flux for gaseous precursors.

An analytical estimate for the molecular flux at the exit of straight long pipes with uniform circular cross section is given by¹⁷⁷

$$J_{\text{tube}} = PN_A(2\pi MRT)^{-1/2} \frac{4D}{3L}, \quad (2.12)$$

where D is the tube diameter and L is the tube length. For $D/L < 1/20$ this estimate is better than 5% precise compared to exact calculations by Ref. 178. The factor $4D/(3L)$ is the transmission probability of long tubes and defines the pressure drop between the tube entry at pressure P and the tube exit. For $D/L=1/10$ the theoretical pressure drop becomes 11%.¹⁷⁸ In real gas injection systems the geometry is often curved and it is unknown whether the precursor vapor pressure is constant within the reservoir and maintained until the tube entry. For the same $D/L=1/10$ ratio and elbow reservoir connection pipes, we measured pressure drops of 3%–8% for different metal organic precursors (back calculated from mass loss measurements). Nevertheless, Eq. (2.12) illustrates the scaling of precursor exit flux with diameter and length of straight tube-based gas injection systems at molecular flow conditions.

The average precursor flux impinging on the substrate as a function of microtube-substrate distance [H in Fig. 17(a)] was measured using a stagnation tube.⁵⁸ The impinging flux decayed roughly inversely with the height of the tube that was placed over the substrate.

2. Spatial distribution of molecule flux

The impinging precursor flux distributions of FEB and FIB relevant gas injection systems and precursors were experimentally determined from deposit shapes obtained by using a heating stage in an electron microscope, which allowed us to thermally decompose all impinging precursor molecules on the substrate.¹⁷⁹ Since the growth rate is proportional to the impinging flux, the deposit shape directly measures the spatial distribution of the incident molecules. Good agreement was found with MC-simulated molecule distributions [see Fig. 17(b)]. It also shows that the spatial distribution within a $100 \mu\text{m}^2$ writing field cannot be necessarily

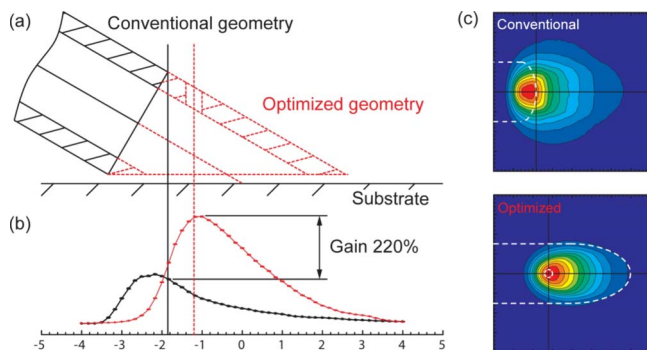


FIG. 18. MC-simulated molecule flux impinging on the substrate for different tube geometries. (a) Cross section of tube geometries along the tube axis. (b) Molecule flux distribution taken along the tube axis. The x -axis is in units of the inner tube radius. (c) Molecule flux distribution from top view. White dashed lines represent the tube periphery. The color code is red (highest flux) to blue (lowest flux=0) (from Ref. 181).

considered as constant. The distribution of water molecules was measured on a cryocooled substrate inside a dual beam system.¹⁸⁰

To obtain high and localized molecule flux, the tube should be as close as possible to the substrate. For a given height of the tube above the substrate, the angle between the injection tube and the substrate strongly determines the maximum precursor flux on the substrate surface accessible for the FEB and FIB. Tube angles around 30° give a good compromise between FEB and FIB access and large flux. Smaller tube angles distribute the molecules over a larger area and result in smaller fluxes, whereas larger tube angles prohibit the FEB and FIB access to the peak of the flux distribution. Introducing an additional pinhole into the tube wall allows increasing the molecule flux by a factor of 2 and permits access of the FEB and FIB to the peak flux value (see Fig. 18).

3. Shadow effects

Shadow effects arise inevitably when the precursor flux is directed and the deposit or etch features become a high-aspect ratio. The deposition rate is higher when the electron beam is scanned toward the flux, and lower when scanned with the flux as illustrated in Fig. 19. The contributions of precursor molecules in shadowed regions are supposed to mainly arise from local molecule gas phase collisions, from molecule desorption from surfaces next to the growing deposit, and, to a minor extent, from surface diffusion.¹⁸²

4. Gas phase related processes

Within the gas phase volume above the substrate, collisions between molecules with incident electrons and ions as well as emitted electron and sputtered atoms occur, which leads to scattering, ionization, and dissociation [see Fig. 20(a)]. Scattering in the gas phase above the substrate becomes significant when the mean free path of electrons or ions $\lambda_{e,i}$ in a gas with density $\rho = M^*P/(RT)$,

$$\lambda_e = M/(N_A \rho \sigma), \quad (2.13a)$$

becomes smaller than the distance traversed by the primary beam in the gas phase. For simulation purposes the scattering cross sections can be taken as for solids (but much diluted).⁴⁵ The electron mean free path scales inversely with gas pressure, which can range from 10^{-6} mbar (background pressure) to about 1 mbar (local injection gas pressure or background pressure in environmental and variable pressure microscopes). The fraction of gas phase scattered incident electrons or ions becomes

$$N_S = 1 - \exp(-L/\lambda_e), \quad (2.13b)$$

where L is the length traversed by the beam in the gas phase. For tube-based gas injection systems, the local pressure must be taken into account [see Fig. 20(a)].

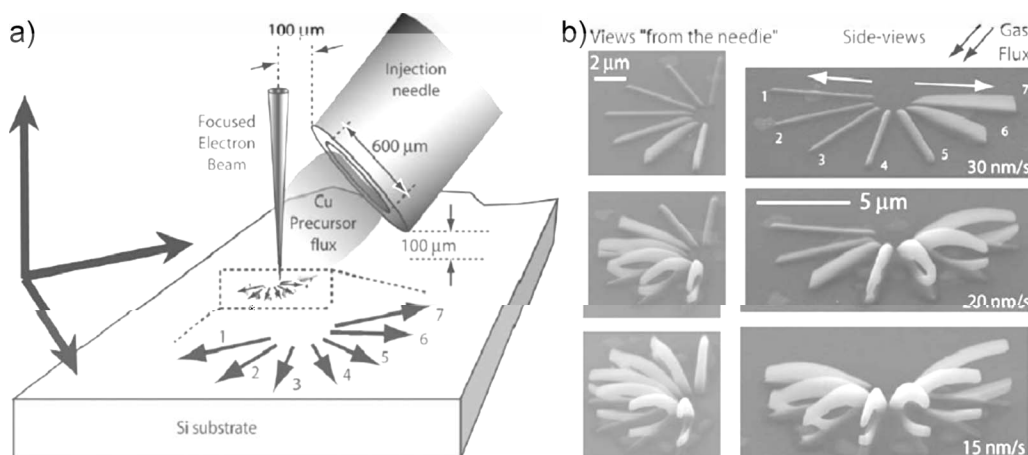


FIG. 19. Lines deposited with (hfa)Cu-VTMS precursor and varying scan direction with respect to the gas flux. Note that the variation of gas pressure over the scanned field is negligible. Lines 1–7 are scanned with the same speed. Line 1 is scanned away from the gas feed tube and line 7 is scanned toward the gas feed tube. (a) Schematics of the experimental setup and example of writing sequence. (b) SEM micrographs, tilted views (70°) of the resulting structures, at three different scan speeds. Left: front views; right: side views (from Ref. 182).

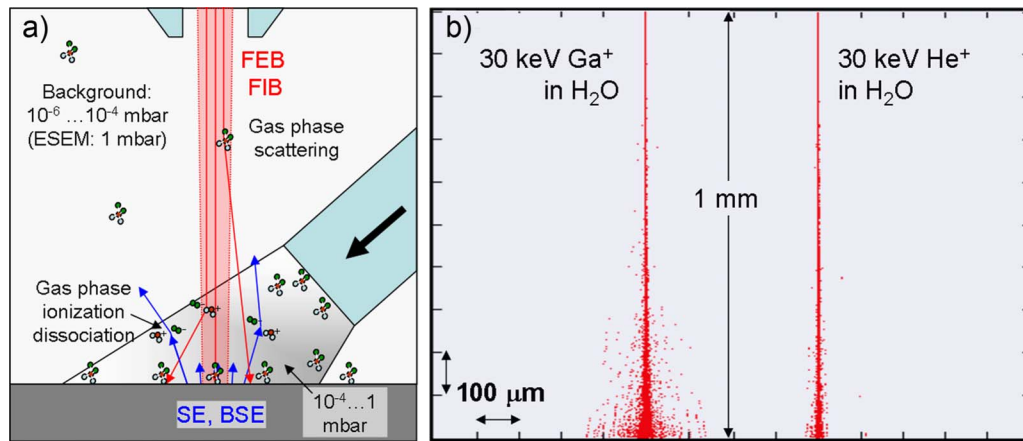


FIG. 20. (a) Gas phase effects. Typically the incident beam traverses about 5–10 mm gas phase at background pressure and roughly 0.5–1 mm at locally increased pressure before impinging on the substrate. (b) MC-simulated trajectories (SRIM) of incident ions traversing 1 mm water vapor at 1.4 mbar.

A Gaussian fit was proposed to the measured distribution of scattered electrons under certain conditions.¹⁸³ MC simulations show that scattered incident electrons and ions have a non-Gaussian skirt distribution (see Appendix C).

Table VII and Fig. 20(b) show that for gas phase scattered incident electrons and ions, the skirt full widths comprising 50% of all electrons are in the micrometer range. Since the flux distribution of the scattered electrons and ions scales with the inverse square of the full widths, a low background flux is obtained, meaning that the resolution of the primary beam is not lost even at relatively high collision percentages. Part of the gas phase collisions can produce ionized molecules at an amount given by the ionization cross section and its energy dependence (compare to Sec. II B 1). However, it is the “fast” emitted secondary electrons that can ionize molecules with the highest efficiency in the gas phase just above the substrate since the ionization cross section peak is at around 100 eV. The same holds for the other low-energy dissociation mechanisms discussed above. At keV incident energies the efficiency for all these mechanisms is considerably lower. This is why gas phase initiated reactions within the incident charged particle beam and within the backscattered electron “cloud” might be mostly neglected. This is in contrast to some local photon (laser) beam deposition experiments.¹⁸⁴

However, the role of *secondary* electron emission on gas phase ionization, or dissociation in general, has not yet been studied systematically in this context to our knowledge. A better resolution in focused electron beam induced deposition of dots with reduced or even closed precursor supply was noticed,^{185,186} which might hint to an additional supply mechanism mediated by a secondary electron–gas phase reaction. A way to distinguish between surface or gas phase controlled deposition (etching) is to change the substrate temperature. For surface controlled dissociation a decrease in process rate with increasing temperature is detected, which allows us to determine the desorption energy (see Sec. III B). In the case of gas phase determined molecule dissociation,

substrate heating should result in negligible changes of process rates.

E. Precursor migration

1. Adsorption and desorption

A molecule may bind at the surface as a chemisorbed or as a physisorbed species. The reversible physisorption is often a weak, dipole induced dipole interaction, while chemisorption implies the formation of a chemical bond to the surface and is typically about 1 eV (about 100 kJ/mol) strong and nonreversible (except when the reaction product is volatile=spontaneous etching). Typical adsorption energies for a physisorption are 0.1 eV and up to 0.5 eV for larger organic molecules. They are comparable to heats of evaporation/sublimation or even larger when a long range image charge potential on a conductive surface is involved. Heats of evaporation/sublimation of numerous precursors are summarized in the tables in Sec. V. Chemisorption is unwanted since deposition and etching would occur nonlocalized on the entire gas-exposed substrate area instead of just inside the focused spot of the electron or ion beam.

The dynamic equilibrium between physisorption (adsorption) and desorption of gas molecules leads to an average constant molecule coverage on the surface. Measuring the

TABLE VII. Skirt MC simulations [Mocasim (Ref. 81)] of incident electrons (zero diameter beam, 30 and 3 keV) traversing 1 mm water vapor at a pressure of 1.4 mbar (density: 1×10^{-6} g/cm³). Mean free electron paths (λ_e), the fraction of scattered incident primary beam electrons (N_S), and skirt full widths comprising 10% and 50% of all scattered electrons are given.

Molecule (energy)	H ₂ O (30 keV)	H ₂ O (3 keV)
λ_e (mm)	23.9	2.8
N_S (%)	4.2	30
FW10 (μ m)	0.07	0.6
FW50 (μ m)	1.8	9.2

coverage at constant temperature for varying gas pressures gives the so-called adsorption isotherms, which are almost exclusively reported for ultrahigh vacuum conditions, solid-vapor equilibrium, and well defined crystallographic oriented surfaces of single crystals. All these conditions are violated by the nature of the FEB and FIB processes. The pragmatic approach in FEB and FIB modeling assumes a *nondissociative* Langmuir adsorption isotherm according to which the molecule coverage $\theta = n/n_0$ depends on the impinging flux J (or pressure),

$$\frac{n}{n_0} = \theta = \frac{bJ}{1 + bJ} = \frac{sJ\tau/n_0}{1 + sJ\tau/n_0}, \quad (2.14a)$$

where b is a (temperature dependent) thermodynamic parameter $b = s\tau/n_0$, τ is the residence time, s is the sticking probability, and J is the impinging precursor flux. Equation (2.14a) is obtained when setting the diffusion and decomposition term to zero in Eq. (2.2). The maximum monolayer coverage n_0 is given by the number of available adsorption sites but often taken as the inverse of the molecule size (see Table VI) since the physisorption potential is relatively insensitive to the site or orientation of the adsorbate. Of note is that this adsorption type accounts for already occupied adsorption sites by the gas molecules and limits the maximum surface density to n_0 . This property of Langmuir adsorption is meaningful in view of the high volatility of the precursor molecules used. Multilayer adsorption (condensation) is not covered with this adsorption isotherm but also not expected if the substrate is not excessively cooled with respect to the precursor reservoir.^{187,188} Multilayer condensation starts when the impinging gas pressure becomes larger than the vapor pressure of the precursor molecule on the cooled substrate. Under this condition no steady state surface concentration will be achieved but a constantly growing film of condensed precursor. When now irradiated the situation is similar to resist exposure, i.e., lithography (see also Sec. V E).

Furthermore, the Langmuir isotherm states that adsorption is reversible, i.e., all molecules desorb in average after the residence time τ . However, in the few measurements reported the formation of an *initial chemisorbed* monolayer is found: for $\text{Me}_2\text{-Au(tfa)}$ on clean Si wafers,¹⁸⁸ for (hfa)Cu-VTMS on Si(111),¹¹¹ and tetraethyllead on sapphire.¹⁸⁴ Subsequent adsorption onto the chemisorbed precursor molecules was reversible so that the Langmuir adsorption isotherm can be applied. The temperature dependence of the residence time is given by the well known relation $\tau(T) = \tau_0 \exp(E_{\text{des}}/kT)$, with E_{des} as the activation energy for desorption. The attempt periods $\tau_0 \approx 10^{-12}$ s obtained from classical transition state theory¹⁸⁹ do not apply for the molecules used in gas-assisted beam processing (see Sec. III B).

The residence time and the sticking probability of molecules on irradiated materials (and deposits) are generally unknown parameters. In Secs. III B and III D we discuss how the residence time can be determined from the FEB and FIB deposition or etch experiments. A general rule was found experimentally: when the precursor molecules are po-

lar or easily polarizable, the adhesion forces are high, i.e., the average residence time τ is large, and the sticking probability, too.¹⁹⁰ Generally, ions or radicals do not desorb readily from uncharged surfaces. Easily desorbing units are intact uncharged and nonpolar molecules.

As we mentioned at the beginning of this section, the nondissociative Langmuir adsorption is a pragmatic approach for gas-assisted FEB and FIB, which holds true for simple molecules. For large molecules this is not necessarily the case. The above mentioned (hfa)Cu-VTMS molecule, for example, shows dissociative adsorption and desorption behavior.¹⁹¹ As a result the molecule coverage θ can be described by the dissociative Langmuir isotherm

$$\theta = \frac{\sqrt{bJ}}{1 + \sqrt{bJ}}. \quad (2.14b)$$

Modeling in the field of gas-assisted FEB and FIB processing including such adsorption behavior or even more complicated ones has not yet been performed. For instance, the most general isotherm is the Brunauer–Emmet–Teller isotherm,¹⁹² which can account for multilayer adsorption; however, it requires the knowledge of further interaction parameters, which are *a priori* unknown for the molecules used in FEB and FIB gas-assisted processing.

2. Surface diffusion

Generally in discussing gas-assisted focused ion beam or electron beam processes, one frequently assumes that only adsorption and desorption play a role in the surface coverage by the reacting gas. However, from experiments there is a reason to believe that surface diffusion can also play a significant role. Data on surface diffusion obtained from focused beam induced deposition and etching experiments will be discussed in Sec. III D. Independent surface diffusion measurements at the microscopic scale rely, for instance, on scanning tunneling microscopy or cold field emission microscopy. In the latter method the field emitter surface is displayed on a monitor and the motion of adsorbates on the emitter is viewed through a contrast in emission due to the change in work function. For a review on more measurement methods, see Ref. 193. In surface diffusion of adsorbed gases on metal surfaces, it is stated that diffusion at low coverages can be considered as random motion, whereas at large coverages lateral interactions with neighboring adsorbates make diffusion a collective process.¹⁹⁴ For instance, the diffusion coefficient of $n\text{-C}_4\text{H}_{10}$ on single crystal ruthenium at a temperature of 125 K varied from $D = 3 \times 10^{-10}$ to 2×10^{-7} cm^2/s for coverages of 0.2 and 1, respectively. The mean diffusion path the molecule can travel during a given time t is roughly $(Dt)^{1/2}$. For the above molecule the diffusion paths traveled within 1 s are 170 nm and 4.5 μm , respectively.

Surface diffusion is a thermally activated process and the diffusion coefficient is written as $D(T) = D_0 \exp(-E_{\text{diff}}/kT)$, with D_0 in the order of 10^{-2} – 10^{-3} cm^2/s . There is an often quoted similarity between molecule desorption and diffusion: desorption is the rupture of a bond between an adsorbed

molecule and the surface, and migration is the rupture of the same bond with simultaneous formation of an analogous bond with an adjacent adsorption site. From this consideration a general relation between the activation energies for diffusion and adsorption was experimentally found: $E_{\text{des}}/E_{\text{diff}}=3-10$. The major differences to surface diffusion in gas-assisted FEB and FIB processing is that molecules are physisorbed and that the underlying (deposited) material has no defined periodic surface potential. In fact, the molecules are not supposed to form a chemical bond with the substrate; otherwise the local beam resolution of the whole process would be lost. As a consequence, relations and values established for D_0 and $E_{\text{des}}/E_{\text{diff}}$ from well defined surfaces and ultrahigh vacuum conditions are not straightforwardly applicable to gas-assisted FEB and FIB processing and need to be proven.

An example of the influence of how hydrophobicity can influence diffusion is given in Ref. 195. The surface diffusion coefficient of the polycyclic aromatic hydrocarbon naphthacene $C_{18}H_{12}$ adsorbed on silica gel was determined to be $D=(2.3-2.8)\times 10^{-10}$ cm^2/s . There was no change in surface diffusion between dry and 2% water covered surfaces. However, changing the surface to hydrophobic using the saturated fatty acid $\text{CH}_3(\text{CH}_2)_{12}\text{COOH}$ (myristic acid) increased surface diffusion by a factor of 10.

3. Electrostatic field mediated phenomena

Due to local electrical charging of insulating material irradiated with focused ion or electron beams, considerable electrostatic fields can be generated. Visualization of electrical fields on planar quartz was achieved by toner powder¹⁹⁶ and by objective lens defocus in a TEM, as shown in Fig. 21(b) for high-aspect-ratio carbon filaments. The exponential decay of such fields due to electron-hole pair combination can last over several days in the case of quartz. Various electrostatic field enhanced phenomena are reported.

- (1) Coulomb attraction of ionized molecules: As discussed in Sec. II D 4, gas phase collisions of electrons with molecules produce ionized fragments, which in turn can be attracted in the electrostatic fields generated locally by the impinging beam on the substrate. In fact, this phenomenon is widely used for charge neutralization with deliberately introduced H_2O molecules when observing insulating samples.
- (2) Polarization of molecules: Molecules can be polarized in strong fields and are consequently attracted along the field gradient. Accordingly, during FEB or FIB deposition and etching, the local precursor molecule density can be drastically changed in the irradiated region: filamentlike ramified deposits due to attracted ionized or polarized molecules by the local electrostatic field generated at the end of contamination deposits ($\approx 10^6-10^7$ V/m) were observed¹⁹⁷ (see Fig. 21).
- (3) Field enhanced diffusion and molecule decomposition: These phenomena are reported for STM deposition with (hfa)Cu-VTMS.¹⁹⁹⁻²⁰¹ The physisorbed mol-

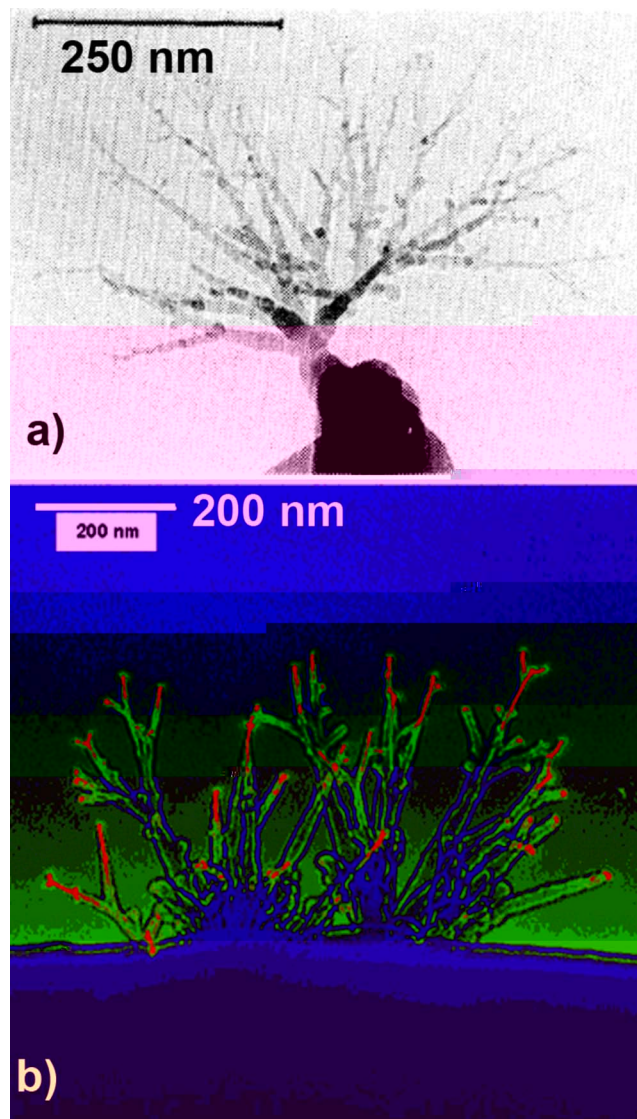


FIG. 21. (a) Example of fractal branch electron contamination deposition from background pressure hydrocarbons in the presence of large electrostatic fields. Scale bar, 250 nm (from Ref. 197). (b) Local electric field around amorphous carbon filaments due to irradiation, imaged by defocus of the objective lens in the TEM. Colors represent image brightness: red: high field (bright); blue: low field (dark). Local field highest at the tips: hydrocarbon molecules aggregate there (from Ref. 198).

ecules experience a force due to the electric field gradient that arises from the proximity of the STM tip to the sample. The rate of deposition was found to exceed by approximately 20–40 times the rate of gas phase precursor molecule delivery under the tip. The critical electrostatic field for molecule dissociation was 2×10^9 V/m and the deposit shapes were narrow conelike structures. Field-induced decomposition was also observed for STM deposition with $\text{Fe}(\text{CO})_5$.²⁰²

- (4) Solid disintegration: According to Egerton¹²⁷ and Cazeaux,^{77,203} a typical field emission probe ($d=1$ nm, $I=0.4$ nA) can lead to an electric field at the edges of the illuminated area in excess of 10^{10} V/m. An estimation of the corresponding net charge showed that about 16% of the solid atoms are ionized,

which are likely to result in disintegration by electrostatic repulsion.

- (5) The ejection of charged fragments from the surface by Coulomb forces might also play an important role in gas-assisted FEB or FIB induced processes. An example is the ejection of O^+ ions after dissociative decomposition of adsorbed CO on metal surfaces.¹¹⁵

The gas phase molecule ionization discussed in Sec. II D 4 and the above actions of electrostatic fields might be the reason why the smallest dot deposits were observed for a closed precursor supply¹⁸⁵ and at lowest precursor pressure.¹⁸⁶

F. FEB/FIB heating

Heat is generated in FEB and FIB processing by the energy loss of incident electrons or ions along their trajectories in matter. This can be modeled at steady state by a three dimensional heat source term $H(r, z)$ in the diffusion equation in cylindrical coordinates for a beam incident at the point $r=0$,

$$\kappa(\partial^2 T/\partial r^2 + (1/r)\partial T/\partial r + \partial^2 T/\partial z^2) + H(r, z) = 0. \quad (2.15)$$

Here κ is the thermal conductivity, T is the temperature, r is the radial position, and z is the axial position. We have assumed a stationary beam. The heat source term for a planar bulk substrate is shown in Appendix D (normalized to one primary incident electron). However, in general the beam is scanned. This would add a first order derivative of the temperature with respect to time and make the source term time dependent, $H(r, z, t)$. The major problem is that the thermal conductivity is unknown for FEB or FIB deposited material, unless pure material is deposited. Estimates of the thermal conductivity of carbon/metal nanocomposites can range between polymers 0.01 W/K m (PMMA) to metals 395 W/K m (copper).

For planar bulk, pillar, and membrane geometry, the heat source term can be approximated and analytic estimates of the temperature rise given. This is less precise with respect to MC simulations but gives a more fundamental insight into the energy and geometry dependence of heating. The definitions of the stopping power and the electron and ion ranges involved in these formulas are summarized in Appendixes A and B. The time between two successive electron or ion excitations should be shorter than the electron-phonon relaxation time scale around 10^{-1} – 10^{-3} ns; otherwise the temperature is not well defined.³² The time between two successive electron or ion impingements is given by (e_0/I_p) ; thus 2 nA beam current is needed for 0.1 ns. This value represents an overestimate since the primary beam generates a cascade of additional secondary (charged) particles in matter.

1. Plane bulk geometry

The temperature increase ΔT at the center of an irradiated sample surface can be estimated by assuming that the heat of the primary beam is homogeneously dissipated inside a hemisphere of radius R_H being equivalent to half the range of

electrons $R_E/2$ or the projected range of ions (see Appendix A). Heat dissipation occurs three dimensionally by heat conduction into the semi-infinite substrate. The analytic estimate is given by³²

$$\Delta T_{\text{bulk}}(r=0) = UI_p(1-\eta)/(2\pi\kappa R_H), \quad (2.16a)$$

where U is the acceleration voltage, I_p is the charged particle current, and κ is the thermal conductivity of the bulk substrate. The impinging beam diameter is assumed smaller than the dimension of the excitation volume. For electron irradiation η represents the backscattered electron yield. A negligibly small part of the energy is lost to exiting SEs, x rays, and Auger electrons. Increasing the incident electron energy results in a temperature decrease since the range $R_E \sim E^{5/3}$ (see Appendix A). The temperature increase using beam currents in the microampere range is in the order of 100 °C according to the substrate used. This can trigger the thermal dissociation of adsorbed molecules²⁰⁴ or local recrystallization, for example, in amorphous TiO_2 films.²⁰⁵

The same formula applies for ion irradiation. With Ga ions at 1–50 keV energy losses due to sputtering (mean energy around 50 eV), backscattered ions ($=0$), secondary electrons (mean energy around few eV), and substrate amorphization are negligible.²⁰⁶ The range of Ga ions is 10–1000 times smaller than for electrons at the same energy (see Fig. A1 in Appendix A). This means heating with FIB can become quite substantial for substrate with low heat conductivity and easily reach temperature values above the temperature of thermal dissociation of molecules. The range of He ions on the other hand shows approximately the same magnitude as the range of electrons.

For analytical solutions of temperature profiles in planar layered structures, we refer to Ref. 207. Measurements with nanosized thermocouples are reported in Ref. 208. The structures investigated were a 300 nm thick PMMA layer and a 1 μ m thick oxide layer on silicon. Irradiation by a 15 keV, 150 nA electron beam of 1.7 μ m radius for 100 ms yielded a temperature rise at the resist bottom surface of approximately 18 K. The temperature rise achieved a steady state value within 10 μ s.²⁰⁹

2. Pillar geometry

The local temperature rise ΔT at the apex of a cylindrical pillar with length L_{dep} , diameter D_{dep} , and heat conductivity κ_{dep} connected to a heat sink at fixed (room) temperature can be estimated by⁴⁵

$$\Delta T_{\text{pillar}} = \left(\frac{dE}{ds} \Delta s \frac{I_p}{e_0} \right) \frac{4L_{\text{dep}}}{\pi\kappa_{\text{dep}}D_{\text{dep}}^2}. \quad (2.16b)$$

It is assumed that the heat is absorbed at the very end of the pillar and is dissipated one dimensionally by conduction into the heat sink. The term $(dE/ds) \Delta s(I_p/e_0)$ represents the irradiation energy absorbed and is the product of the energy loss (dE/ds) (see Appendix B) and the average trajectory path Δs of electrons or ions inside the cylinder (see the inset in Fig. 22). Although this definition does not account for the change of stopping power with energy along the trajectory, it

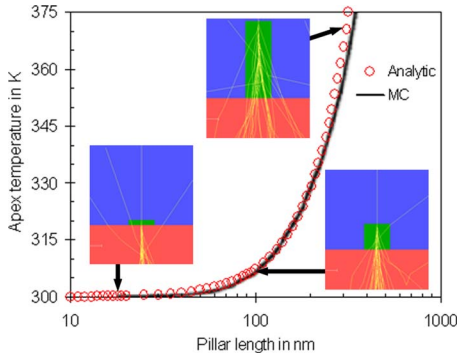


FIG. 22. Comparison of simulations from Ref. 210 with analytical estimation $\Delta T = \text{const} \times L^2$. The SiO_2 pillar with 100 nm diameter was irradiated with a 20 keV, 500 pA beam of electrons. Insets show 30 electron trajectories at pillar lengths of 20, 100, and 300 nm and illustrate the shift of the interaction volume from the substrate into the pillar. (The conical apex shape is omitted.)

is a good estimate that can be readily applied to pillars and freestanding rods. Equation (2.16b) can be rewritten as $\Delta T_{\text{pillar}} = \text{const} \Delta s L_{\text{dep}}$. For coaxial alignment of pillar and incident beam, the average trajectory path can be taken as the observable apex cone length. If the pillar is smaller than the cone length, part of the trajectories still penetrates into the bulk substrate; the average pillar trajectory path becomes $\Delta s \approx L_{\text{dep}}$ and thus $\Delta T_{\text{pillar}} \approx \text{const} L_{\text{dep}}^2$. The square dependence shows good agreement with a combined Monte Carlo/

finite elements simulation²¹⁰ (see Fig. 22). Generally, the lower the incident electron energy, the higher is the stopping power and the smaller is the electron range (interaction volume). Thus the heat source is concentrated in the pillar apex and leads to higher temperatures for smaller incident electron and ion energies. For freestanding rods perpendicularly oriented to the incident beam, the average trajectory path becomes comparable to the rod diameter $\Delta s \approx D_{\text{dep}}$.

MC simulations performed by Weber²¹¹ conclude that no considerable temperature increase is to be expected for electron irradiation of pure metallic nanowires. Since often the deposits consist of polymerlike carbon matrix with a low heat conductance embedding the metal nanocrystals, temperatures for thermal decomposition of some precursor molecules can be reached by beam heating (see Fig. 23). Metal contents close to 100 at. % are then obtained by thermal decomposition for $\text{Co}_2(\text{CO})_8$ (Ref. 104) and (hfa)Cu-VTMS.²¹² The metallic nanocrystals itself manifest size dependent melting behavior, which can lower the melting temperature by 600 K compared to bulk.²¹³ The possibility of sintering of nanocrystals at room temperature under electron irradiation due to strong electronic excitations and plasmonic states was pointed out in Ref. 214: the plasmon energy of a nanocrystal entirely released into phonons would correspond to a temperature of several hundred degrees.

The resolution, however, is now determined by the temperature distribution, being apparently less confined than the

focused beam. Cooling due to the impinging precursor flux might also play a role for inhomogeneous axial composition gradients observed in cross section investigations of pillar deposits.²⁰⁴

3. Membranes

For membranes the temperature increase can be estimated with⁴⁵

$$\Delta T_m = \left(\frac{dE}{ds} \Delta s \frac{I_P}{e_0} \right) \frac{\ln(2r_m/r_B)}{2\pi\kappa_m t_m}, \quad (2.16c)$$

where r_B is the beam radius and r_m is the distance to a heat sink (e.g., the Cu grid for TEM supports). Heat dissipates in two dimensions inside the membrane to the heat sink. Typically, TEM membranes are very thin (around 10 nm), so that electrons penetrate for incident electron energies >1 keV, i.e., the average trajectory path becomes equal to the membrane thickness $\Delta s = t_m$. In this case the temperature increase becomes independent of membrane thickness and stays relatively low. However, when depositing pillars on membranes, the average trajectory path increases to the vertical size of the deposit and $\Delta s = L_{\text{dep}}$. Also the energy loss dE/ds of the deposit material should be used. Since the heat dissipation in membranes is reduced to two dimensions, the temperature increase in pillars on membranes becomes more important than on bulk substrates. This can explain experimental observations that pure iron nanocrystals^{215,216} and gold nanocrystals²¹⁷ were found as a result of FEB induced deposition on membranes using $\text{Fe}(\text{CO})_5$ and $\text{Me}_2\text{Au}(\text{acac})$ as precursors, respectively. Assuming a thermal decomposition of these molecules, temperatures of around 60°C to 100°C were achieved by beam heating in this configuration.

III. FEB AND FIB CONTINUUM MODELS

What is generally of most practical interest is how much material is removed or how much material is deposited under given circumstances. Although general trends can be predicted from FEB and FIB models, these models are still far from being quantitative since the input parameters are rarely known as was reviewed in Sec. II. Since deposition or etch rates can be measured straightforwardly, FEB and FIB continuum models generally attempt to determine the unknown parameters entering into Eqs. (2.1a), (2.1b), and (2.2). The parameters needed to be determined are the precursor molecule flux J , the sticking probability s , the volume V of the deposited molecule (for etching this volume is defined by the etched material), the residence time τ , the integral cross section σ , and the surface diffusion coefficient D [see Fig. 24(a)]. The incident electron and ion flux distribution $f(r)$ can be measured independently (see Sec. I C). Recently, a method to independently determine the impinging precursor flux from a nozzle supply was published.¹⁷⁹ The (maximum) monolayer density n_0 is sometimes determined experimentally or taken as the inverse of the molecule size. This makes in total six to seven independent parameters, thus requiring an equivalent number of independent experiments for their determination.

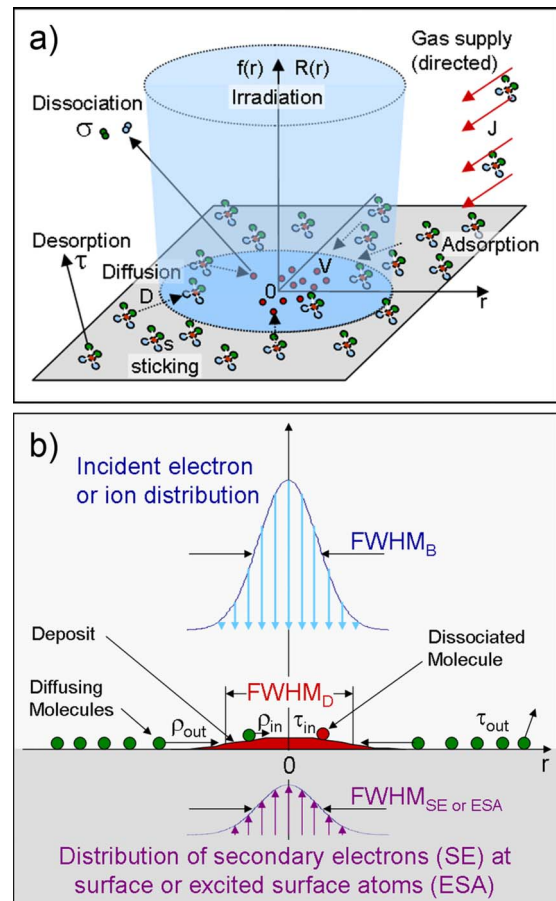


FIG. 24. Reference system and processes involved in FEB induced deposition. Inside the irradiated area precursor molecules are depleted by dissociation. Replenishment occurs by gas phase transport and by diffusion. The symbols J , s , τ , D , and σ denote the molecule flux, the sticking probability, the residence time, diffusion coefficient, and the dissociation cross section. V is the volume of the decomposed molecule. (b) Schematics of incident beam size, size of generated secondary distributions, and deposit size in terms of the full width at half maximum (FWHM). Additionally, the effective residence times τ and the diffusion paths ρ inside and outside the irradiated area are indicated.

One should keep in mind that cross sections calculated from measured deposition or etch rates are *integral values over the entire energy spectrum and all fragmentation channels responsible for fixation or etching*. Consequently, they are *reaction parameters* adequately reflecting the gas-assisted FEB or FIB process with a given material system under given reaction conditions. Depending on the domination of the one or other fixation mechanisms, the energy dependence of such obtained cross section might condense into one of the types described in Secs. II B or II C. Furthermore, the volatile reaction products will have their specific surface residence times, which might be different from the residence time of the intact molecule.

The continuum model formalism can be applied to both FIB and FEB gas-assisted processing. The energy integral in Eq. (2.1a) and (2.1b) is approximated by the product $\sigma f(r)$ so that the deposition or etch rate R (in units of dimension per unit time) becomes

$$R(r) = Vn(r) \int_0^{E_{PE}} \sigma(E)f(r,E)dE \cong Vn(r)\sigma f(r). \quad (3.1)$$

For ion beams the physical sputter term has to be included (see Sec. II A). The spatial distribution of the emitted energy spectrum [see Fig. 24(b)] due to secondary electrons at the surface or excited surface atoms is assumed to equal the incident beam distribution. This is the case for low-aspect ratio deposits or etch holes and when the incident beam size is large compared to the size of the emitted spectrum, as discussed in Sec. II A 3 and summarized in Table IV. Furthermore, precursor depletion and replenishment is calculated solving the adsorption rate given in Eq. (2.2) for $n(r)$ assuming a planar surface.

We will start reviewing the steady state solutions of Eq. (2.2) with and without diffusion, which results in the important definition of (a) scaling laws for resolution of low-aspect-ratio deposits (etch holes) in terms of fundamental dimensionless variables and (b) in the definition of three FEB and FIB gas-assisted process regimes.

Subsequently, the time dependent solution of Eq. (2.2) is presented and the use of such solutions for extraction of molecule and interaction parameters is discussed. Finally, we specify the conditions for the electron or ion flux limited regime and briefly introduce models involving several different molecule species on the surface. Of note is that the concepts developed for focused charged particle deposition and etching can also be applied to focused beams of photons, i.e., laser induced deposition and etching.¹⁸⁹

A. Steady state solutions

Solving Eq. (2.2) for steady state $dn/dt=0$ and neglecting the diffusion term, we obtain $n(r)=sJ\tau_{\text{eff}}(r)$ with the effective residence time of the molecules $\tau_{\text{eff}}(r)=(sJ/n_0+1/\tau+\sigma f(r))^{-1}$. The deposition or etch rate becomes

$$R(r) = sJ\tau_{\text{eff}}(r)V\sigma f(r) \quad (3.2)$$

and represents the deposit or etch shape at a given time (see Fig. 25). For any peak function $f(r)$ with a peak value $f_0=f(r=0)$, an effective residence time in the center of the electron or ion beam is defined: $\tau_{\text{in}}=\tau_{\text{eff}}(r=0)=1/(sJ/n_0+1/\tau+\sigma f_0)$. The effective residence time far away from the electron beam center becomes $\tau_{\text{out}}=\tau_{\text{eff}}(r\rightarrow\infty)=1/(sJ/n_0+1/\tau)$. Hence, the molecule density outside the irradiated area is $n_{\text{out}}=sJ\tau_{\text{out}}$ and at the beam center $n_{\text{in}}=sJ\tau_{\text{in}}$. Inserting τ_{in} into Eq. (3.2) gives $R(r=0)=V\sigma f_0 \cdot sJ/(sJ/n_0+1/\tau+\sigma f_0)$, which is also the solution for a homogeneous incident beam already derived in the 1950s (Refs. 4 and 8) (sometimes differing by the term sJ/n_0 according to the adsorption model used).

We now define three fundamental dimensionless parameters characterizing the gas-assisted FEB or FIB process. The residence time ratio,

$$\tilde{\tau} = \tau_{\text{out}}/\tau_{\text{in}} = 1 + \sigma f_0/(1/\tau + sJ/n_0), \quad (3.3a)$$

represents a measure for depletion of precursor molecules due to dissociation at the center of the beam. The value $\tilde{\tau}$

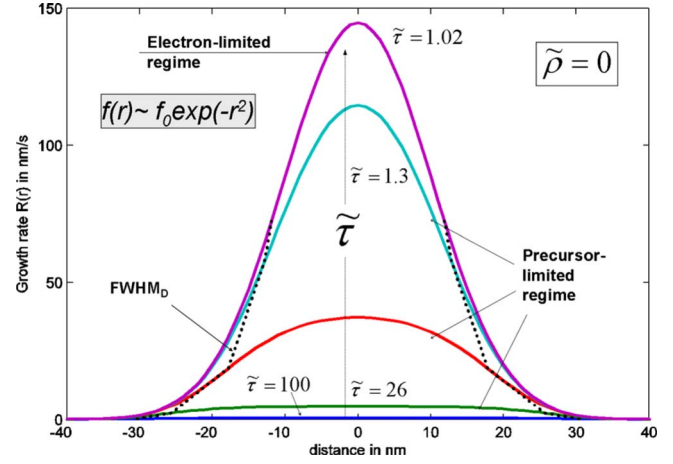


FIG. 25. Calculated deposit shapes according to Eq. (3.2) for differing molecule depletion $\tilde{\tau}$ (no diffusion, $\tilde{\rho}=0$). The incident Gaussian beam has a FWHM of 24 nm. The FWHM of the deposit (FWHM_D) is increasing with increasing depletion.

$=1$ holds for a zero intensity beam or zero interaction with the molecule. Furthermore, we define the dimensionless deposit or etch resolution as the FWHM ratio of deposit (etch hole) and incident beam,

$$\tilde{\varphi} = \text{FWHM}_D/\text{FWHM}_B. \quad (3.3b)$$

FWHM_D and FWHM_B are given by the FWHM of $R(r)=sJ\tau_{\text{eff}}(r)V\sigma f(r)$ and $f(r)$, respectively [see Fig. 24(b)]. The amount of replenishment by surface diffusion is given by the molecule diffusion path inside the irradiated area $\rho_{\text{in}}=(D\tau_{\text{in}})^{1/2}$ with respect to the beam size,

$$\tilde{\rho} = 2\rho_{\text{in}}/\text{FWHM}_B. \quad (3.3c)$$

For completeness we mention that the diffusion path outside the irradiated area is $\rho_{\text{out}}=(D\tau_{\text{out}})^{1/2}$. By means of the dimensionless parameters defined in Eq. (3.3a), (3.3b), and (3.3c), the scaling law of resolution as a function of irradiative depletion $\tilde{\varphi}(\tilde{\tau})$ is conveniently expressed as²¹⁸

$$\tilde{\varphi} = \{\log_2(1 + \tilde{\tau})\}^m, \quad (3.4)$$

with $m=\frac{1}{2}$ for a Gaussian distribution $f(r)=f_0 \exp(-r^2/2a^2)$.

Taking the diffusion term in Eq. (2.2) into account and solving for $dn/dt=0$ and rotational symmetry, an analytical solution can be obtained for a cylindrical flat top distribution $f(r)=f_0$ for $|r|\leq\text{FWHM}_B/2$. The molecule density becomes (in our notation)²¹⁹

$$n(r) = n_{\text{out}}\{\tilde{\tau}^{-1} + C_2 I_0(r/\rho_{\text{in}})\}, \quad (3.5)$$

where I_0 is the modified Bessel function. The diffusion contribution C_2 is given in Appendix E. A one dimensional solution for large rectangular scan windows is given by Ref. 220. The solution of $n(r)$ in Eq. (3.5) is represented graphically in Fig. 26.

Three important FEB and FIB process regimes can be distinguished: diffusion enhanced, precursor limited, and

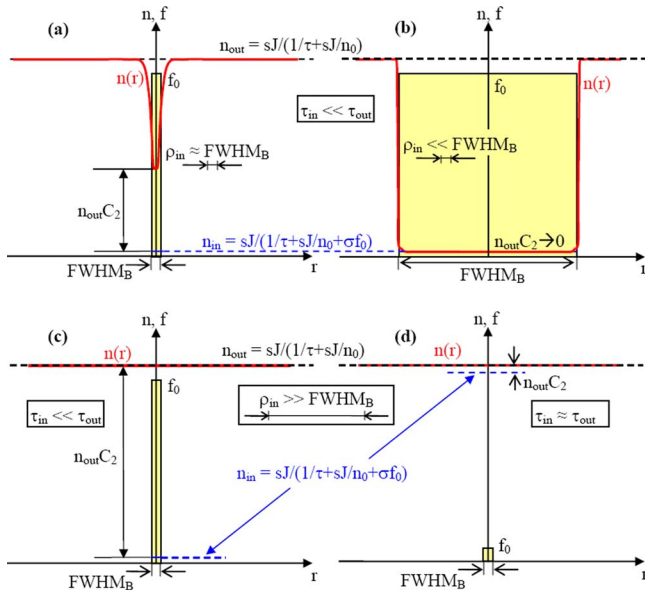


FIG. 26. Molecule density $n(r)$ in different FEB and FIB process regimes (red line). The beam size (FWHM_B) and beam flux intensity (f_0) is highlighted in yellow (for simplicity the beam flux distribution is flat top and the FWHM_B thus corresponds to the cylinder diameter). The density n_{out} is established outside the irradiated area and n_{in} (blue dashed line) represents the density inside the irradiated area without diffusion. The degree of depletion depends on the ratio of the effective residence times τ_{in} and τ_{out} defined in the context of Eq. (3.2). The replenishment by diffusion is given by $n_{\text{out}}C_2$. It increases with increasing diffusion path inside the irradiated area ρ_{in} and decreasing size of the irradiated area. (a) Diffusion-enhanced regime. The diffusive replenishment recovers part of the irradiative depletion. (b) Precursor-limited regime. The diffusion contribution is negligible since the diffusion path is small compared to the size of the irradiated area (beam diameter). (c) Electron- or ion-limited regime. The diffusive replenishment fully compensates irradiative depletion due to the large ratio of diffusion path vs size of irradiated area. (d) Electron- or ion-limited regime at low irradiative depletion.

electron or ion limited. Respectively, the terms mixed regime, precursor mass limited, and reaction limited can be found in the literature.

- (1) The diffusion-enhanced regime is characterized by a dominance of molecule replenishment by surface diffusion with respect to precursor adsorption from the gas phase supply.
- (2) The precursor-limited regime is characterized by negligible amount of surface diffusion and the process rate is determined by adsorption from the gas phase.
- (3) The electron or ion limited regime is characterized by a fast replenishment rate compared to the molecule dissociation rate. This can be due to low dissociation efficiency (small dissociation cross section), a low incident ion or electron flux, a high molecule adsorption rate from the gas phase, or high molecule supply by diffusion on the surface.

Sometimes it is practical to pool the diffusion-enhanced regime and the precursor-limited regime in a more general molecule-limited regime. However, the diffusion-enhanced regime deserves a specific consideration since it is beam size dependent, i.e., it becomes operative when molecules reach

the depleted center of irradiation by surface diffusion, $\tilde{\rho} \approx 1$. With increasing diffusive replenishment the deposition or etch rate increases from

$$R = n_{\text{in}}V\sigma f_0 \text{ for } \tilde{\rho} \ll 1 \quad (3.6a)$$

to

$$R = n_{\text{out}}V\sigma f_0 \text{ for } \tilde{\rho} \gg 1. \quad (3.6b)$$

Within these limits the scaling law for the FEB or FIB deposition or etch rate as a function of the FWHM of the beam was derived to²¹⁹

$$R(\text{FWHM}) \propto 1/\text{FWHM}_B^2, \quad (3.7)$$

for a flat top beam distribution, but also approximately holding for a Gaussian incident beam profile. The maximum diffusion enhancement in deposition rate becomes $R(\tilde{\rho} \rightarrow \infty)/R(\tilde{\rho}=0) = \tilde{\tau}$ at $r=0$. Experimentally, a variation of the contamination rate over four orders of magnitude was shown.²¹⁹

Solving Eqs. (2.2) and (3.1) for a Gaussian distribution reveals all shapes observed in experiments: from flat top shapes (precursor-limited regime) to indented and round shapes (diffusion-enhanced regime) to Gaussian peaked shapes (electron-ion-limited regime), see Fig. 27(a). The indented shapes are due to diffusing precursor molecules fixed at the periphery of the impinging beam.²²¹ Both deposition rate and resolution increase with increasing diffusive replenishment. For $\tilde{\rho} \gg 1$, $R(r) = sJ\tau_{\text{out}}V\sigma f(r)$ since any depletion is entirely compensated by diffusion. In other words, the electron-limited regime is established and the deposit shape corresponds to the electron or ion beam distribution $f(r)$. A universal graph relating the dimensionless resolution to irradiative depletion and diffusive replenishment, $\tilde{\varphi}(\tilde{\tau}, \tilde{\rho})$, is shown in Fig. 27(b) holding independently of how diffusive replenishment is experimentally achieved: either via the beam size FWHM_B (using the focus of the beam) or via the diffusion path ρ_{in} (changing precursor diffusion).

The scaling law of resolution versus diffusive replenishment $\tilde{\varphi}(\tilde{\rho})$ is²¹⁸

$$\tilde{\varphi} \cong \{\log_2(2 + 1/\tilde{\rho}^2)\}^m, \quad (3.8)$$

with $m = \frac{1}{2}$ for a Gaussian distribution $f(r) = f_0 \exp(-r^2/2a^2)$. For high-aspect-ratio structures the diffusion becomes one dimensional along the length dimension. Aristov²²² and Kislov²²³ presented an analytical solution of the molecule density for a freestanding rod being deposited by a horizontally moving electron beam. The expression is similar to Eq. (3.5) but the diffusion contribution term contains now the dependence on the rod length, being inversely proportional to it. Formally, this solution should be applicable also to high-aspect cylinders deposited or etched coaxially to the beam provided that beam heating effects can be neglected. Summarizing, the continuum model scaling laws predict that the highest the resolution and deposition or etch rate, the better the precursor is replenished in the irradiated area or, in other words, the better molecule depletion is avoided. The

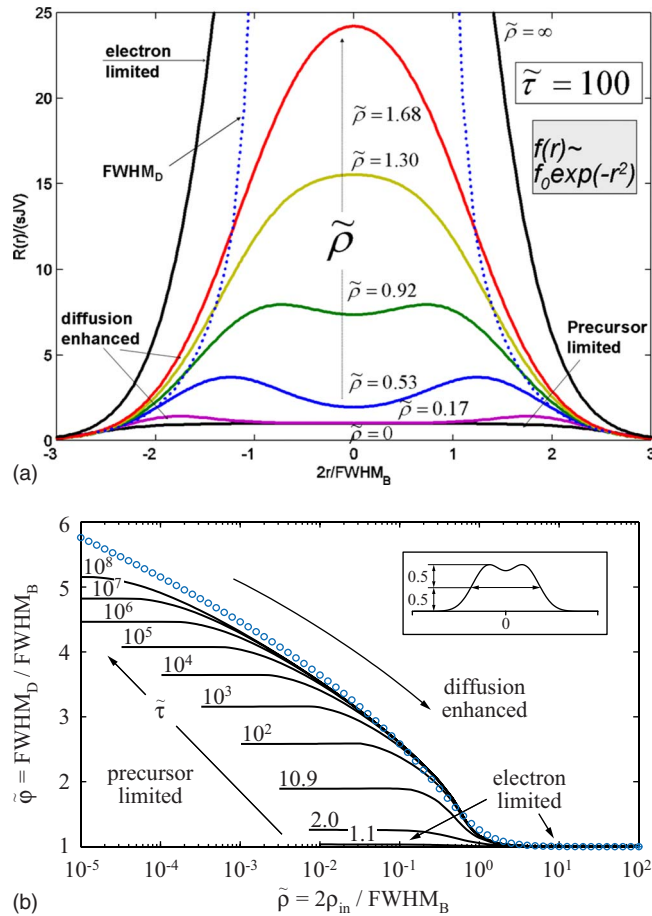


FIG. 27. (a) Normalized steady state deposition rate at indicated depletion representing the deposit shape. Note the shape transition from flat top, $\tilde{\rho} = 0$, indented, $\tilde{\rho} = 0.17$, round, $\tilde{\rho} = 1.3$, to Gaussian, $\tilde{\rho} = \infty$, and the related decrease of the deposit FWHM (FWHM_D) with increasing diffusive replenishment $\tilde{\rho}$. (b) Normalized deposit size vs normalized diffusion path for varying depletion (indicated). At $\tilde{\rho} = 2$ the diffusion path equals the beam size. Circles represent the scaling law in Eq. (3.8). The inset shows the FWHM_D definition of indented deposits (from Ref. 218).

smaller the beam size, the better molecule replenishment can be achieved by surface diffusion.

B. Parameter determination from steady state exposures

Generally, Eqs. (2.1a), (2.1b), and (2.2) contain six unknowns for FEB deposition, namely, s , J , V , σ , τ , and D . Surely, V could be considered as known in etch experiments. Sometimes n_0 is also unknown when the molecule physisorbs only on specific adsorption sites. To get a fully determined system for parameter extraction, six to seven independent experiments would be needed. Exposures using defocused beams with uniform irradiation f were frequently performed since the diffusion contribution is only peripheral and does not affect the central irradiation zone [see Fig. 26(b)]. The deposition rate then becomes

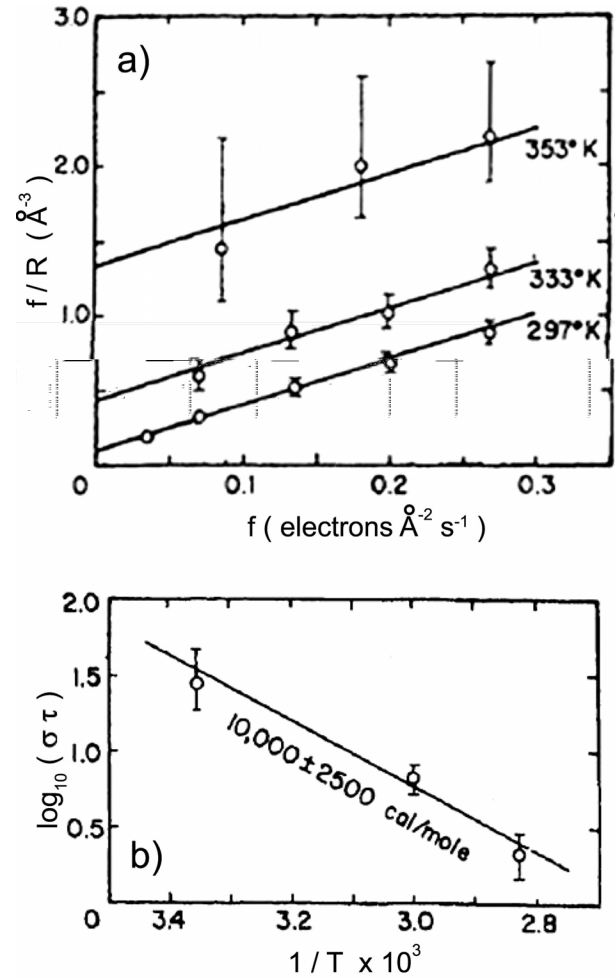


FIG. 28. (a) f/R vs f plot for electron beam induced deposition using methylphenylpolysiloxane (pump oil DC 704) and a 225 eV beam (from Ref. 8). (b) Product of the electron impact cross section with the residence time of the oil molecule on the deposit vs reciprocal absolute temperature. The slope gives the desorption enthalpy of 0.43 eV (from Ref. 8).

$$R = nV\sigma f = \frac{sJ}{sJ/n_0 + 1/\tau + \sigma f} V\sigma f. \quad (3.9)$$

Varying the electron or ion flux f allows us to test the fundamental assumption of a second order kinetics relating R proportionally to the product nf . Generally this proportionality is valid except for a square root relation that was found for the polymerization of butadiene molecules by electron irradiation.²²⁴ Expressing Eq. (3.9) as^{8,187}

$$\frac{f}{R} = \frac{sJ/n_0 + 1/\tau}{VsJ\sigma} + \frac{1}{VsJ} \quad (3.10)$$

allows determining the product sJV in a Cartesian plot from the slope of f/R vs f [see Fig. 28(a)]. The precursor flux J and the specific volume of the fixed decomposed molecule must be known to determine the sticking probability s . The intersection point at $f=0$ is $(Vn_0\sigma)^{-1}$ for $sJ/n_0 \gg 1/\tau$. This condition must be validated when publishing cross sections σ for FEB or deposition yields $Y_D = n_0\sigma$ for FIB and can be achieved by cooling the substrate.¹⁸⁷ FEB cross sections

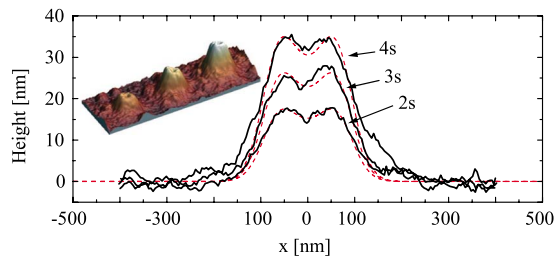


FIG. 29. Shape fitting with continuum model. AFM image and line scans of FEB deposits from $\text{Cu}(\text{hfa})_2$ precursor. Exposure times are indicated. Fits (dashed lines) were obtained with $\sigma=0.6 \text{ nm}^2$ (=molecule size), $\tau=10^{-3} \text{ s}$ (typical value), and $D=4 \times 10^{-7} \text{ cm}^2 \text{ s}^{-1}$. Other deposition parameters: Gaussian beam with FWHM=110 nm (5 keV) and $f_0=9 \times 10^4 \text{ nm}^{-2} \text{ s}^{-1}$. Precursor supply with $sJ/n_0=10 \text{ ML/s}$ (from Ref. 218).

were measured, for instance, for $\text{Ru}_3(\text{CO})_{12}$ ($\sigma=2 \times 10^{-3} \text{ nm}^2$, 50 keV) (Ref. 187) and benzol ($\sigma=0.35 \text{ nm}^2$, 200 eV).¹¹⁰ For FIB examples of cross sections include $\text{W}(\text{CO})_6$ ($\sigma=10 \text{ nm}^2$, 42 keV Ga^+) (Ref. 88) and $\text{Me}_2\text{-Au}(\text{hfa})$ ($\sigma=52 \text{ nm}^2$, 40 keV Ga^+).⁵⁸ For $sJ/n_0 \ll 1/\tau$, the intersection point becomes $(sJV\sigma\tau)^{-1}$ and only the product $\sigma\tau$ can be determined correctly.⁸ Increasing the temperature will leave the cross section unaltered but decrease the residence time according to $\tau=\tau_0 \exp(E_{\text{des}}/kT)$, so that desorption energies E_{des} of molecules (or molecule fragments) on the irradiated deposit can be determined from $\sigma\tau$ [see fig. 28(b)], representing the real experimental conditions. As a rule of thumb, roughly one-fifth of the desorption energy can be considered as the activation energy for surface diffusion (see Sec. II E 1). The deposited molecule volume is determined by density and composition measurements (see Sec. VI A 3).

When focused beams are employed for deposition and etching, diffusion should be taken into account. If the distribution $f(r)$ and the local precursor flux are known, already a simple dot deposition experiment allows making lower limit estimations of the cross section and diffusion coefficient from the FWHM ratio of the beam and the deposit.²¹⁸ According to Fig. 27(b) the same FWHM ratio of deposit to beam can be achieved for larger depletion and larger diffusive replenishment or, in other words, larger σ and D . Additional experiments are needed for their unique determination.

The shapes of deposits as shown in Fig. 29 give further details, like indents, to match which further limits the fit range of the parameters.

The shape fits shown in Fig. 29 and their parameters are summarized in Table VIII together with other experiments.

From Table VIII follows that the measured adsorption energy is in the range of reversible physisorption (compared to energies of chemisorption in the 1 eV range). It was noted that extraordinarily high attempt periods τ_0 result from the relation $\tau=\tau_0 \exp(E_{\text{des}}/kT)$ compared to $\tau_0 \approx 1 \times 10^{-12} \text{ s}$ obtained from the classical transition state theory.¹⁸⁹ They might be due to the large degrees of internal vibrational states for large molecules or it might be due to a possible ionization of the molecule that prevents it from normal desorption. Instead it will stay in contact with the (un)charged surface.²²⁶ An increase of the molecule desorption (adsorption) energy with decreasing electron beam current and decreasing beam energy was found in WF_6 deposition²²⁷ [whereas Fig. 28(a) shows no dependence for methylphenylpolysiloxane]. It was suggested that secondary electron stimulated desorption of fluorine species dominated at high beam currents and low beam energies over natural thermal desorption. Generally, published values of diffusion coefficients, residence times, and cross sections (yields) determined from FEB and FIB deposition or etch experiments are very limited and show a large scatter (see also Sec. III D). For these reasons it is difficult to draw firm conclusions about possible irradiation enhancement effects. Further well defined experiments (excluding process regime dependencies) are definitely needed to better quantify the diffusion, adsorption, and dissociation behavior of molecules under irradiation.

C. Time dependent solutions for pulsed irradiation

In the case of negligible diffusion (broad beams or large scan fields), the adsorption rate equation (2.2) can be solved analytically and gives the general time dependent solution

$$n(t) = Ce^{-kt} + B. \quad (3.11a)$$

Denoting “beam on” (depletion) and “beam off” (replenishment) with subscripts d and r , respectively, the constants k , B , and C can be obtained from $n_d(t=0)=n_{\text{out}}$, $n_d(t=\infty)=n_{\text{in}}$,

TABLE VIII. Parameters determined from focused electron, ion, and photon induced deposition and etching experiments using the continuum model. The diffusion coefficient and the residence time are given at room temperature.

Beam; precursor	Incident energy	Cross section (nm^2)	Residence time	Diffusion coefficient (cm^2/s)	Desorption energy (eV)	Ref.
FEB; $\text{Cu}(\text{hfa})_2$	5 keV	0.1–0.6	...	$(0.3\text{--}4) \times 10^{-7}$...	218
Ga-FIB;	10 keV	6.5	80 ms	8×10^{-6}	0.2–0.3	225
Si etch with Cl_2						
FEB;	100 keV	0.007–0.02	...	6.4×10^{-10}	...	223
contamination						
Ar^+ -laser;	257.2 nm	2×10^{-7}	140 s	3×10^{-7}	0.13	189
Tetraethyl lead						

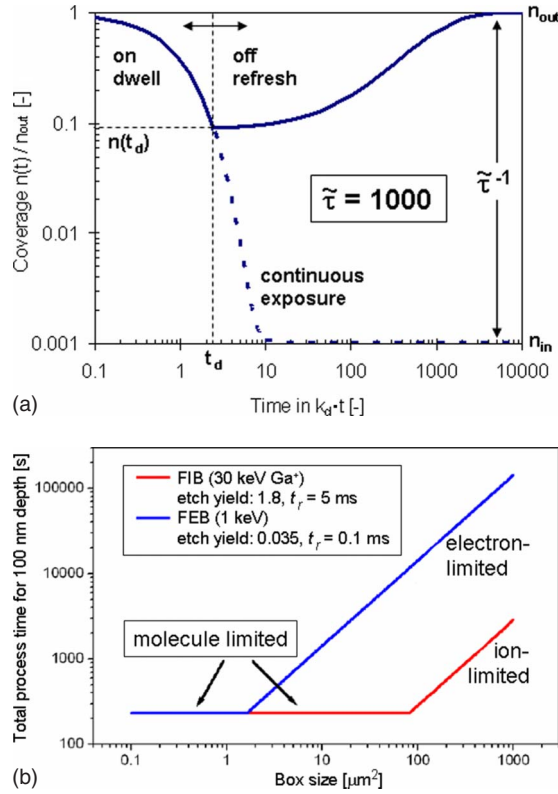


FIG. 30. (a) Normalized molecule density $n(t)/n_{out}$ for one on/off cycle and a continuous exposure. Typical dwell times are in the microsecond range whereas the refresh time range is around several milliseconds. Here we have chosen $t_d = 1 \times 10^{-4} \cdot t_r$ and an irradiative depletion $\tilde{\tau} = 1000$. Note that depletion can be effectively reduced due to small dwell times and long replenishment (refresh) cycles. (b) Comparison of the total process time for gas-assisted FEB and FIB etching of a 100 nm deep box into SiO_2 . For large boxes the total process time becomes electron and ion limited. At small box sizes the process (and the throughput) becomes molecule limited (courtesy of K. Edinger, NaWoTec GmbH).

$n_r(t=0) = n_{in}$, and $n_r(t=\infty) = n_{out}$. n_{in} and n_{out} were defined in Sec. III A giving,

$$k = \begin{cases} k_d = 1/\tau_{in} = sJ/n_0 + 1/\tau + \sigma f & \text{on} \\ k_r = 1/\tau_{out} = sJ/n_0 + 1/\tau & \text{off} \end{cases} \quad (3.11b)$$

and

$$B = \begin{cases} B_d = sJ/k_d (= n_{in}) & \text{on} \\ B_r = sJ/k_r (= n_{out}) & \text{off.} \end{cases} \quad (3.11c)$$

The constants C become $C_d = -C_r = B_r - B_d$. The solutions of Eq. (3.11a) for a pulsed beam are found by imposing the corresponding periodic steady state conditions $n_d(0) = n_r(t_r)$ and $n_r(0) = n_d(t_d)$, t_d being the dwell time (depletion) and t_r the refresh time (replenishment). This yields for C ,

$$C = \begin{cases} C_d = (B_r - B_d)(e^{-k_r t_r} - 1)(e^{-k_d t_d} - 1)^{-1} & \text{on} \\ C_r = (B_d - B_r)(e^{-k_d t_d} - 1)(e^{-k_r t_r} - 1)^{-1} & \text{off.} \end{cases} \quad (3.11d)$$

Figure 30 shows the normalized adsorbate coverage for one on/off cycle.

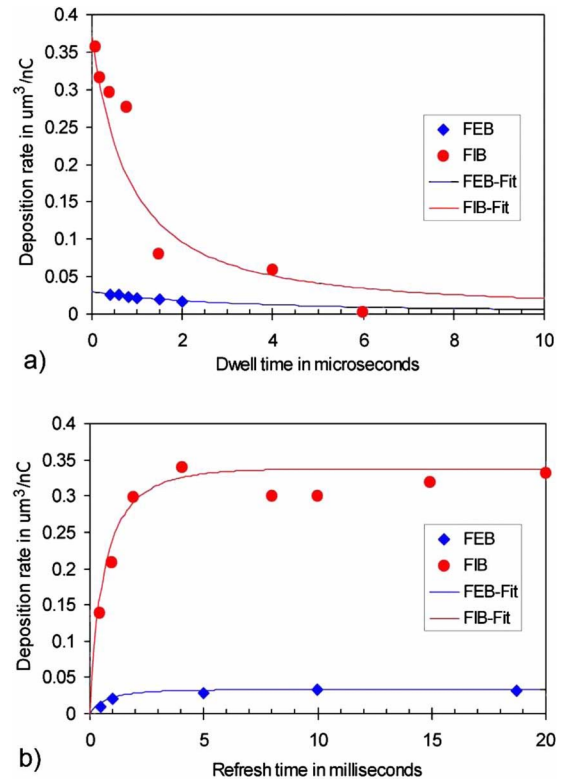


FIG. 31. Comparison of FEB (10 keV) and FIB (30 keV Ga^+) deposition rates with $\text{Si}(\text{OCH}_3)_4$ precursor (TMOS). Solid lines represent the analytical solution of Eq. (3.13) fitted to the experimental data. (a) The dwell time variation was performed at $t_r = 10$ ms. (b) The refresh time variation was performed at $t_d = 200$ ns. Experimental data and FIB fits were reproduced from Ref. 231.

Often $n_{in} \ll n_{out}$ and $n(t) \approx n_{out} \exp(-k_d t)$ for $t \leq k_d^{-1} \ln(\tilde{\tau})$, which is about $t < 7k_d^{-1}$ for $\tilde{\tau} = 10^3$ [see Fig. 30(a)]. The scaling law relating the dwell time to deposit or etch resolution is found making use of Eq. (3.4) and the exponential increase of depletion with dwell time,²¹⁸

$$\tilde{\varphi} = \{\log_2(1 + \exp(k_d t_d))\}^m, \quad (3.12)$$

with $m = \frac{1}{2}$ for a Gaussian distribution and $t \leq k_d^{-1} \ln(\tilde{\tau})$. Explicitly, the condition $t_d \leq 0.27k_d^{-1}$ is found when the lateral deposit or etch size should not exceed 10% of the FWHM_B of a Gaussian incident beam.

The chemically driven deposition or etch rates during the exposure interval t_d is given by

$$R = \frac{V\sigma f}{t_d} \int_0^{t_d} n(t) dt = V\sigma f \left\{ \frac{(B_r - B_d)[1 - \exp(-k_r t_r)][1 - \exp(-k_d t_d)]}{k_d t_d [1 - \exp(-k_r t_r) \exp(-k_d t_d)]} + B_d \right\}, \quad (3.13)$$

in units of unit dimension per unit time. Similar expressions with differing notations can be found in Refs. 59, 88, and 228–232. The solutions $R(t_d)$ and $R(t_r)$ are shown graphically in Fig. 31. Here R is given in units of volume per

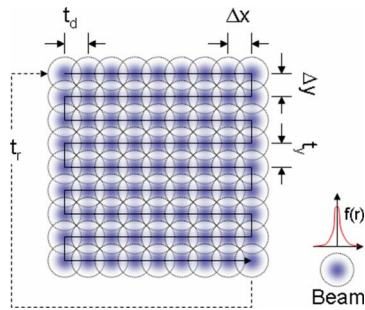


FIG. 32. Digital serpentine raster scan scheme with overlap. The (Gaussian) beam dwells for a time t_d at (x, y) and is then moved by Δx and Δy to the next exposure spot. The scan is repeated after a refresh time t_r .

incident charge ($\mu\text{m}^3/\text{nC}$), which is obtained by dividing R in Eq. (3.13) by $e_0 f$. Basically, decreasing the pixel dwell time avoids molecule depletion to proceed down to its steady state value [see Fig. 30(a)]. The deposition or etch rate increases and saturates for dwell times smaller than the effective residence time inside the irradiated area $t_d \ll \tau_{\text{in}}$ [which is not visible on the linear scale in Fig. 31(a)]. Increasing the refresh time results in molecule replenishment of all the irradiated pixels before the next irradiation cycle begins. The deposition and etch rate increase and finally saturate for refresh times larger than the effective residence time outside the irradiated area $t_r \gg \tau_{\text{out}}$. Obviously, for a dwell time $t_d \ll \tau_{\text{in}}$ and a refresh time $t_r > \tau_{\text{out}}$, the maximum rate $R = n_{\text{out}} V \sigma f$ is achieved due to negligible depletion. This is why the higher efficiency in deposition with ions compared to electrons levels off in the total process time for small scan windows [see Fig. 30(b)]. Within the electron- or ion-limited regime, there is a direct proportionality between total process time t and box size A for a given thickness z to be etched or deposited. This follows from $t = z/R = z/(V \sigma n f) = z A e_0 / (V \sigma n I_p)$. The molecule density n can be regarded as constant in the electron- or ion-limited regime.

D. Parameter determination from raster scan exposures

Often exposure of boxes is achieved via a serpentine scan shown in Fig. 32. The beam is moved in increments along Δx and Δy on the surface exposing each increment with a dwell time t_d . After finishing one raster scan, the beam repeats the pattern after a refresh time t_r , sometimes also called as loop time. Evidently, the minimum refresh time that can be realized in such a raster scan is given by the number of pixel exposures in the scan window times the dwell time for each pixel. Each pixel is exposed during a dwell time t_d and, in case of overlap, also from adjacent pixels after multiples of t_d and t_y (see Fig. 32). For zero overlap and flat top distribution $f(r)$, the deposition or etch rate of this scan is given by Eq. (3.13). Experiments and theory are compared in Fig. 31. for FEB and FIB deposition. From the $R(t_d)$ and $R(t_r)$ curves the exponential exponents k_r and k_d can be fitted, hence the

effective residence times τ_{in} and τ_{out} [see Eq. (3.11b)]. If $\sigma f \gg sJ/n_0 + 1/\tau$, the cross section can be determined $\sigma \approx 1/(\tau_{\text{in}} f)$, and if $sJ/n_0 \ll 1/\tau$, the residence time can be estimated, $\tau \approx \tau_{\text{out}}$. However, independent measurements must be performed to prove these relations. The bulk of published measurements for FEB and FIB shows that refresh times in the order of milliseconds are needed to achieve full replenishment of the exposed regions, thus implying that the residence time of molecules on the (irradiated) deposit is also in the millisecond range or even larger or that the incident gas flux sJ/n_0 was roughly 10^3 . Fitting the experimental data from Ref. 231 in Fig. 31 with Eq. (3.13) shows that the FIB deposition cross section is $\sigma = 20 \text{ nm}^2$ (Ref. 231) for TMOS and the FEB cross section is $\sigma = 2 \text{ nm}^2$ assuming as deposited density pure SiO_2 . The FEB cross section is comparatively high probably due to surface charge effects or polymerization. The energy dependence of the FEB deposition cross section for $\text{W}(\text{CO})_6$ shown in Fig. 11(b) was determined using the raster scan model and different incident electron energies.⁶⁴

The numerical solution taking into account overlaps and Gaussian distributed beams^{59,89} could reproduce the shoulder effect seen in experiments: this effect is related to the serpentine raster scan, which delivers portions of the ion dose to a given pixel at different times. While in the fast horizontal scan direction the beam passes over neighboring pixels in a continuous way, successive exposures of the given pixel due to vertically adjacent pixels are separated by the time it takes the beam to complete one row. During this line refresh time, t_y in Fig. 32, the pixel is replenished with precursor molecules. For Cl_2 -assisted etching of Si with 50 keV Ga^+ ions, two Cl_2 molecules react with one Si atom, $m=2$, the chemical etch yield is $q=45$ atoms/ion, and the monolayer coverage is $n_0 = 6.5 \times 10^{14} \text{ cm}^{-2}$.⁵⁹ The conversion into the corresponding cross section is

$$\sigma = mq/n_0, \quad (3.14)$$

giving $\sigma = 14 \text{ nm}^2$. The same paper gives for I_2 -assisted etching of Si with 25 keV Ga^+ ions the values $m=2$, $q=40$ atoms/ion, and $n_0 = 1.5 \times 10^{14} \text{ cm}^{-2}$; hence $\sigma = 53 \text{ nm}^2$. The number m of reacting precursor molecules is known from the chemical reactions $2\text{I}_2 + \text{Si} \rightarrow \text{SiI}_4$ and $2\text{Cl}_2 + \text{Si} \rightarrow \text{SiCl}_4$. The yield enhancement in gas-assisted FIB etching is obtained with respect to physical sputtering (without gas assistance). It represents a characteristic value for a materials system (substrate, precursor molecule, and the incident ions with a given energy). Gas-assisted enhancement factors in FIB sputter rates are comprehensively summarized in Sec. V D 2. Large differences in yield enhancements for the same material system are reported in different literature sources. This is primarily due to the fact that often replenishment of the precursor in the irradiated area was not fully achieved. In this case the enhancement factors rather characterize the depletion process than the materials system!

Summarizing, raster scan exposures can be used to determine parameters related to FEB and FIB gas-assisted processing. Surface diffusion is generally not considered in ras-

TABLE IX. Calculated minimum diffusion coefficients D and minimum exposure dwell times t_d for the electron- or ion-limited regime. Typical ranges of incident peak flux f_0 and beam size FWHM_B are taken for a focused electron beam (5 keV, field emission filament) and an ion beam (30 kV, Ga). Ranges for the cross section σ were chosen from literature results discussed in Sec. III B.

	$f_0(1/\text{nm}^2 \text{ s})$	FWHM_B (nm)	$\sigma(\text{nm}^2)$	$\sigma f_0(1/\text{s})$	$D(\text{cm}^2/\text{s})$	$t_d(\text{s})$
FEB	8×10^6	2.5	2×10^{-4}	1.6×10^3	1×10^{-10}	1.6×10^{-4}
	5×10^7	100	0.2	1×10^7	1×10^{-3}	2.6×10^{-8}
FIB	2×10^5	7	10	2×10^6	9.8×10^{-7}	1.3×10^{-7}
	5×10^6	100	50	2.5×10^8	2.5×10^{-2}	1.0×10^{-9}

ter scan models probably due to the enormous computation effort when solving the appropriate differential equation. Basically, the number of molecules inside the irradiated area is increased due to diffusion, which would be equivalent to an increased effective residence time. An analytic estimate of the increase in residence time due to diffusion gives

$$\tau_{\text{diff}} \approx C_2 \tau_{\text{out}}, \quad (3.15)$$

where C_2 was introduced in Eq. (3.5) and Appendix E. The effective residence time inside the irradiated area including diffusion is thus $\tau_{\text{eff}} = \tau_{\text{in}} + \tau_{\text{diff}}$ and results in values of $k_{\text{eff}} = 1/\tau_{\text{eff}}$ being lower than the k values defined in Eqs. (3.11b). Thus determination of the cross section and the residence time from Eq. (3.11b) is prone to overestimation. Furthermore, the accuracy of the parameter determination depends on whether molecule replenishment is really achieved while decreasing the dwell time (and increasing the refresh time). Only then the conditions for the electron- or ion-limited regime are established and the yield determination becomes independent of the molecule replenishment.

E. Conditions for the electron- or ion-limited regime

It was shown that this regime, also referred to as reaction-limited regime, gives the highest resolution as well as the highest deposition or etch rate of the gas-assisted FEB/FIB process. This is why here we derive the conditions under which this regime is operative. We start with a compilation of maximum and minimum values of FIB and FEB cross sections found in literature together with typical beam sizes of field emitter SEMs and Ga-ion FIB columns shown in Table IX. This gives the range of molecule dissociation frequencies σf_0 due to irradiation. If σf_0 determines the effective residence time inside the irradiated area, then $\tau_{\text{in}} = (k_d)^{-1} = (\sigma f_0)^{-1}$, and we can readily estimate ranges of diffusion coefficients and exposure times needed for establishing the electron-/ion-limited regime.

Compensation of depletion by surface diffusion requires a molecule diffusion path at least comparable to the beam size, $\tilde{\rho} \geq 2$, i.e., $\rho_{\text{in}} \geq \text{FWHM}_B$ [see Fig. 27(b)]. With $\rho_{\text{in}} = (D\tau_{\text{in}})^{1/2} \approx (D/\sigma f_0)^{1/2}$ we obtain the condition for the diffusion coefficient $D \geq \sigma f_0 \text{FWHM}_B^2$ to satisfy the electron- or ion-limited regime.

When the condition $\rho_{\text{in}} \geq \text{FWHM}_B$ cannot be met in a continuous exposure experiment, pulsed beams can be employed to stay in the electron-limited regime. The exposure (dwell) time ranges can be estimated from $\tau_{\text{in}} \sim \tau_{\text{out}} \exp(-k_d t) = \tau_{\text{out}} \exp(-\sigma f_0 t)$. Defining the criterion that the deposit or etch size should not much exceed the beam size, i.e., $\tilde{\varphi} \leq 1.1$, translates into a low depletion $\tilde{\tau} = \tau_{\text{out}}/\tau_{\text{in}} \leq 1.3$ according to Eq. (3.4). Thus for the exposure time the condition $t \leq \ln(\tilde{\tau})/k^{-1} = 0.26(\sigma f_0)^{-1}$ is obtained to satisfy the electron- or ion-limited regime. The low end of these time scales (see Table IX), has not yet been explored in focused particle beam induced processing.

F. Models accounting for several species of adsorbates

Different surface adsorbed molecule species arise when intermediate reaction products are formed or when two different precursor molecules are deliberately introduced into the vacuum chamber. In any of such cases the equilibrium surface density of each adsorbate species is obtained from a set of coupled differential adsorption rate equations. As a consequence, the surface density of a given adsorbate will depend on all adsorbate species present on the surface (in addition to the adsorbate's own set of parameters: dissociation cross section, residence time, diffusion coefficient, sticking probability, and gas phase supply). Of most interest are such "systems" under irradiation conditions for which the production of one species saturates due to depletion while the other species are still sufficiently replenished. This is equivalent to a regime transition from electron or ion limited to molecule limited of a given adsorbate species. Only under such conditions the composition among adsorbate species will change and, most importantly, also the deposit or process properties related to the physical or chemical action of this specific adsorbate. A few examples are reported in literature.

The formation of an intermediate product during $\text{W}(\text{CO})_6$ deposition with FEB was introduced by Ref. 233 in order to explain the irradiation dose dependency of resistance, thickness, and tungsten content of the deposit. At low irradiation doses (low number of electrons per area) the intermediate product was predominantly formed having a higher resistivity, larger molecule volume, and lower tungsten content com-

pared to the final product. At higher irradiation doses the tungsten-rich final product formed with lower resistivity. In terms of process regimes the behavior can be explained as follows: at low doses (flux) the reaction is electron limited and proceeds to the intermediate product. At high doses the reaction is molecule limited, i.e., each molecule undergoes a larger number of electron collisions while at the surface, which will dissociate the intermediate product into the final product by releasing more CO ligands and leaving purer tungsten.

Another example is the competing mechanism of hydrocarbon contamination deposition and H₂O etch molecules both adsorbed on the same surface.²³⁴ The switching between deposition (contamination pillar) and etching (substrate surface attacked) with increasing electron dose (flux) was observed. Again, the process regimes can conceptually explain this behavior. With increasing electron flux the contamination deposition rate becomes molecule limited (due to its low supply flux from the contaminated surface only) and saturates while the etching still proceeds in the electron-limited regime (sufficient H₂O supply provided), i.e., the etch rate increases and takes over. A theoretical treatment of these competing processes in a stationary electron beam profile is given in Ref. 235. Since the deposition in the central irradiated region with highest electron flux is molecule limited, the competing etching process is dominantly active here. The final deposit is a ringlike structure with a wall resolution in the order of nanometers. These ringlike shapes have an analogy with the indented structures in Figs. 27 and 29. In both cases the central irradiated region is molecule limited. The analogy to ringlike structures obtained by stationary focused ion beam deposition is evident.

A model describing the composition of deposits obtained during 500 eV Ar⁺ beam induced deposition from (hfa)Cu-VTMS is given by Ref. 236. The processes that could be quantified and modeled are (a) dissociative precursor adsorption, (b) ion induced Cu deposition and removal, (c) ion induced carbon deposition and removal, and (d) desorption of an intermediate carbon containing product. They prove that a faster deposition rate (higher ion flux) results in higher carbon impurity fraction due to the finite desorption rate of the carbon intermediate. This implies a dependency of electrical resistance on incident charged particle flux opposite to the one found by Hoyle discussed above. Obviously, such a dependency seems to be molecule specific. It can be seen that the concepts of process regimes, especially the electron and ion flux limited regime and the material (precursor) limited regime, introduced in the previous sections are very helpful to understand the “switching” in deposit properties such as electrical conductance or shape.

IV. MONTE CARLO MODELS FOR GAS ASSISTED FEB INDUCED DEPOSITION

The strength of this approach is that all primary electron trajectories and generated secondary electrons can be traced together with their corresponding energies as they traverse any surface and material (see Fig. 33). When they encounter

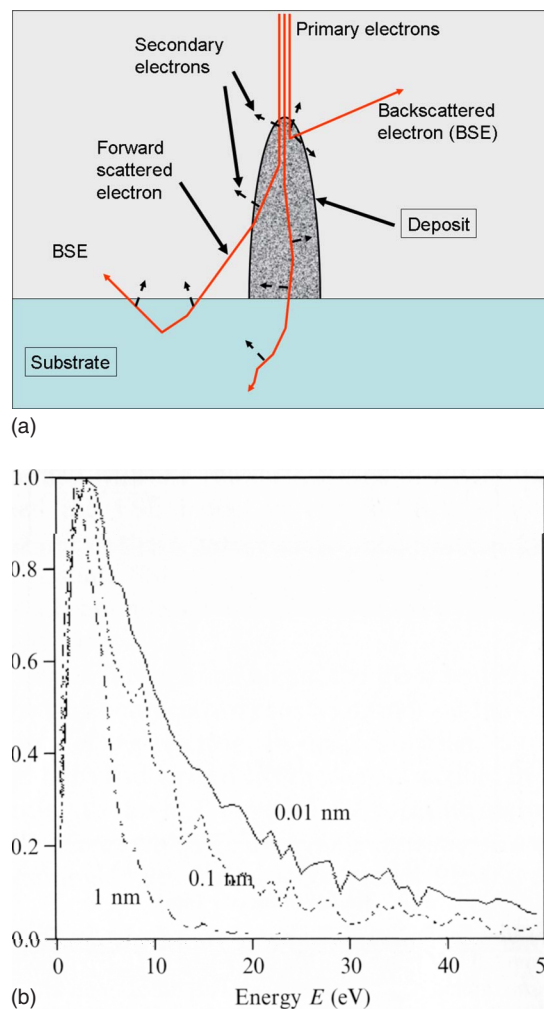


Fig. 33. (a) FEB deposition events on the surface of the deposit or substrate, which can be traced by MC trajectory simulation. (b) Simulated variation in secondary electron energy spectra (normalized) as a function of radical distance from the center of a zero diameter 20 keV incident electron beam for a 80 nm thick planar Cu membrane (from Ref. 20).

an adsorbed molecule a reaction proceeds according to the energy dependent cross section. Presently, due to the lack of experimental data, simple generic cross sections and parametric secondary electron generation are implemented, imposing severe limits of this approach with respect to its predictive relevance for gas-assisted FEB (or FIB) experiments.

Presently, there are no MC simulations reported for gas-assisted FIB processing. MC models for physical sputtering (without gas injection) are published (see Sec. II C 2).

A. Monte Carlo models without precursor dynamics

Monte Carlo models described in this section can be used to estimate the ultimate resolution of deposits (or etched holes) obtainable with FEB. The models assume steady state conditions and that no precursor depletion occurs, $n(r, t) = n_{\text{out}} = \text{const}$. The number of dissociated molecules per unit area and unit time becomes $f_{\text{diss}}(r) = n_{\text{out}} \int f(r, E) \sigma(E) dE$ and will determine the deposit or etch shape. Note that $R(r) = V f_{\text{diss}}(r)$. Fig. 33(b) visualizes the emitted secondary elec-

tron energy spectrum at discrete distance from a zero diameter incident beam entering into $f(r, E)$. The lateral size of the simulated emitted secondary electron flux can be characterized by the full width containing 50% of the distribution (FW50). Table X compares the FW50 of the emitted secondary electron flux with the FW50 of the deposit [being equivalent to the FW50 of $f_{\text{diss}}(r)$ defined above].

Several interesting observations can be noted from Table X.

- (1) For zero diameter incident electron beams $\text{FW50}_{\text{SE}} > \text{FW50}_D$, which is a direct result of the generic cross section energy dependence used in Refs. 237 and 238. This highlights the need for accurately measured energy dependencies of cross sections for relevant molecules used in FEB or FIB processing in order to obtain reliable values for ultimate resolution.
- (2) The FW50 for membrane and “bulk” substrates is very close and points to the fact that membranes must not necessarily be used for high resolution deposits.²³⁷
- (3) Using a 0.2 nm diameter beam results in a $\text{FW50}_D = 0.23$, i.e., the contribution of the emitted secondary energy flux to resolution loss is only 15% or, in other words, for the 2 nm diameter beam the deposit resolution is dominated by the primary electron beam size. This observation validates the applicability of the continuum approach and the scaling laws in Sec. III A down to the nanometer scale.

MC simulations show the radial growth of cylindrical structures (pillars) especially its saturation into a final cylinder diameter with increasing exposure time (see Fig. 34). Lateral growth occurs due to SE scattering in the freshly deposited structure,²³⁸ giving an ultimate resolution limit in FEB processing for the desired aspect ratio of a deposit or etch structure. A resolution of around 1 nm was observed for dots with an assumed aspect ratio of 0.5.⁹²

While in FEB contamination deposits emitted secondary electrons are exclusively responsible for the pillar shape evolution,²³⁸ it is concluded that for W deposition with WF_6 molecules, primary electrons determine the deposition rate whereas the secondary electrons determine the pillar volume evolution.⁶⁶ The reason for the difference between both simulations resides in the different decay of the dissociation cross sections with energy. This highlights the sensitivity and

limits of the MC simulation in predicting shapes: as long as there are no reliable data on the dominant deposition channel(s) and the energy dependence of its cross section, such simulations should not be overinterpreted. Furthermore, MC simulations neglecting precursor depletion and replenishment fail to predict a decreasing deposition rate with increasing exposure time (increasing pillar length), which is generally observed, e.g., Refs. 239 and 240. For the same reason the prediction of the correct pillar apex shape is not possible, except for the electron-limited regime.

Experimental work related to ultimate resolution deposits can be found in Refs. 186 and 241–250.

B. Monte Carlo models with precursor dynamics

Additionally to the solution e.length

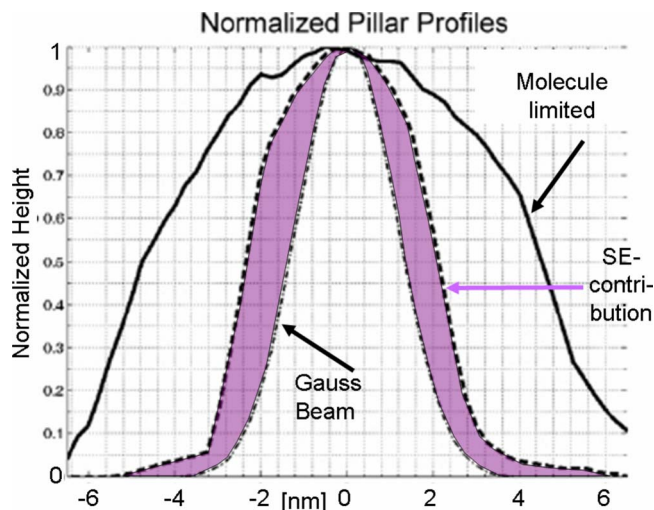


FIG. 35. MC-simulated pillar shapes (12 nm tall) for differing deposition regimes including precursor kinetics (precursor: WF_6) (adapted from Ref. 251). The secondary electron contribution to deposition for the electron-limited regime is highlighted (without secondary electrons the pillar would have the Gaussian shape of the beam). The molecule-limited regime leads to loss of deposit resolution due to depletion in the central irradiated area. The FWHM of the incident 1 keV Gaussian electron beam is 2.7 nm.

important for small incident beam sizes. In Fig. 35 the secondary electron emission from the pillar surface leads to around 0.8 nm deposited thickness along the sides of the deposits in addition to the Gaussian beam shape. This means an increase in the FWHM diameter for the deposit by about 50% (for an aspect ratio of about 4). Of course, the larger the incident beam size, the less important becomes the relative contribution of the secondary electron contribution to the FWHM deposit diameter, so that the scaling laws in Eqs. (3.4), (3.7), (3.8), and (3.12) can be applied also to structures having a higher aspect ratio than 1.

Another important result these MC simulations can supply is the decay of vertical pillar deposition rates with time, i.e., with increasing pillar length. At least two reasons can be found for this dependence: increased molecule desorption due to beam heating (see Sec. II F 2) or decreasing diffusion. Since the surface diffusion changes from two dimensional (planar substrate) during the initial stages of deposition (or etching) to one dimensional (pillar mantle), the amount of molecule diffusion to the tip apex reduces. Converting the time dependence of the vertical deposition rate into a dependence on pillar length $R(L)$ makes experiments and simulations comparable. Differing relations are obtained from MC simulations considering diffusion: the relation $R(L) \sim L^{-0.4}$ was simulated for WF_6 , etc. [from Fig. 10(c) in Ref. 251] and the relation $R(L) \sim L^{-0.2}$ was simulated for hydrocarbons;²⁵² however, both references miss a comparison to experiments. Evidently, each experiment (or simulation) with a specific parameter set (cross section, residence time, diffusion, beam size, thermal conductivity of the pillar, etc.) will result in a differing relation (see, for instance, the experimental results of Fig. 36, where power laws with exponents ranging from -0.47 to -0.88 were found).

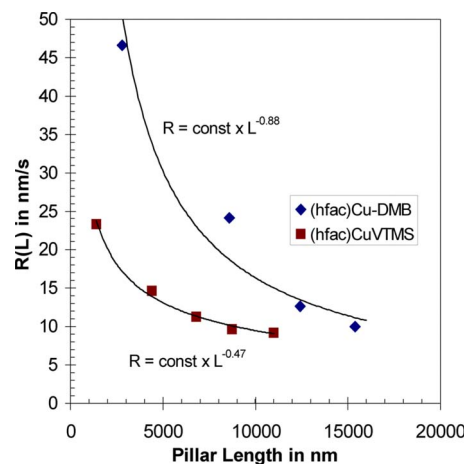


FIG. 36. Experimental relation of vertical deposition rate R vs pillar length L obtained from data of Ref. 240. Deposition with 25 keV and 500 pA electron beam and two copper precursors. The data were fitted with a power law.

Summarizing, the development of MC models with gas kinetics is still very recent. When the enormous lack of data on the adsorption, desorption, scattering, and dissociation behavior of relevant molecules can be overcome, they have the potential to derive scaling laws for the pillar apex and cylinder geometry as a function of the incident electron energy and the degree of molecule depletion. For a comprehensive overview on numerous experimental findings on tip geometries and deposition rates, see a recent review²⁵³ and Refs. 239 and 254–258.

V. PRECURSOR MOLECULES

The precursors for FEB and FIB induced processing (deposition and etching) are of paramount importance. Therefore we dedicated this section to an overview of the applied precursors ordered according to the precursor ligands. For deposition of metals, chemical vapor deposition precursors are often applied, and for a general overview of the thermal and photolytic chemical vapor deposition literature reviewed until 1994, we refer to Kodas and Hampden-Smith.¹⁶⁸ We include and discuss the data from the lists in already published review papers.^{29,259} The choice of precursor gas determines what material is deposited or how a material is etched. The precursor gases for FIB and FEB induced reactions are the same: ideally, they should stick to and reside long on the surface to be activated/dissociated for etching or for deposition. On the other hand, the volatile compound formed in the etching process should desorb readily, as well as the undesired fragments formed upon irradiation of precursors for decomposition. Since the ligands originate from the same “mother” molecule that should be reasonably volatile, these two requirements are conflictive, meaning that an optimum must be found.

A. General aspects

1. The role of residual molecules in microscope chambers

The influence of other molecules present in the system has often been overlooked. Herein water plays a key role, and then follows hydrocarbons. As these two contaminants are in most cases not measured nor estimated, the results of deposition with any precursor will strongly be influenced by the presence of these gases. For focused electron beam induced etching (FEBIE), the presence of water might strongly enhance the process; the presence of carbonaceous contaminants on the other hand will completely stop the process. Already early work of FEBID pointed out the importance of vacuum quality that explained the limited purity of the obtained deposits.⁹ Exemplary sample cleaning and microscope cleaning processes are presented by Crozier's group from the Arizona State University²⁶⁰ as described in the report about deposition of germanium²⁶¹ and the UHV electron microscope studies by Tanaka and Furuya.¹⁸⁶ For most of the shown results in this section, however, the influence of water (or with lower importance hydrocarbons) on the deposition process should be kept in mind. Water, being the main residual gas component in SEMs and SIMs,²⁶² is also known to chemisorb on certain surfaces and can then only be removed by baking. In a recent study the water desorption behavior of stainless steel and aluminum surfaces are studied in air and vacuum.²⁶³ It was found that water desorption from stainless steel and aluminum surfaces in vacuum is slower than in atmosphere with the residual coverage described approximately by a $1/t^\alpha$ law, with $\alpha \approx 0.14$ for stainless steel and $\alpha \approx 0.17$ for Al. Starting from a monolayer of water ($\approx 10^{15}$ molecules/cm², see Table VI) adsorbed on stainless steel at room temperature, it will take 2 min to decrease to half of a monolayer, but 160 days to reduce to one-tenth of a monolayer. As most of the vacuum chambers of microscopes possess more than 1000 cm² of surface, there are 10^{15} – 10^{17} molecules of water desorbing from the walls during most processing cycles. The omnipresence of water is confirmed with mass spectrometers such as residual gas analyzers attached to electron microscopes representing in most cases more than half of the residual gas in the unheated vacuum systems pumped down to 10^{-6} mbar total pressure. According to Sec. II D 1 this corresponds to an impinging flux of water molecules of roughly 0.1 ML/s or 10^{14} molecules/cm² s.

2. Precursor stability

After synthesis purification of the compound has to be carried out in order to minimize potential contaminants. During storage transformation of compounds might take place. Important parameters influencing the degree of degradation of compounds are the container materials (stainless steel, glass, aluminum, and polymers), the storage time, and the temperature. Furthermore for halogens or halogen containing gases, mechanical shocks of the metal containers should be avoided because of metal halogenide particles detaching from the metal walls of the containers. As an example the

storage of iron carbonyl $\text{Fe}(\text{CO})_5$ in a closed container might decompose partially to result in higher clustering by exposure to UV light,²⁶⁴ ultrasonication,²⁶⁵ or thermal decomposition to pure iron particles by heating up the container. Interesting is the fact that the products of the different exposure types are different compounds. Light exposure of $\text{Fe}(\text{CO})_5$ adsorbed on silica (for example, a glass container) results in the trinuclear complex $\text{Fe}_3(\text{CO})_{12}$, whereas irradiation in the gas phase or in liquid solution results in $\text{Fe}_2(\text{CO})_9$, the dinuclear compound.

Pumping at room temperature on precursors might change the chemical composition of the substance by slow but constant ligand loss and therefore higher clustering. Another phenomenon, the loss of one water ligand, has been observed for the hexafluoroacetylacetonato-copper precursor, the light green $\text{Cu}(\text{hfa})_2 \cdot \text{H}_2\text{O}$. This air stable compound has a different vapor pressure and evaporation enthalpy than the dried water-free blue gray compound $\text{Cu}(\text{hfa})_2$.²⁶⁶ The water-free compound can be obtained by pumping at room temperature on the precursor reservoir. Clustering of precursors with or without ligand loss is postulated for several precursor cases, but not easily measured.

3. Vapor pressure and evaporation enthalpy

The precursor's vapor pressure is an important property of a compound as it determines the amount of molecules evaporated from a precursor reservoir to be transported to the substrate. Furthermore, the evaporation enthalpy of a compound is an important property for FEB and FIB induced deposition and etching because it is a measure for the energetics of adsorption and desorption phenomena of those compounds on substrates. Determination of the gas phase composition (vapor pressure) of volatile compounds (precursors) and the potential residue is often carried out by thermo gravimetric analysis. The dynamic regime applying a carrier gas does not necessarily result in identical results as the pure pumping on a precursor. Alternative methods for measuring vapor pressures and evaporation enthalpies were applied also, resulting in different values. Chickos and Acree collected and tabulated a large number of vapor pressure measurements and evaporation and sublimation enthalpies published in the past 100 years.^{267,268} They emphasize the variations of the values, especially for the evaporation/sublimation enthalpy that might vary by more than one order of magnitude due to polymorphism and insufficient measurement accuracy.²⁶⁸ For the evaporation enthalpy of $\text{Al}(\text{acac})_3$, for example, values can be found in literature ranging from 43.7 to 126 kJ/mol.²⁶⁶

B. Complexes for deposition

This section is organized in the following way. A special subsection is dedicated to the deposition of carbon because it has some interesting peculiarities. The classification takes place according to the ligands around the central atom of the molecules for "deposition" precursors. The simplest ligands are hydrogen H or the halogens F, Cl, Br, and I. If on one central atom there are different ligands, a so-called hetero-

leptic complex is formed. These mixed ligand complexes are classified here always in the class of the more difficult to get rid of ligand. We present also compounds composed of an uncharged central atom with four or more molecular uncharged ligands such as CO and PF₃. Then heteroleptic compounds with low charged central atoms neutralized with halogeno ligands and CO and PF₃ follow. Further some metal organic complexes are mentioned, especially compounds that were used for FEBID by adding reactive gases. In a final part of deposition compounds, the post-treatment of deposits are discussed briefly.

Generally precursors are complexes composed of central atoms/ions and ligands. To be volatile, the precursor complex must be uncharged, not possessing a strong dipole moment, and not be too easily polarizable. High sticking probability on a surface would need the opposite properties. Purely empirically it turns out that deposition processes of neutral or low charged central atoms result in higher metal contents than highly charged central ions. The need to reduce the central ion in the deposition processes for obtaining pure metals could be interpreted to be a limiting factor. However, the electrostatic bond strength that increases the stability of highly charged central ion complexes is a much better argument. However, finally the presence of elements that easily polymerize like carbon seems to be the most important argument. The latter reasoning is based on the fact that the charged particle beam induced deposition process (FEBID/FIBID) is accompanied with unspecific multiple site fragmentation of adsorbed precursor molecules. The latter explains the easy desorption of recombining atoms of volatile elements, but the unsuccessful desorption of elements that are solids in their elementary form such as carbon, phosphorus, and metals. Pure materials can be obtained in cases that the electrons or ions initiate a chemical reaction but do not fragment the reaction products before desorption of the volatile species. This can be obtained at relatively low electron densities and with precursors that dissociate easily (at low temperature or autocatalytically) or that a chemical reaction such as an oxidation is initiated by the electrons. Further detailed analysis of these thoughts should be carried out in the future.

1. Organic compounds for C deposition

Carbon deposits are the most common FEBID products as they appear as contamination results in electron microscopy. From a chemist point of view, alkanes (C_nH_{2n+2}) are nonpolar relatively inert molecules that do not undergo chemical reactions at room temperature. The small alkanes from $n=1$ to 5 are gases, from hexane ($n=6$) to heptadecane ($n=17$), decreasingly volatile liquids, and from octadecane (C₁₈H₃₈) to higher alkanes, solids. Branched alkanes are alkanes with the same ratio of C and H but Y junctions or crosses in the C–C backbone chains that possess slightly lower boiling points than the n -alkanes. Generally mineral oils are mixtures of liquid and solid linear and branched alkanes. Synthetic oils are divided in two groups, the polyphenylethers that are aromatic phenyl rings linked with O bridges

C₆H₅–(–O–C₆H₄–)_n–O–C₆H₅ and silicone oils (H₃C)₃Si–(–O–Si(CH₃)C₆H₅)–O–)_n–Si(CH₃)₃ that are chemically completely different as they contain an–(–O–Si–)–backbone chain that reacts at high temperatures with oxygen to SiO₂, a solid. The silicone oils will be discussed with the Si containing precursors. The contamination of vacuum systems depends on a variety of factors (type of pumps and lubricants used) and therefore no details are reported in literature about the exact chemical composition or exposure rate by such compounds. Furthermore the pump oil suppliers do not specify the exact composition of their products. The vapor pressures of the different compounds range from <10^{–9}–10^{–3} mbar at room temperature. Continuous pumping on such compounds changes the chemical composition continuously by evaporating more volatile compounds at higher rate. Cracking and partial oxidation of the oils are determined by the temperature, the contact surfaces, exposed gases (air, water, halogens, etc.), and traces of metals dissolved in the oil that might act as catalysts for chemical reactions. The degradation products of mineral oils or synthetic pure organic oils are organic compounds either saturated (only single bonds) or partially unsaturated, or aromatic systems, including oxidation products such as alcohols, ketones, aldehydes, or organic acids. Such systems are not ideal for studying FEBIP parameters.

For this reason the systematic study of selected precursors for C deposition that has recently been carried out^{190,269} was needed. The list of tested organic precursors is tabulated in Table XI. The most important message from Bret's work is that FEBID of organic compounds including organic acids such as acetic acid, formic acid, maleic acid, through aldehydes and ketones, alcohols to hydrocarbons, and unsaturated aromatic precursors all result in the same final composition of C₉H₂O in a classical dry pumped scanning electron microscope without any special care about residual water contamination. The difference of the precursors is mainly the growth rate of the deposit that could be explained by the different residence times of the precursors on the substrate surface. The simplest alkane is methane. The latter was used together with hydrogen gas for deposition of conductive emitters of carbon.²⁷⁰ The low deposition rate of 1 μm³ in 30 min is due to the low sticking coefficient of the methane on the substrate and partially to the etching of carbon in the presence of hydrogen that might have taken place. Heating a silicon substrate to 800 °C under electron irradiation in the presence of 1% of methane in hydrogen results in local deposition of diamond.²⁷¹ Methyl-isobutylketone with isopropanol in a ratio 1:3 was applied to do FEBID of C.²⁵⁹ In this context styrene as a precursor was used by Ochiai²⁷² and a deposition yield of 0.001 C atoms per electron was obtained. This was lower than deposits from other metalorganic precursors and explained by the authors with the low sticking coefficient of styrene on the substrate surface. Of note is that, often the deposition rate is given in units of volume per incident charge (see Table XI). When converting into yields

TABLE XI. FEB and FIB induced deposition of carbon containing material. The precursors, vapor pressures P_{vap} , deposition rates, and the deposition conditions are given. All values for the evaporation and sublimation enthalpies $\Delta H_{\text{vap/subl}}$ were taken from Refs. 267 and 268. Further symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), and P_{dep} [back pressure during deposition or estimated local pressure on sample surface (mbar)].

Precursor	Compound name	P_{vap} (mbar) at RT	$\Delta H_{\text{vap/subl}}$ (kJ/mol)	Dep. rate ($\mu\text{m}^3 \text{nA}^{-1} \text{min}^{-1}$)	Deposition conditions	Ref.
CH ₄	Methane	45900 at T_{crit} (-82.7°C) ^a	8.6 (175 K)	3.3 0.1 $\mu\text{m}/\text{h}$	4 keV; 10^{-11} A, $<0.3 \mu\text{m} \varnothing$; 10 keV; 30 nA, 2.6 $\mu\text{m} \varnothing$;	270 and 271
C ₂ H ₄	Ethylene	50760 at T_{crit} (-9.5°C) ^a	14.0 (267 K)	...	$P_{\text{res}}=5 \times 10^{-10}$ mbar; 2 keV; 400 mA/cm ² , 300 $\mu\text{m} \varnothing$; 5.7×10^{-2} C/cm ² s; 4×10^{-4} C/cm ² s	274 and 275
C ₃ H ₈	Propane	8700 ^a	18.8 (293 K)	...	$P_{\text{dep}}=1.3 \times 10^{-4}$; 10^{-8} Torr; 1 keV and 6 μA , 3 kV and 35 μA	276
C ₈ H ₈	Styrene	6.65	43.5 \pm 0.4 (298 K)	(0.001 atom/electron)	$P_{\text{dep}}=1.33 \times 10^{-5}$ $P_{\text{res}}=10^{-9}$ Torr; 50 keV; 1000 mA/cm ² , 11 nm \varnothing ; 1 nA	272
C ₈ H ₈	Styrene	6.65	Same	0.021	$P_{\text{dep}}=4.5 \times 10^{-5}$ 3.1×10^{19} molecules/s; 25 keV, 2–5 nA	190 and 269
C ₁₀ H ₁₆ O	Camphor	0.65	54.4–55.2 (298 K)	0.0324	$P_{\text{dep}}=3 \times 10^{-5}$; 25 keV, 2–5 nA	269
C ₁₀ H ₂₀ O	Menthol	1 (20 °C)	58.2 (344 K)	0.034	$P_{\text{dep}}=2 \times 10^{-5}$; 25 keV, 2–5 nA	269
C ₇ H ₆ O ₂	Benzoic acid	1×10^{-3} – 5.32×10^{-3}	78.9 (289 K)	<0.013	$P_{\text{dep}}=1.5 \times 10^{-5}$; 25 keV, 2–5 nA	269
C ₂ H ₆ SO	Dimethylsulfoxide (DMSO)	0.6	52.4 (308 K)	0.014	$P_{\text{dep}}=3 \times 10^{-5}$; 25 keV, 2–5 nA	269
C ₆ H ₁₂	Cyclohexane	100	32.9 (298 K)	0.01	$P_{\text{dep}}=7 \times 10^{-4}$; 25 keV, 2–5 nA	269
C ₆ H ₁₀ O	Cyclohexanone	11.3	45.1–46.9 (298 K)	<0.007	$P_{\text{dep}}=7 \times 10^{-4}$; 25 keV, 2–5 nA	269
C ₉ H ₁₉ –CN	Cyanononane	...	66.8 \pm 0.4 (298 K)	<0.012	$P_{\text{dep}}=7 \times 10^{-5}$; 25 keV, 2–5 nA	269
CH ₂ Cl ₂	Dichloromethane	447	30.6 (298 K)	0.019	$P_{\text{dep}}=7 \times 10^{-4}$; 25 keV, 2–5 nA	269
C ₃ H ₆ O	Acetone	526	31.9 (300 K)	0.04	$P_{\text{dep}}=7 \times 10^{-4}$ 25 keV, 2–5 nA	269
CH ₃ OH	Methanol	128	37.3 (298 K)	0.014	$P_{\text{dep}}=7 \times 10^{-4}$; 25 keV, 2–5 nA	269
CH ₃ CN	Acetonitrile	96	33 (298 K)	0.034	$P_{\text{dep}}=7 \times 10^{-4}$; 25 keV, 2–5 nA	269
CH ₃ NO ₂	Nitromethane	37	37.2–38.3 (298 K)	0.034	$P_{\text{dep}}=8 \times 10^{-4}$; 25 keV, 2–5 nA	269
HCOOH	Formic acid	46	19.9–46.3 (298 K)	0.02	$P_{\text{dep}}=8 \times 10^{-5}$; 6.1×10^{20} molecules/s; 25 keV, 2–5 nA	190
CH ₃ –COOH	Acetic acid	15	23.3–51.6 (298 K)	0.021	$P_{\text{dep}}=5 \times 10^{-5}$; 2×10^{20} molecules/s; 25 keV, 2–5 nA	190
CH ₃ CH ₂ –COOH	Propionic acid	4.4 (27.6 °C)	31.1–55 (298 K)	0.019	$P_{\text{dep}}=4 \times 10^{-6}$; 7×10^{18} molecules/s; 25 keV, 2–5 nA	190
CH ₂ =H–COOH	Acrylic acid	3.8	53.1 \pm 4.2 (298 K)	0.076–0.112	$P_{\text{dep}}=5 \times 10^{-5}$; 3.5×10^{19} molecules/s; 25 keV, 2–5 nA	190
C ₁₄ H ₁₀	Phenanthrene			Total yield: 8 atoms/ion	$3.8 \times 10^8 \mu\Omega \text{cm}$, 600 GPa (Young's modulus)	277 and 36

^a<http://encyclopedia.airliquide.com>

TABLE XII. FEB and FIB induced deposition using hydride precursors. The precursors, vapor pressures P_{vap} , deposition rates, and the deposition conditions are given. Other symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), P_{dep} (pressure during deposition or estimated local pressure on sample surface), and t_{dep} (deposition time).

Precursor irradiation	P_{vap} (mbar)	Conditions	Refs. and remarks
Si ₂ H ₆ 500 eV electrons	3300 ^a	Si(100)2 × 1 reconstructed, t_{dep} : 300 s, 12 μA .	281
Si ₂ H ₆ 5 keV electrons	3300 ^a	Si, Ge preheated to 1200 and 600 °C for 1 min before deposition; P_{res} : 4×10^{-10} Torr, P_{dep} : 2×10^{-5} Torr; 7 μA , 3 mm \varnothing ; 400 min, <i>in situ</i> Auger.	All system bakeable; heated for 24 h, (Ref. 279)
Ge ₂ H ₆ 200 keV electrons	737 ^b	Si ₃ N ₄ membranes, P_{res} : 10^{-7} Torr, P_{dep} : 10^{-5} Torr, 1–5 pA, 1–4 nm \varnothing ; t_{dep} : 12 min, EELS	261
D ₂ GaN ₃ 200 keV electrons	0.27 at 40 °C ^c	Thinned Si with amorphous oxide layer, heated to 70 °C, P_{res} : 2×10^{-7} Torr, P_{dep} : 10^{-5} Torr, 20 pA, 0.2 nm \varnothing , t_{dep} : 12 min, EELS	185

^a<http://encyclopedia.airliquide.com>

^bhttp://metalloids.com/docs/diger_msds

^cReferences 283 and 284.

(in units of deposited atom per electron or ion) the deposit's density and molar mass should be exactly known [see Eq. (6.1)].

Another interesting aspect, a chemical argument, the polymerizability of the precursor was discussed for aromatic alternated double or triple bond containing compounds under FIBID conditions.²⁷³ Styrene deposited well, whereas benzene and toluene resulted in material removal by the focused ion beam.

During FEBID or FIBID, the precursor molecules have to adsorb on the "just deposited" material. Only at the very beginning of the deposition process, studies of the chemisorption of molecules on the ultra-clean well characterized substrates are important. Examples of such extensive works are the report about ethylene (C₂H₄) adsorbed on Si(001) and irradiated with electrons from an Auger gun (2 keV, 300 μm diameter) or from a heated W filament resulted in amorphous hydrogenated carbon deposits.²⁷⁵ These experiments were carried out in an UHV system with a residual pressure without precursor gas injected of 5×10^{-10} mbar. Ethylene chemisorbs at 300°C on this Si(001) substrate until a given coverage is reached. The FEBID growth rates in the initial stage were very fast, then after having deposited some carbon, ethylene adsorbed on the just deposited carbon material and the decreased deposition rate reached a constant value.

Resuming the C deposition experiments reported so far, C can be deposited from different precursors and the residual gases present in the vacuum system. The deposit materials range from amorphous carbon to graphite; under special gas composition conditions and irradiated parameters, diamond or at least diamond like carbon (as a mixture of sp² and sp³ hybridized Carbon) was also claimed.²⁷¹

2. Hydrides

The only hydrides that have been studied for deposition experiments are the volatile hydrides of the group IV ele-

ments, C, Si, and Ge (see Table XII). Carbon has already been discussed above. Another interesting new type of precursor Ga hydrides-azides that contains the azide as ligand is included here also, even though nitrogen might be a contaminant found as nitride in the deposit.

(a) *Silicon (Si)*. The application of monosilane SiH₄ for SiO₂ deposition by parallel-to-the-substrate electron beam induced activation of the 5% silane in argon gas with 10% N₂O was realized for lowering the substrate deposition temperature.²⁷⁸ This kind of gas activation is not directly comparable with FEBID due to the strong differences concerning the cross sections of molecules in the gas phase as compared to the adsorbed phase molecules.¹⁰⁷

FEB silicon (Si) deposition from disilane (Si₂H₆) was reported in an UHV system with 5 keV electrons and a very large electron beam (2 mm beam diameter).²⁷⁹ The study shows that surface stimulated processes are predominant as compared to gas phase reactions. Crystalline Si deposits were obtained on Si and Ge substrates. Adding oxygen to the disilane resulted in deposition of relatively good quality of SiO₂.²⁸⁰ The same disilane precursor was studied in depth and the dissociation into hydrogen-terminated silicon species was shown to occur in an UHV system above 700 K on pure Si (100) 2 × 1 reconstructed surfaces.²⁸¹

(b) *Germanium (Ge)*. Germanium deposition from digermane (Ge₂H₆) (Ref. 261) in a high pressure subchamber equipped environmental transmission electron microscope at 200 kV was carried out on Si₃N₄ membranes. The deposit content was mainly Ge as confirmed by EELS, less than 18% C contamination. The dimensions of the deposits were larger than the electron beam, explained by secondary electron escape depth in the deposit.

(c) *Gallium nitride (GaN)*. Another group of materials consists of III-V semiconductor compounds. Pure GaN FEBID was obtained by using the volatile mixed dinuclear pre-

cursor D_2GaN_3 .¹⁸⁵ This compound decomposes already at 150 °C to result in crystalline GaN as shown in an UHV-CVD study.²⁸² The precursor can be distilled at 40 °C at 200 Torr pressure and exists in the gas phase as trimer. The deposit dimensions were determined by the secondary electron escape dimensions and increase with increased deposition time.

3. Halides

Metal halides are toxic and corrosive and are therefore not the preferred choice of precursors for FEB induced deposition. On the other hand they can result in relatively pure deposits. As in the general case discussed before, adsorbed halogeno-precursor molecules might partially fragment on the substrate or deposit surface and the purity of the deposit will be determined by the desorption of the remaining halogen ligands. Generally the electronic excitation into an antibonding electronically excited state leads to desorption. Alternatively, thermally induced recombination of halogen ligands to diatomic halogen molecules and subsequent desorption from the surface will result in deposition of clean metals. A special example revealing the potential complexity of the deposition and/or etching processes has recently been discussed for the case of bromine desorption from Si(001) surfaces.²⁸⁵ Here the electronic excitation results in phonon excitation in the substrate that results finally in the desorption of molecular bromine. For in-depth understanding of the deposition processes of above materials, the desorption literature of halogens from these compounds should be checked.

(a) *Tungsten (W)*. Matsui tested FEBID with tungsten hexachloride WCl_6 (Ref. 10) resulting in about 60 at. % W. We think that the 18 at. % Oxygen contamination in the deposit stem from residual water vapor. The most prominent metal halide used for FEBID is probably tungsten hexafluoride WF_6 . The first studies revealed very interesting deposition versus etching behaviors. The chemical reaction between WF_6 and Si in the presence or absence of SiO_2 has been used in thermal chemical vapor deposition (CVD) processes for the production of tungsten silicides in a self-limiting tungsten deposition process.²⁸⁶ Comparable reactions were induced locally by irradiation of adsorbed WF_6 layers on SiO_2 on Si. With SiO_2 as substrate, above 50 °C etching occurs, whereas below this temperature deposition of 60% pure W was obtained.¹⁰ Further investigation in an adapted Auger electron microscope allowed Matsui *et al.* to determine that β -tungsten crystals were deposited.²⁸⁷ The electrical properties of such deposits revealed electrical resistivities of $4 \times 10^{-3} \Omega \text{ cm}$ at room temperature corresponding to resistivity ratios (resistivity of deposit over pure metal) of about 100.^{288,289} The importance of the absence of contamination on the samples prior to FEBID of WF_6 has been demonstrated by an O_2 plasma cleaning process carried out at 300 °C substrate temperature.^{290–292} Increasing the “deposition rate” was realized by adsorption of a layer of precursor prior to electron beam irradiation and therefore increased the efficiency of the deposition process.^{293,294}

(b) *Tin (Sn)*. Tin chloride ($SnCl_4$) condensed on silicon as a substrate was irradiated with different ions (25 keV H_2^+ , 50 keV H_2^+ , and 30 keV Ar^+) and also 2 keV electrons resulting in films that contained up to 52 at. % Sn and 48 at. % chlorine. Unfortunately, the relatively well conducting layers peeled off the substrate and, exposed to air, changed strongly due to hydrolysis of the chloride.²⁹⁵

(c) *Titanium (Ti)*. $TiCl_4$ was used by Kim and Somorjai to produce $TiCl_x$ as active catalysts. After several low temperature condensation and thermal desorption processes, an increase in Ti in the gold substrate was detected. The latter results from desorption of chlorine from the surface.²⁹⁶ The same precursor also resulted in etching of PMMA resist and not in the wanted deposition of higher index of refraction TiO_2 in the presence of residual water in an electron microscope.²⁹⁷

(d) *Indirect Si and C from $AuCl_3$* . Using $AuCl_3$ or $AlCl_3$ as precursors in a scanning transmission electron microscope at 200 keV on carbon and silicon substrates resulted in the deposition of either carbon or silicon pillars.²⁴⁶ The interpretation is an electron beam induced dissociation of the precursor resulting in the production of chlorine that reacts with substrate, forming volatile $SiCl_4$ and CCl_4 . These compounds are then decomposed by FEBID to result in the observed C and Si deposits. As $AuCl_3$ is not a very volatile compound, present in the gas phase as Au_2Cl_6 with an estimated vapor pressure of less than 1×10^{-6} mbar,²⁹⁸ it might decompose to gold and Cl_2 already in the precursor reservoir and only Cl_2 leaves the nozzle. The observed final result would be identical.

(e) *Hydrogen as coligand to halogeno precursors*. Hydrogen as ligand is considered as a low contaminant ligand. For deposition of silicon in a transmission electron microscope at 120 kV acceleration voltage and a spot size of 3 nm, Ichihashi used $SiCl_2H_2$ as precursor.²⁹⁹ Dichlorosilane ($SiCl_2H_2$) is an important intermediate compound in the purification of silicon and therefore commercially available with excellent purity. The deposition rate is given for a pressure of 10^{-5} Torr and the size of the obtained amorphous Si particles of 2 nm was presented. The study showed an increase of film growth with increasing precursor flow (measured indirectly with the pressure in the chamber). Additionally, it is mentioned that increasing the current density increases also the deposition rate (Table XIII). In a related work with the same precursor, but carried out in an Auger analysis system (10 kV, 0.14 A/cm²) revealed about 2% Cl and 9% O contamination; therefore 89 at. % Si was measured.³⁰⁰

4. Carbonyls

Toxic, but often used precursors are metal carbonyls. The most prominent carbonyls used as precursors for FEBID or FIBID are $Ni(CO)_4$, $Fe(CO)_5$, $Fe_3(CO)_{12}$, and $W(CO)_6$. Carbonyl compounds are complexes with a central atom that is generally uncharged. The σ -donor- π -acceptor bond type between the uncharged ligands and the electrons in the d orbitals of the central atom result in a configuration of the CO ligand with the carbon atom linked to the central metal atom

TABLE XIII. FEB and FIB induced deposition with halogen based precursors. Enthalpies of evaporation and sublimation $\Delta H_{\text{vap/subl}}$, deposition conditions, deposit composition, and electrical resistivity are reported. Further symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), P_{dep} (pressure during deposition or estimated local pressure on sample surface), and t_{dep} (deposition time).

Precursor irradiation	$\Delta H_{\text{vap/subl}}$ at RT (kJ/mol)	Conditions	Composition resistivity	Ref.
WF ₆ 20 keV electrons	25.8 ^a	FEBID system: variable currents, deposition at different temperatures.	...	227
WF ₆ electrons	...	Auger system: P_{res} : 10^{-10} Torr 10 keV, 8×10^{-4} A/cm ² ; 2 C/cm ² . FEBID system: 15 keV; 40 pA deposition below 50 °C, etching of SiO ₂ above 50 °C	56–66 at. % W, 19 at. % C; 14 at. % O; 1 at. % F	10
WF ₆ electrons	...	Auger system: \varnothing : 30 μm , P_{res} : 2×10^{-9} Torr, P_{dep} : 5×10^{-7} Torr, 10 kV; 1 μA ; 0.14 A/cm ² ; $\Delta T < 10$ K, substrate (100) Si. TEM system: P_{res} : 3×10^{-8} Torr, P_{dep} : 0.1 Torr, 120 keV, 100 A/cm ² , substrate: Si particles < 100 nm diameter with 1–3 nm SiO ₂ layer on C grid (substrate temperature < 50 °C).	85 at. % W, 7.5 at. % F; 7.5 at. % O β -W cluster coalescence observed <i>in situ</i>	287 and 299
WF ₆ 0.5 and 2 keV Ar ⁺	...	0.1 $\mu\text{A}/\text{cm}^2$, substrate: Si ₃ N ₄ , Si	W:F:C 93.3:4.4:2.3, 15 $\mu\Omega$ cm	301 and 302
WCl ₆ electrons	...	Auger system: 10 kV, 8×10^{-4} A/cm ² ; 2 C/cm ² FEBID system: 15 kV; 40 pA	58 at. % W; 16 at. % Cl; 8 at. % C; 18 at. % O	10
TiCl ₄ 500 eV electrons	...	100 K substrate temperature, P_{res} : 9×10^{-8} Torr, t_{dep} : 8 min, < 10^{14} electrons/cm ²	TiCl _x , x not specified	296
SiH ₂ Cl ₂ 120 keV electrons	22.2 ± 0.7 ^a	Si particles < 100 nm diameter with nat oxide (1–3 nm) on C grid, P_{res} : 5×10^{-8} Torr; pressure measured at 200 mm from the specimen, 100 A/cm ²	Not specified	299
SiH ₂ Cl ₂ 10 keV electrons	...	\varnothing : 30 μm , Ge (100) substrate; P_{res} : 2×10^{-9} Torr, P_{dep} : 0.1 Torr, 1 μA , 0.14 A/cm ² , MFC precursor supply	9.1 at. % O; 1.9 at. % Cl; 89 at. % Si	300
CrO ₂ Cl ₂ 3 kV electrons	...	P_{res} : 2×10^{-9} Torr, P_{dep} : 10^{-5} Torr, t_{dep} : 5 min	Cr:O:Cl 1:2.2:1.1	303

^aReference 268.

due to the localization of the antibonding π orbital into which the electron densities from the transition metal d electrons are back donated on the C atom. The carbonyls are therefore complexes not needing any redox reaction in order to liberate atomic (uncharged) metals. On the other hand the special donor-acceptor bonds are relatively strong and a dissociation of a CO ligand by breaking the Me-C bond from the metal atom is not easy. Furthermore, the dissociation of a carbonyl complex $\text{Me}(\text{CO})_n$ into the pure metal Me needs the separation from all the CO ligands. If such a complex is partially dissociated close to the surface of a metal, the metal carbonyl fragment will be attached to the metal surface and the CO ligands will have to be dissociated from the central metal atom. As the latter might be attached or even integrated into a metal lattice, the full dissociation of a carbonyl complex into pure metal corresponds to desorption of the CO ligands from the central metal bulk material. For this reason

we will discuss briefly the electron beam induced desorption of molecules from metal surfaces. Accompanying this electron beam induced desorption is the low-energy electron induced dissociation of the CO on the metal surface that leads to carbon deposits and the liberation of O⁺.³⁰⁴ The desorption of CO from tungsten (211) was studied and revealed also the production of O⁺ ions;³⁰⁵ the amount of neutral desorbed CO's, however, are not mentioned. In the case of molybdenum, the electron induced CO desorption is 10^5 times more efficient than the dissociation and liberation of O⁺.³⁰⁶ Comparisons of the electron induced desorption of CO from Mo and W is not quantitative and not appropriate according to a later publication.¹¹⁵ On iridium (111) the dissociation is considered to present only 1%–2% of the electron induced desorption of CO.³⁰⁷ In general with CO adsorbed on transition metal surfaces, several processes are going on with different yields. There is electron induced desorption of CO, dissociation

tion into adsorbed carbon C_{ad} and desorbed O^+ , and dissociation into adsorbed C_{ad} and adsorbed O_{ad} . The latter might react with CO_g to produce CO_2 and result in the C uptake on the surface of metals. Which path is most probable depends on several parameters such as CO coverage, partial pressure of CO, transition metal, crystalline orientation of the metal, temperature, and irradiation conditions. We do not want to enter into details of these studies here, but want to show the variety of processes that might go on in FEB induced deposition experiments.

(a) *Iron (Fe) from $Fe(CO)_5$* . Iron carbonyl [$Fe(CO)_5$] was used for FEBID by several groups resulting in different results. Early work resulted electron beam induced deposition on Si at room temperature and autocatalytic Fe film growth on Si heated to 250 °C. At an intermediate substrate temperature of 125 °C, selective autocatalytic growth of Fe on silicon occurred only in the electron beam irradiated region.³⁰⁸ The formation of iron subcarbonyls [$Fe(CO)_4$] on iron surfaces by transfer of carbonyl ligands from the adsorbed precursor to the substrate and the formation of subcarbonyl ions [$Fe(CO)_4^-$; $Fe(CO)_3^-$] that had a high cross section for dissociative electron attachment reactions are mentioned and used for an explanation of the obtained results.³⁰⁹ The beam initiated and further thermal growth was studied also at higher temperatures, and the thermal deposition activation energy of 0.14 eV could be obtained.³¹⁰ For more details we refer to the original literature. Pure crystals of Fe could also be obtained by irradiation of a 10 nm thick C membrane around the irradiated region.³¹¹ The authors state that the process of Fe crystal growth differs from that observed by Kunz and Mayer.^{308,309} We think that catalytic decomposition of the iron carbonyl is responsible for the crystal growth and probably also beam heating effects. Another research team used iron carbonyl and decomposed it by doing FEBID in an UHV system, by writing at room temperature perpendicular into vacuum at 2–3 nm/s. It resulted in freestanding 50–30 nm diameter deposits. The deposits were amorphous and contained Fe and carbon. Pure α -iron was obtained after annealing at 600 °C for 1 h.³¹² Under slightly different deposition conditions with the same precursor, the composition of the deposit after 1 h annealing at 600 °C in vacuum is a mixture of α -iron and several iron carbides. Carrying out the deposition with a 200 keV electron beam on pure 7 × 7 Si, heated to 850–900 K, resulted in the growth of $FeSi_2$.^{313,314} Pure iron nanocrystal FEB deposition in an UHV system was obtained recently.³¹⁵

Other higher nuclei number iron carbonyls [$Fe_3(CO)_{12}$] as precursor resulted in nanocomposite deposits, comparable to the simpler carbonyls.³¹⁶ The approach of increasing the metal content by increasing the number of metal to metal bonds and decreasing the number of ligands were already developed in focused laser beam³¹⁷ or focused ion beam^{318,319} induced decomposition of metal organic compounds for multichip interconnects. It did improve the purity of the lines obtained in pyrolytic laser direct writing, but in FIB writing, reasonable electrical properties could only be obtained after reactive thermal annealing. In the case of pure

gas phase processing, the increased number of nuclei generally increases the intermolecular van der Waals binding forces and therefore decreases the vapor pressure of the compound. Only for the reason of higher volatility small and simple mononuclear complex molecules even with large numbers of ligands (up to six) are generally taken for FEBID. The studies of UV irradiation of adsorbed $Fe(CO)_5$ on Fe surfaces might help to understand the decomposition reactions.³²⁰

(b) *Chromium (Cr) from $Cr(CO)_6$* . Chromium hexacarbonyl [$Cr(CO)_6$] was used by Kunz and Mayer for FEB induced deposition.³¹⁰ They present the selective deposition of chromium at substrate temperatures higher than for the iron carbonyl deposition and determined the activation energy for thermal decomposition of 1.02 eV. The deposits carried out at substrate temperatures of 280 and 330 °C resulted in films with electrical resistivities of 141 and 79 $\mu\Omega$ cm, respectively. The latter value is only six times higher than bulk chromium; the quality of the deposits was confirmed by the lack of any contamination measured by Auger spectroscopy. The comparison of the Cr deposition with the Fe deposition from the corresponding carbonyls was used for developing a generalized deposition model based on nucleation theory.

(c) *Tungsten (W) from $W(CO)_6$* . Tungsten hexacarbonyl [$W(CO)_6$] is used by numerous groups for FEB induced deposition. Very early publications reported compositions of typically 55 at. % W, 30 at. % C, and 15 at. % O. Some of the deposits contained even up to 75 at. % of W. The electrical resistivity with lines of 0.2 Ω cm was reported.¹¹ More recently, the reported electrical conductivity of lines was improved (resistivities: 2.7×10^{-2} and 4.5×10^{-3} Ω cm).^{64,65} Concerning the deposition mechanism, a large variation of the conditions was carried out and a two step deposition model was developed.²³³ After a first fragmentation of the precursor, resulting in an intermediate molecular fragment, a further fragmentation of the latter results in the final deposition product. The effect of electron dose and chemical composition was explained by this model.⁶⁵ The W, O, and C containing deposits remain amorphous under almost all the deposition conditions; with high doses and low electron energies, the deposit density increases and a tendency of increased crystal size (still remaining very small) is observed.⁶⁵ As discussed in more detail for the cobalt carbonyl, the dissociation mechanism might be even more complicated as the one described by Hoyle *et al.*²³³

(d) *Cobalt (Co) from $Co_2(CO)_8$* . Cobalt carbonyl $Co_2(CO)_8$ was applied for deposition of magnetic tips^{321,322} and electrically conductive lines for Hall sensors.³²³ The in depth study¹⁰⁴ of the materials composition as a function of FEBID current revealed the variation of 18%–80% Co in the deposits explained by a thermal effect and the catalytic decomposition of the precursor on Co. The deposition of free space arches that are electrically conducting (159 $\mu\Omega$ cm) was achieved and the importance of the sufficiently short dwell time was explained by a low electrically conducting deposit at low electron densities.³²⁴ The variation in the deposit composition and properties with varying direct param-

eters (electron current, acceleration voltage, and beam diameter) or indirect parameters (local temperature, local precursor coverage, presence, and amount of water vapor) is a puzzling story due to the multitude of processes going on during the deposition process. The adsorption of the precursor might already result in partial dissociation of the precursor or clustering to bigger Co–Co bond containing units. Alternatively the complete integration into the metal surface might also happen, with the remaining adsorbed CO groups becoming surface adsorbed CO groups. The latter might dissociate into C and O adsorbates due to the increased local temperature from the metal bonds formed. Above 300 K dissociative adsorption with an activation energy for dissociation of 85 ± 10 kJ/mol competes with molecular adsorption on Co (11 $\bar{2}$ 0).³²⁵ The molecular adsorbed CO might desorb from the surface by thermally induced desorption. On other planes of Co, CO chemisorbs and dissociates under heating. The adsorbed oxygen diffuses into the Co and carbon forms a Co₃C carbide.³²⁶ Adding electron irradiation increases the possibilities of the system to react. Electron induced desorption or electron induced dissociation is also reported in literature, or mentioned as side products of the analysis methods used for determining the thermal desorption processes.³²⁷ The authors present the dissociation and desorption of CO from polycrystalline cobalt. The dissociation takes place at 350 K and results in a 1:1 C to O coverage of the Co surface. From the dissociation rate constants, the dissociation activation energy of 71 ± 8 kJ/mol could be determined. The CO dissociation efficiency on polycrystalline cobalt differs from monocrystalline cobalt surfaces. Bridge measured the chemisorption of CO on Co(0001) and determined the electron (80–100 eV) desorption cross section of CO on this surface to be $\geq 10^{-16}$ cm². No dissociation was observed under the applied conditions.³²⁸ The dissociation probability seems to be a function of surface kinks and/or defects that will clearly appear during FEBID processing. Co undergoes rapid oxidation if exposed to O₂. As water is one important residual gas in vacuum systems, measuring the effect of water on clean or CO covered Co surface would be of interest for further understanding the FEB induced deposition of Co.

5. Pure phosphines and halogenophosphines

Trifluorophosphine is an excellent σ -donor- π -acceptor ligand, resulting in complexes that are even more stable than the corresponding carbonyls. This is due to the strongest π -acceptor force of trifluorophosphine (PF₃) of all neutral ligands.³²⁹ It stabilizes therefore low charged transition metals in stable complexes. The stability of the complex would need a slightly higher substrate temperature for complete thermal dissociation than the corresponding carbonyls, as, for example, for nickel. For metals such as Pt and Pd, the carbonyl is thermally not sufficiently stable at room temperature and therefore the corresponding trifluorophosphine complexes are dedicated precursors for FEB and FIB induced deposition. Furthermore the tendency of polymerization of phosphorus as compared to Carbon could be smaller and therefore the PF₃ complexes looked very promising.

(a) *Pure trifluorophosphines.* The first compound of this family is Ni(PF₃)₄ that has been used for FEB induced deposition recently.³³⁰ As compared to the carbonyls, the electron interaction cross section of the precursors should be higher. Unfortunately, the fragmentation of the trifluorophosphine ligands takes place under electron irradiation and non-negligible amounts of P are codeposited with the metals. The P content in the deposits could be reduced from almost 60 at. % P to about 40 at. % by adding O₂ in a 1:1 ratio. Also the temperature increase of the substrate decreased the P content in the deposits. However, both efforts did not result in pure Ni deposition. Another trifluorophosphine complex, tetrakis(trifluorophosphine) platinum Pt(PF₃)₄, has been used for deposition of Pt. This compound with a melting point of -15 °C and a boiling temperature at atmospheric pressure of 86 °C decomposes thermally at 90 °C according to textbooks of inorganic chemistry. Again codeposition of phosphorus was observed during FEB induced deposition³³¹ probably due to the electron induced fragmentation of the adsorbed PF₃ ligands that competes with the desorption rate of intact PF₃ ligands from the deposits. Carrying out deposition under conditions where the desorption rate is higher than the fragmentation rate, higher purity can be obtained. A local temperature increase by several tens of degrees might explain the achieved carbon nanotube contacts that could be realized with this precursor.³³²

(b) *Halogenotrifluorophosphines.* The combination of the trifluorophosphine ligand with halogens (Cl) opens another class of complexes. Herein the most interesting compound is the gold(I)trifluorophosphinochloride AuClPF₃. The latter is a thermally fragile compound that undergoes decomposition reactions on the walls of metallic containers already at room temperature. The compound might evaporate as dimer or higher aggregate, but unfortunately there is not much information available about this compound. Applying AuClPF₃ for FEB induced deposition resulted in one of the few deposits of pure metal by focused electron beam irradiation.^{333,334} This result can be compared to Kunz and Mayers' work on autocatalytic decomposition of Fe(CO)₅ at 125 °C and Cr(CO)₆ at 330 °C.³¹⁰ In the case of the AuClPF₃, the temperature window for selective deposition includes room temperature. Due to the very limited stability of the compound (it should be stored and always kept at temperatures below -10 °C in order to avoid thermal decomposition), an industrial use of the compound does not appear easily feasible.

The rhodium and iridium chlorotrifluorophosphino complexes RhCl(PF₃)₂ exist as dimers: Rh₂Cl₂(PF₃)₄ and Ir₂Cl₂(PF₃)₄ were also successfully used for FEBID.^{335,336} The Rh compound and the Ir compound are both thermally much more stable than the AuClPF₃ compound as shown for the Rh compound by the vapor pressure measurements.¹⁶⁹ This might be the reason for the codeposition of amorphous P and other contaminants during FEBID growth (Table XIV). As already observed for the earlier mentioned precursors, the chemical composition of the Rh deposits did not vary strongly by varying the electron beam conditions. This includes also the water and partial oxygen content of the re-

TABLE XIV. FEB and FIB induced deposition using carbonyl and trifluorophosphine precursors. Vapor pressures P_{vap} , deposit composition, and electrical resistivity are given. Values for the evaporation and sublimation enthalpies $\Delta H_{\text{vap/subl}}$ were collected from Refs. 169, 267, 268, and 337. Other symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), P_{dep} (pressure during deposition or estimated local pressure on sample surface), and t_{dep} (deposition time).

Precursor irradiation	P_{vap} at RT (mbar)	$\Delta H_{\text{vap/subl}}$ at RT (kJ/mole)	Substrate conditions	Composition resistivity	Ref.
Fe(CO) ₅ 0.5–3 keV electrons	47	38–40	Si substrate, 25–60 $\mu\text{A}/\text{cm}^2$, \varnothing : 100 nm	Fe crystals by autocatalysis at 125 °C	308 and 338
Fe(CO) ₅ 30 keV electrons	8×10^{-10} A, \varnothing : 4 nm	Fe crystals	312
Fe(CO) ₅ 30 keV electrons	10 nm thin C grid, P_{res} : 2×10^{-6} Pa; UHV-SEM, 8×10^{-10} A; \varnothing : 4 nm	Fe crystals	339 and 340
Fe(CO) ₅ 300 keV electrons	Si(111); 850–900 K, P_{res} : 5×10^{-8} Pa UHV- TEM, 5×10^{-3} – 5×10^{-4} A/cm ²	FeSi ₂ crystals	313 and 341
Fe(CO) ₅ 15 keV electrons	Si(001), UHV 10^{-10} mbar	Fe crystals	315
Cr(CO) ₆ electrons	0.18 ^b	70–62.5 (324 K)	p_{res} : 8×10^{-7} Torr; Si, 25°C–400°C; 10–100 microA/cm ²	10–10 ⁸ $\mu\Omega$ cm	310 and 342
Mo(CO) ₆ electrons	0.15 ^{a,b}	77.7	...	2×10^{-3} Ω cm, 10 at. % Mo	343
W(CO) ₆ 3 keV electrons	0.023/23 °C	77.7	P_{res} : 1.2×10^{-6} mbar, P_{dep} : 3.5×10^{-6} mbar, 150 and 590 pA, dwell time 30–300 ms	...	344
W(CO) ₆ 25 keV electrons	Si ₃ N ₄ membrane at –3 °C; 10^8 A, \varnothing : 0.15 μm , P_{dep} : 2 mTorr	55 at. % W, 30 at. % C, 15 at. % O; 75 at. % W (best), 0.2 Ω cm	11
W(CO) ₆ 5 keV electrons	GaAs at 22 °C, P_{res} : 3×10^{-6} Torr; P_{dep} : 9×10^{-6} Torr; 0.94–1 nA, \varnothing : 400 nm, loop time varied, 9×10^{15} molecules/cm ² s	3×10^{-3} Ω cm	233
W(CO) ₆ 0.06–20 keV electrons	GaAs at 22 °C P_{res} : 3×10^{-6} Torr; P_{dep} : 9×10^{-6} Torr; 4 nA, \varnothing : 0.3–0.5 μm , loop times 6 and 60 s 1.4×10^{16} molecules/cm ² s	6×10^{-4} Ω cm	64 and 65
W(CO) ₆ 25 keV Ga ⁺	Total yield: 2 atoms/ion	W:C:Ga:O 75:10:10:5, 150–225 $\mu\Omega$ cm	345
W(CO) ₆ 30 keV Ga ⁺	W:C:Ga 51:37:12 200 $\mu\Omega$ cm, superconducting for $T < 5.5$ K	346
Co ₂ (CO) ₈ 25 keV electrons	0.4 ^b	84 65	SiO ₂ on Si at 22 °C P_{res} : 1×10^{-6} Torr, 0.5 nA, \varnothing : 200 nm	7×10^{-6} Ω cm	323
Co ₂ (CO) ₈ 25 keV electrons	25 keV; 0.5 nA; \varnothing : 200 nm, 30 nm/s	34 at. % Co; 51 at. % C, 14 at. % O, 2–5 nm crystals of cubic Co	322
Co ₂ (CO) ₈ 25 keV electrons	25 keV, 2–5 nA, 25 keV; 20 pA–3 μA ; 2–45 nm/s	12–80 at. % Co	104 and 204

TABLE XIV. (Continued.)

Precursor irradiation	P_{vap} at RT (mbar)	$\Delta H_{\text{vap/subl}}$ at RT (kJ/mole)	Substrate conditions	Composition resistivity	Ref.
Co ₂ (CO) ₈ 15–30 keV electrons	...	Heated precursor reservoir	P_{res} : 10 ⁻⁶ mbar, P_{dep} : (3–6) × 10 ⁻⁵ mbar; 180 pA–13.5 nA, t_{dep} : 3–180 s	30–50 at. % Co 45 μΩ cm; bridges: 159 μΩ cm	324
Co(CO) ₃ (NO) 15–30 keV electrons	30/45 °C	Heated precursor reservoir	P_{res} : 10 ⁻⁶ mbar, P_{dep} : (3–6) × 10 ⁻⁵ mbar; 180 pA–13.5 nA, t_{dep} : 3–180 s	Pure catalytic Co deposits	324
Os ₃ (CO) ₁₂ 40 keV electrons	7 × 10 ^{-5b}	104.6 ± 20	Si (100) at -40 °C, P_{dep} : 5 × 10 ⁻⁶ mbar; 0.8–15 mA/cm ²	Up to 20 nm sized grains	187
Ru ₃ (CO) ₁₂ 40 keV electrons	Si (100) -40 °C P_{dep} : 5 × 10 ⁻⁶ mbar, 0.8–15 mA/cm ²	Up to 20 nm sized grains	187
Fe ₃ (CO) ₁₂ 15 and 20 keV electrons	1 × 10 ⁻³	...	5–200 nA; ∅: 0.2–0.5 μm	4 × 10 ⁻² –1 × 10 ⁴ Ω cm	316
Rh ₂ Cl ₂ (CO) ₄ 25 keV electrons	0.0025 ^c	84	0.5–5 nA	56 at. % Rh, 34 at. % C, 5 at. % Cl; 2 at. % O, 3 at. % N	347
Ni(PF ₃) ₄ 10–25 keV electrons	160, 147 ^d	...	2–250 nA, ∅: 0.1–5 μm, P_{res} : 10 ⁻⁶ mbar, P_{dep} : 3 × 10 ⁻⁵ mbar	36 at. % Ni, 22 at. % O, 14 at. % C, 17 at. % P, 11 at. % F, $\rho/\rho_0=140$	330
Pt(PF ₃) ₄ 10 keV electrons	...	15 Torr/0°C	FEI 620 dual beam 0.6–46 nA	81% Pt, 17%P, 1%F; $\rho/\rho_0 \sim 3$	332
AuClPF ₃ 25 keV electrons	100 pA–1 nA	>95 at. % Au 43 μΩ cm	333 and 349
AlH ₃ –N(CH ₃) ₃ 20 keV Ga ⁺	Total yield: 5 atoms/ion	Al:Ga:C:N 29:12:31:28, 900 μΩ cm	350
Rh ₂ Cl ₂ (PF ₃) ₄ 25 keV electrons	0.075 ^a	90.8	1–5 nA, 2 × 10 ¹⁷ molecules/cm ² s; 20 nm/s	Rh _{0.66} P _{0.33} , 60 at. % Rh, 18 at. % P, 7 at. % Cl, 8 at. % O, 7 at. % N	258 and 347
Ir ₂ Cl ₂ (PF ₃) ₄ 25 keV electrons	0.5–5 nA, 7 × 10 ¹⁶ molecules/cm ² s	Ir:Cl:P 1:1:1	336

^aReference 169.^bReference 170.^cReference 337.^dReference 348.

sidual gas. The deposits contained 60 at. % metal in an amorphous matrix that contained mainly P but also some C, O, and N.³³⁵

6. Organometallics

Organometallic molecular compounds are in literature also sometimes called metal organic compounds or metallo-organic compounds without a clear definition of the words. Here we use organometallics for compounds with carbon to metal bonds. According to this definition, metal carbonyls would enter also into this group, but as they form a large group of precursors used in FEBID, we treated them independently above.

The organometallic compounds differ in their bond structure depending on the difference in electronegativity and

type of bonding. Simple σ -type bonds are involved in light main group metals and transition metals with alkyl groups, delocalized bonds involving double bonds, triple bonds, and aromatic systems are generally weaker ligand to metal bonds. As an example the bisbenzene chromium (C₆H₆)₂Cr is considered to be unstable at room temperature.³⁵¹ More complicated is the bond cleavage of Si–C in alkylsilanes or Al–C in alkylalanes.

(a) *Metal alkyls* [Si(CH₃)₄, Al(CH₃)₃, Sn(CH₃)₄]. FEBID of simple metal alkyls result generally in metal and carbon containing materials. The irradiation of tetramethylsilane (TMS) in a SEM results in the deposition of partially oxidized amorphous silicon and carbon rich deposits.^{352,353} With increasing precursor flow, the oxygen uptake in the deposit decreases. This was explained by the decreasing ratio of re-

sidual water vapor as compared to the precursor molecules. The water vapor clearly determined the oxygen uptake during the FEB induced deposition. Intentional addition of molecular oxygen resulted in the deposition of pure SiO₂ and will be discussed in the corresponding section below. Trimethylaluminum was irradiated with focused electrons and lines were obtained. The EDX measurements revealed Al and C; no in-depth analysis of the deposits was carried out.³⁵⁴

About 2 μm thick films of tetramethyltin [Sn(CH₃)₄] condensed at 120 K on silicon as substrate were irradiated with different ions and also 2 keV electrons resulting in films that contained unspecified amounts of Sn. The remaining C (and partially also hydrogen) in the deposit does not desorb and therefore explained the low measured electrical conductivity. Hydrogen is lost mainly due to fragmentation of the methyl ligands and not due to Sn–C bond breaking. The electron dissociation cross section of tetramethyltin at 2 keV is between 1.8 and 3.4 Å² and is very close to the electron dissociation cross section of methane in the gas phase of 2.9 Å² for electrons of 0–600 eV.³⁵⁵ Higher electrically conducting films ($\rho/\rho_0=50$) could be obtained by irradiation with Ar⁺ ions, explained by a selective sputtering process.

(b) *Sandwich aromatic or pseudoaromatic complexes.* The stability of the aromatic sandwich complex bisbenzene chromium(0) (C₆H₆)₂Cr, first synthesized in 1955,³⁵⁶ as reported in literature varies from room temperature³⁵¹ to stable at 100 °C for evaporation.³⁵⁷ The bisbenzene chromium was tested for FEB induced deposition,¹⁰ and the cyclopentadienyl complexes ferrocene, nickelocene, cobaltocene, (C₅H₅)₂Met with Met=Fe, Ni, Co, respectively, were proposed for FEB induced deposition of magnetic deposits in a patent.³⁵⁸ Ferrocene was furthermore used for deposits obtained by STM-CVD, but no chemical composition is stated.³⁵⁹

All FEBID experiments from metal organic compounds reported in literature with quantitative information about the chemical composition fall into a range between 10 and 25 at. % of metal content in the deposits. Relatively large amounts of carbon are generally codeposited (Table XV).

7. Acetylacetonates

According to a review article about the oxygen–metal bond energetics in β-diketonates,³⁶⁹ the other name for acetylacetonates, these compounds are known already since 1887. They are an important class of volatile metal atom containing compounds and therefore widely used as precursors in CVD processes. The β-diketonate ligands are very stable ligands, forming a six membered flat aromatic ring system including the central (metal) atom as one of the hexagon edges. The charge is delocalized between all the six atoms and the rigid structure protects the central atom efficiently against chemical reactions. Variation in the β-diketonates from the simple acetyl acetonate by replacing the hydrogen atoms of one (both) methyl group(s) by three (six) fluorine atoms results in the more volatile trifluoroacetylacetonate (hexafluoroacetylacetonate) compounds.

This substitution appears to be more efficient for increasing volatility than the increase of the asymmetry of the ligands or the increase of steric hindrance by replacing the methyl group by bulkier tertiary butyl groups. The acetylacetonate groups are relatively stable ligands that do have to be dissociated, fragmented, or chemically reacted, for example, by oxidation or reduction in order to get rid of them from a metal surface. The latter takes place generally at temperatures above 200 °C. The differences in thermal decomposition of copper acetylacetonates differ for Cu(I) and Cu(II) acetylacetonates, and the photolytical decomposition differs from the thermal one according to the literature.¹⁶⁸ The disproportionation reaction of the Cu(I) compounds after having lost the weakly attached uncharged ligands results in clean copper deposits at sufficiently high temperature of the substrate (>120 °C) and desorption of the bisacetylacetonato-Cu(II) complex. For decomposition of the Cu(II) compounds, substrate temperatures of 200 °C or higher and additional reactive gases are needed for obtaining pure copper deposits.¹⁶⁸ The group of dimethylgold acetylacetonato compounds decompose thermally under the formation of ethane and a fragmentation of the acetylacetonato ligands at temperatures above 200 °C.¹⁶⁸ The ethane is produced by forming a C–C bond between the two carbon atoms of the methyl groups (Table XVI). A mechanism explaining the carbon insertion into the deposits from the decomposition of the acetylacetonato ligand on a copper surface is presented in literature.¹⁶⁸

8. Alkoxides, nitrates, and amides

The most popular precursors for oxide deposition are the alkoxides. Hydrolysis results in alcohols, which are much less corrosive as compared to the hydrolysis product of halides. Alkoxides contain organic ligands with oxygen bonds to the central atom. Well known are the silicon alkoxide tetraethoxysilane (TEOS) also called tetra-ethyl-ortho-silicate and the corresponding methyl analog tetramethoxysilane (TMOS). Both contain four alkoxy ligands. For titanium, the highest volatile alkoxide is the titanium tetraisopropoxide (TTIP); for tantalum the tantalum pentaethoxide [Ta(OEt)₅] is commonly used for tantalum oxide deposition. Alkoxides are water sensitive and undergo hydrolysis reactions at room temperature, liberating the corresponding alcohols and forming hydroxyl groups attached to the central atom. These undergo wanted or unwanted condensation reactions and result in oligomers in a three dimensional network of metal oxide. This is a wanted reaction in the case of sol-gel processes. For FEBID and FIBID the presence of water either in the precursor reservoir or in the vacuum chamber is strictly to be avoided if working with alkoxides due to the above mentioned chemical reactions. They result in very low volatile and sticky oligomers that are eternally contaminating vacuum systems.

The depositions of Si containing materials from precursors that contain already oxygen, nitrogen, or carbon bonds

TABLE XV. FEB and FIB induced deposition using organometallic precursors. Vapor pressures P_{vap} , deposition conditions, deposit composition, and electrical resistivity are given. Values for the evaporation and sublimation enthalpies $\Delta H_{\text{vap/subl}}$ were collected from Refs. 268 and 360. Other symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), P_{dep} (pressure during deposition or estimated local pressure on sample surface), and t_{dep} (deposition time).

Precursor	Name	P_{vap} at RT (mbar)	$\Delta H_{\text{vap/subl}}$ at RT (kJ/mol)	Conditions	Composition (at %) resistivity	Ref.
Si(CH ₃) ₄ 10 keV electrons	Tetramethylsilane (TMS)	804/20 °C	...	125 pA, Diam: 5.8 micrometers	SiO _{1.8} C _{3.7}	352 and 353
Al(CH ₃) ₃ 6 keV electrons	Trimethylalane	...	39.8 (351 K)	GaAs, \varnothing : 8 nm, P_{res} : 5×10^{-8} Torr, P_{dep} : 1×10^{-6} Torr, 10^{-11} A Precursor	Al with some C; not quantified	354
Sn(CH ₃) ₄ 2 keV electrons	Tetramethyl tin	132	30–33	condensation at 120 K, irradiation 3.2×3.2 mm ²	...	355
Cr(C ₆ H ₆) ₂ electrons	Bisbenzene chromium	...	78–90; 49.5 (485 K)	Auger system: 10 keV, 8×10^{-4} A/cm ² , 2 C/cm ² , FEBID system: 15 kV; 40 pA	16 at. % Cr, 62 at. % C; 22 at. % O	10
Fe(C ₅ H ₅) ₂	Ferrocene	4.1×10^{-3} 1.2×10^{-2a}	47.3 (471 K); 73, 71	No details	No details	358
Ni(C ₅ H ₅) ₂	Nickelocene	8 Torr at 110 °C		No details	No details	358
Co(C ₅ H ₅) ₂ Ni(MeCp) ₂ 10–25 keV electrons	Cobaltocene Bis-methylcyclopentadienyl-Nickel	4.6×10^{-2b}		No details P_{res} : 10^{-6} mbar, P_{dep} : 3×10^{-5} mbar, 10–250 nA	No details 12 at. % Ni; 6 at. % O; 82 at. % C	358 330
CpPt(Me) ₃ 20 keV electrons	Trimethylcyclopentadienyl platinum	54 mTorr, 0.045 Torr ^c	77.8 71.2	0.5–40keV, 1–2000 pA	13%–21.5% Pt	343 and 363
MeCpPt(Me) ₃ 15 keV electrons	Trimethylmethylcyclopentadienyl platinum	0.2 Torr at 35 °C 0.053 Torr ^c	71.5, 43.6	\varnothing : 3 nm; P_{res} : 10^{-9} Torr P_{dep} : 10^{-6} Torr 10^{18} – 10^{19} molecule/cm ² s	21.5 at. % Pt, 73 at. % C, 5.5 at. % O, 30 $\mu\Omega$ cm	364 and 365
MeCpPt(Me) ₃ 25 keV Ga ⁺ ions	...	heated 26–55°C (0.11–0.50 Torr)	...		13–21.5 at. % Pt	366
MeCpPt(Me) ₃ 35 keV Ga ⁺ ions	Total yield: 0.2–30 atoms/ion	Pt:C:Ga:O, 46:24:28:2, 70–140 $\mu\Omega$ cm	171 and 367
MeCpPt(Me) ₃ 30 keV Ga ⁺ ions		Pt:C:Ga:O, 45:45:5:5	368

^aReference 361.

^bEstimated from Ref. 362.

^cReference 360.

as shown above [see Sec. V A 3 (d)]. The very high enthalpies of formation of silicon carbide (SiC), silicon nitride (Si₃N₄), and especially silicon oxide (SiO₂) are a strong driving force for obtaining these compounds (with highest probability SiO₂) instead of other compounds. Under high electron irradiation doses, however, partial oxygen desorption might occur. This would explain the non-Ohmic electrical properties mentioned by Christy for very thin films.⁸ These depositions with condensed films of silicone oil resulted in poor electrically insulating materials. Comparable to this are the depositions with very low volatile compounds such as octavinylsilsesquioxane condensed as films on substrates and exposed like photoresists by electrons.³⁷⁸ The easily polymerizable vinyl groups in this molecule are responsible for

lowering the needed electron dose in order to decrease the volatility so that by heating, the compound will decompose prior to evaporate from the surface. For the discussion of the SiO₂ deposits from alkoxides or the silicon isocyanate with additional gases, we refer to the next section. FEB induced deposition from titaniumtetranitrate [Ti(NO₃)₄] resulted in titanium oxide that still contained some nitrogen.³⁷⁹ 10% of nitrogen detected by XPS and EDX seems to be present as nonreacted nitrate units as they were also detected by IR spectroscopy.

9. Precursors for oxide deposition (dielectrics)

In this section we summarize precursors used for oxide deposition without additional gases. Generally, carbon con-

TABLE XVI. FEB and FIB induced deposition using acetylacetonate precursors. Vapor pressures P_{vap} , deposition conditions, deposit composition, and electrical resistivity are given. Values for the evaporation and sublimation enthalpies $\Delta H_{\text{vap/subl}}$ were collected from Refs. 266, 268, and 269. Other symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), P_{dep} (pressure during deposition or estimated local pressure on sample surface), and t_{dep} (deposition time). The abbreviations for acetylacetonate, trifluoroacetate, hexafluoroacetylacetonate are acac, tfa, and hfa, respectively. VTMS=Vinyltrimethylsilane, MHY=2-methyl-1-hexen-3-yne, DMB=3,3-dimethyl-1-butene.


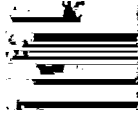
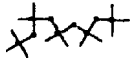
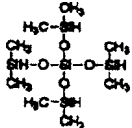
Precursor irradiation	P_{vap} at RT (mbar)	$\Delta H_{\text{vap/subl}}$ at RT (kJ/mol)	Conditions	Composition (at. %) resistivity	Ref.
Me ₂ Au(hfa) 40 keV Ga ⁺ ions	0.7		Total yield: 3–8 atoms/ion	Au:C:Ga 50:35:15 (RT), 500–1500 $\mu\Omega$ cm; 80:10:10 (120 °C), 3–10 $\mu\Omega$ cm	58, 169, and 370
Me ₂ Au(tfa) 25 keV electrons	0.07	83.5	100 pA–1 nA	25–40 at. % Au, 10 ³ –10 ⁵ $\mu\Omega$ cm	371
Me ₂ Au(tfa) 25 keV electrons	Si ₃ N ₄ membrane at –3 °C; \varnothing : 0.15 μ m, 10 ⁸ A	40 at. % Au, 55 at. % C, 1.5 at. % O	11
Me ₂ Au(tfa) 2–30 keV electrons	Substrate at 5 °C, 50 pA, \varnothing : 10–50 nm	25 at. % Au	372
Me ₂ Au(tfa) 25 keV electrons	Dep. rate: 4 nm/s	Au _{0.2} C _{0.8}	258
Me ₂ Au(tfa) 20 keV electrons	1pA–10nA	25–40 at. % Au, 10 ³ –10 ⁵ $\mu\Omega$ cm	373
Me ₂ Au(acac) 200 keV electrons	50 nm Si ₃ N ₄ membrane, \varnothing : 1–4 nm, P_{res} : 10 ^{–7} Torr, P_{dep} : 10 ^{–5} Torr, 1–5 pA	Contains carbon	374
(tfa)Cu-VTMS 25 keV electrons	0.1	...	90 pA	Cu _{0.1} C _{0.5} O _{0.1} Si _{0.3}	258
(tfa)Cu-VTMS 25 keV electrons	Freestanding deposit, \varnothing : 132 nm, 500 pA	Pure Cu (FEB heating)	212
(tfa)Cu-VTMS 25 keV electrons	Si substrate, 0.5–1 nA	20–45 at. % Cu, 35–70 at. % C, 8–14 at. % O, 2–10 at. % Si, 5–11 at. % Cu	182 and 240
(hfa)Cu-VTMS 30 and 50 keV electrons	0.4/40 °C	8–11 at. % Cu	375
(hfa)Cu-VTMS 25–35 keV Ga ⁺ ions	Total yield: 10–30 atoms/ion	Cu:C, 55:45 (25 °C), 100 $\mu\Omega$ cm, 95:5 (100 °C), 5 $\mu\Omega$ cm	376
(hfa)Cu-VTMS 50 keV Ga ⁺ ions	49–2070 pA, 0.33 $\mu\text{m}^3/\text{nC}$, best quality: 0.18 $\mu\text{m}^3/\text{nC}$	Cu:C:Ga 60:30:10 (RT), 18.8 $\mu\Omega$ cm (100 °C)	377
Cu(hfa) ₂ Cu(hfa) ₂ xH ₂ O 25 keV electrons	4 × 10 ^{–3}	97 108 ± 6	Si substrate, 0.5–1 nA	14 at. % Cu, 75 at. % C, 5 at. % O	240
(hfa)CuMHY 25 keV electrons	0.2	...	Si substrate, 0.5–1 nA	13 at. % Cu, 82 at. % C, 3 at. % O	240
(hfa)CuDMB 25 keV electrons	1.3	...	Si substrate, 0.5–1 nA	25–60 at. % Cu, 15–60 at. % C, 5–25 at. % O	240

tamination of the deposit is found for carbon containing precursors, which is, however, at an acceptable limit for certain applications, like the electrical insulating properties of the SiO₂ containing deposits. Table XVII summarizes experiments performed for the oxides of Si, Ti, and Ta.

10. Postdeposition treatments

Deposits can be post-treated; in most cases thermal annealing is carried out. The annealing can take place either in vacuum or in the presence of reactive gases that might be

TABLE XVII. FEB and FIB induced deposition of oxides. Vapor pressures P_{vap} , deposition conditions, and the deposit compositions are given. Structural formulas are given for identification purposes. Other symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), P_{dep} (pressure during deposition or estimated local pressure on sample surface), and t_{dep} (deposition time).

Precursor formula irradiation	Structural formula	P_{vap} at RT (mbar)	Conditions	Composition Resistivity	Ref.
TEOS Si(OEt) ₄ 25 keV electrons		1.7/25 °C	P_{res} : 5×10^{-7} mbar, 90 pA, 10^{18} molecules/cm ² s	Si _{0.15} C _{0.45} O _{0.4}	258
TEOS Si(OEt) ₄ 30 keV Ga ⁺ ions		1.7/25 °C	P_{res} : 10^{-6} Torr 40–2000 pA	10^8 Ω cm	380
Octavinylsilsequioxane (C ₂ H ₃ SiO _{1.5}) ₈ =Si ₈ O ₁₂ C ₁₆ H ₂₄ 5–50 keV electrons		At 5×10^{-5} mbar the resist sublimes at 180 °C		Index of refraction, 1.48 (no wavelength specified)	378
Octamethylcyclotetrasiloxane [(CH ₃) ₂ SiO] ₄ =Si ₄ O ₄ C ₈ H ₂₄ 50 keV Ga ⁺ ions		1.4	Beam current, 10 nA; dwell time, 100 ns; pixel spacing, 400 nm; siloxane pressure ~0.3 Torr in the delivery line! oxygen pressure 3.5 Torr,	Si:O:Ga, 27:56:17, 1.2×10^7 Ω cm	381, 382
Pentamethylcyclopentasiloxane [(CH ₃)HSiO] ₅ =Si ₅ O ₅ C ₅ H ₂₀ 50 keV Ga ⁺ ions		13 at 54 °C	...	8×10^{11} Ω cm	382
Dodecamethylpentasiloxane =Si ₅ O ₄ C ₁₂ H ₃₆ 50 keV Ga ⁺ ions		0.007 (extrapol.)	...		382
Tetrakis(dimethylsiloxy)silane [HSi(CH ₃) ₂ O] ₄ Si 50 keV Ga ⁺ ions		Not found	...	3×10^{10} Ω cm	382
H ₃ C(–O–Si(CH ₃)(C ₆ H ₅)) _x –O–CH ₃ 0.225 keV electrons	Methyl-, phenylsiloxane (silicone oil, Dow Corning 704)	Estimation in article 2×10^{-9} Torr	P_{res} : 4×10^{-6} – 2×10^{-5} Torr	Not measured	8
Ta(OEt) ₅ 35 keV Ga ⁺ ions, 50 keV He ⁺ , Ar ⁺ , Xe ⁺		2.6×10^{-4} mbar (extrapol.)	Focused beams: 10–100 mA/cm ² , broad beams: 1 μA/cm ²	10:3:5, Ta:C:O	383
Ti(O- <i>i</i> -prop) ₄ 25 keV electrons		0.27 mbar at 30 °C	P_{res} : 10^{-6} mbar, P_{dep} : 3×10^{-5} mbar, 10–250 nA	index of refraction of 3.3 at 500 nm; Ti 34 at.%, O 51 at.%, C 15 at.%	384
Ti(NO ₃) ₄ 25 keV electrons		Sublimes easily at 0.2 Torr, 50 °C	P_{res} : 10^{-6} mbar, P_{dep} : 3×10^{-5} mbar, 10–250 nA	35% Ti; $57 \pm 5\%$ O; $8.5 \pm 5\%$ N	379

oxidizing or reducing. This section will not cover all of the tests carried out in this field. Generally, annealing of FEB or FIB deposited materials follows in analogy to annealing of materials obtained by CVD or physical vapor deposition. As diffusion processes and chemical reactions on surfaces and in the deposit volume determine the “purification” and transformation, there is no difference to such annealing experiments reported and well established. As an example, thermal annealing under vacuum (1 h, 600 °C of FEB deposits from Fe(CO)₅ containing amorphous iron and carbon resulted in a crystalline mixture of α -iron and different carbides.³³⁹ An

example of oxidative purification is shown in Fig. 37, where annealing in air results in a visible volume reduction and the appearance of gold particles from the composite material.

More voluminous deposits have been annealed at 500 °C in oxygen atmosphere and resulted in improved purity of platinum and gold from the commercially available precursors MeCpPt(Me)₃ and Me₂Au(acac),³⁸⁵ respectively.

Postannealing of C deposits from formic acid under vacuum reduced the film thickness by a factor of 2 and increased the carbon content to a final C:O ratio of 98:2. The film was more graphitic after the annealing.²⁶⁹

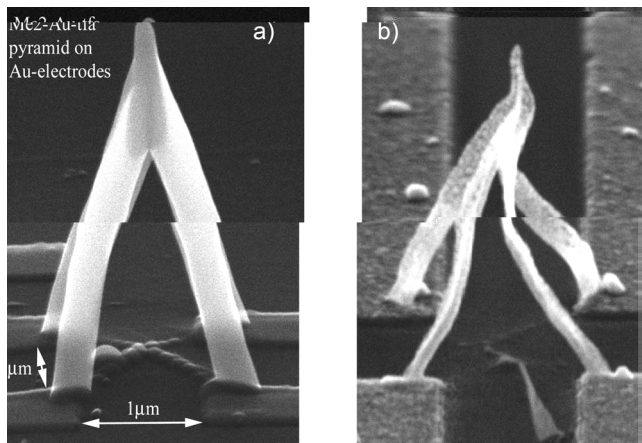


FIG. 37. SEM image of a FEB deposited pyramid connecting four predeposited Au electrodes. The precursor was $\text{Me}_2\text{Au}(\text{tfa})$ and the electron beams 25 keV and 200 pA. (a) As-deposited composite Au-carbonaceous matrix. (b) After thermal annealing at 400 °C for 30 min in air. Note the formation of large visible Au grains due to removal of carbon by oxidation. The distance of the electrodes is 1 μm .

C. Precursors and additional reactive gases

All carbon containing precursors result in carbonaceous codeposits as long as the substrate temperature is below the desorption temperature of the ligands. In the case of higher temperatures the carbon contamination is determined by the competition of ligand fragmentation rate and ligand desorption rate. As the precursor decomposition temperature and the ligand desorption temperature from the corresponding metal surface are generally very close, ligands from room temperature stable precursors will not readily desorb from substrates at room temperature. Therefore the contamination with carbon from carbon containing precursors is almost unavoidable with substrates kept at room temperature or lower. Organometallics, including compounds with aromatic ligands and the large family of β -diketonates with charged metal ions, generally result therefore in highly carbon contaminated deposits. Approaches to decrease the carbon content by adding gases or changing substrate temperature are partially successful for noble metals and pure oxide deposition. Thus the purity of the carbon containing deposits can be improved by adding chemically reactive gases to oxidize or reduce the carbon during the deposition process. As conceptually outlined in Sec. III F, the action of a reactive gas adsorbate will depend heavily on the process regime that can be installed for the reaction of oxidation or reduction with respect to the process regime of general molecule fragmentation (and fixation). Here the successful results for noble metals and for pure oxides and nitrides are presented.

1. Metals, metal oxides, and metal nitrides

Adding oxidizing gases for deposition of pure gold (Au) works reasonably well as shown in an ESEM that has not been specifically cleaned before deposition with dimethyl-gold acetylacetonate [$\text{Me}_2\text{Au}(\text{acac})$] as precursor.^{386,387} Adding an Ar/O_2 mixture or water vapor both increased the gold purity up to 50 and 20 at. %, respectively. A more detailed

study revealed the appearance of polycrystalline pure gold nanoparticles in the central part of the deposits surrounded by a carbonaceous crust surrounding the pure gold deposits. The deposits were obtained in the presence of sufficient water vapor together with the precursor $\text{Me}_2\text{Au}(\text{acac})$.³⁸⁸ For the deposition of almost pure copper, atomic hydrogen was used as additional gas to Ar ion FIBID with $(\text{hfa})\text{Cu-VTMS}$ ³⁸⁹

The FEBID with the aromatic sandwich compound bis(methylcyclopentadienyl)-Ni(II) resulted in a strong decrease in the carbon content of the deposits from 80% down to about 50%, but no significant improvement in electrical conductivity was obtained due to the formation of nickel oxides. The addition of hydrogen gas into the chamber mainly desorbed higher quantities of water from the microscope walls and again only an increased oxide content was observed but no reductive action of the added hydrogen gas.³³⁰ Magnetic oxide, such as magnetite, was deposited recently for data storage research. The addition of water vapor to iron carbonyl up to 1.5 times the flow of precursor resulted in the reduction of the carbon content in the FEBID product from 25% to 0%. By this crystalline magnetite, Fe_3O_4 could be obtained.³⁹¹

Using nitrogen radicals as reactive gas and gallane quinuclidine as precursor for FIB deposition, GaN semiconductor nanostructures could be obtained at 600 °C.³⁹² Below 300 °C the deposits show strong C contamination. The data are summarized in Table XVIII.

2. Pure silicon dioxide (SiO_2)

Main group or transition metal oxides are either electrically insulating or semiconducting materials, and more or less optically transparent. Oxides, either as thin films (anti-reflection coatings) or as ceramics (alumina and yttria) or monocrystalline (sapphire), are long term stable materials and are widely applied in industry. Historically silicon oxide is the most important electrically insulating material and also highly optically transparent in a wide range of optical wavelength (190–1600 nm). Higher index of refraction (higher dielectric constant) materials were also studied in beam induced deposition, but less frequently. Again as in the case of gas-assisted metal deposition, the deposit chemical composition is strongly influenced by the residual gases in the reaction chamber.

The deposition of high quality dielectrics has mainly been studied for DUV transparent materials and is therefore focused on SiO_2 . Three classes of precursors have been studied, silanes, alkoxysilanes, and alkyl-aryl silanes. All of them might result in pure SiO_2 by adding sufficient “oxidizing” gases such as water, oxygen, or N_2O . Differences between these precursors are the sticking behavior on substrates and the sensitivity to water. For the alkoxides the combination of the water sensitivity and the resulting high sticking coefficient might explain the high FEBID yields and the large amounts of oxygen needed for complete oxidation of the carbonaceous codeposits.³⁹³ The unsaturated vinyl-Si precursor could additionally combine a polymerization-type reac-

TABLE XVIII. FEB and FIB induced deposition of metals and metal oxides with additional reactive gases. Vapor pressures P_{vap} , deposition conditions, and the deposit compositions are given. ESEM stands for environmental scanning electron microscope and UHV-SEM for ultrahigh vacuum scanning electron microscope.

Precursor irradiation	Added gas	P_{vap} at RT (mbar)	Conditions	Composition	Ref.
Me ₂ Au(acac) 10 keV electrons	H ₂ O O ₂	0.013/25 °C	ESEM	Pure Au core embedded in carbon crust	388
Me ₂ Au(hfa) 10–30 keV electrons	(80% Ar/20% O ₂), H ₂ O, Ar	0.933	Environmental subcell inside SEM and ESEM	<12 at % (no added gas) 15 at % (Ar), 50 at % Au (80% Ar/20% O ₂), 20 at % Au (H ₂ O)	386 and 387
Fe(CO) ₅ 30 keV electrons	Fe(C ₅ H ₅) ₂	47	UHV-SEM, ratio: carbonyl/(carbonyl +cyclopentadienyl): 0.66–0.9	From Fe _{0.7} O _{0.1} C _{0.2} to 30 at % Fe	390
Fe(CO) ₅ 30 keV electrons	H ₂ O	47	UHV-SEM, ratio precursor/water: 0–1.5	From Fe ₁ O _{0.55} C _{0.45} to cryst. Fe ₃ O ₄ (pure oxide!)	391
Ni(MeCp) ₂ 10–25 keV electrons	H ₂	5 × 10 ⁻²	P_{res} : 10 ⁻⁶ mbar, P_{dep} : 3 × 10 ⁻⁵ mbar, 10–250 nA	12 at % Ni, 6 at % O, 82 at % C	330
(tfa)Cu-VTMS 0.5 keV Ar ⁺	Atomic H	0.1	UHV system, ratio: H/Ar=3000:1	99 at % Cu, carbon etch yield enhancement: 5	389
GaH ₃ :NC ₇ H ₁₄ 25 keV Ga ⁺	N radicals		600 °C substrate	GaN	392

tion that would privilege β -unsaturated silanes as precursors. Interestingly, tetramethylsilane (a nonsticking, relatively moisture stable, and oxygen insensitive compound) results in pure SiO₂ if a coflux of oxygen is provided. Both FIB (Ref. 382) and FEB depositions are reported in literature and recently excellent quality of transparent and fully industrially reliable DUV mask compatible material deposits is obtained.^{352,353} It was found that adding more oxidizing gases such as water or oxygen decrease generally the carbon content in the deposits.³⁵² The amount of oxygen needed to obtain carbon-free deposits depends on the precursor molecules, the total precursor flux but less on the irradiation conditions. No carbon codeposition is obtained during FEB induced deposition of SiO₂ from disilane as precursor assisted with oxygen as shown by Nakano.²⁸⁰ For comparison a hydrogen-free precursor was tested: the presence of water and large addition of oxygen resulted in FEB deposition of pure SiO₂, still containing some hydrogen atoms as detected by ERDA.³⁵³ A Ti-based material containing no carbon with a high-refractive index of 2.1 was obtained by FEB induced deposition with titanium nitrate as precursor.³⁷⁹ This as-deposited nonabsorbing material obtained by FEBID is very attractive for nanophotonic structures designed for visible light (Table XIX).

D. Etchants

A limited number of precursors exists for FEB induced etching because the dissociation and reaction products

should not result in any nonvolatile solid at room temperature. Therefore, the selection of the elements is limited to hydrogen, the halogens, oxygen, nitrogen, and the noble gases. Gas-assisted FIB etching on the other hand allows the use of carbon containing etchant gases because any probable nonvolatile carbon contamination will be simultaneously sputtered off by the ions. In the following two sections we discuss gas-assisted FEB and FIB induced etching.

1. FEB gas-assisted etching

FEB induced etching ideally triggers the release of an etchant compound by irradiation. The compound delivers atoms, ions, or fragments that react with the solid substrate material and result in volatile reaction products. Ideally the etch reaction starts when the adsorbed molecule is being dissociated or activated by the electron beam rather than that the introduced molecule spontaneously etches the substrate. With hydrogen several tests have been carried out with more or less convincing results. Hydrogen was added in an attempt to etch codeposited carbon from the CpNi precursor.³³⁰ However, due to the residual water background pressure of the microscope, the addition of hydrogen seems to desorb water from the microscope walls and instead oxidation of the nickel deposit occurred that is opposed to the intended reduction and higher purity of nickel deposition.

Historically, electron beam induced etching concerned mainly carbon. Carbon can be etched by electron irradiation in the presence of water,⁵ a fact that is detrimental for elec-

TABLE XIX. FEB induced deposition of pure oxides with additional reactive gases. Vapor pressures P_{vap} , deposition conditions, and the deposit compositions are given. Compositions marked with (no g.) were obtained without additional gas. Other symbols are \varnothing (beam diameter), P_{res} (residual vacuum pressure), P_{dep} (pressure during deposition or estimated local pressure on sample surface), and t_{dep} (deposition time). UHV-SEM stands for ultrahigh vacuum scanning electron microscope.

Precursor irradiation	Added gas	P_{vap} at RT (mbar)	Conditions	Composition	Ref.
Disilane Si_2H_6 0.6–17 keV electrons	O_2 $\text{O}_2/\text{Si}_2\text{H}_6$: 0.5–2.5		Si, preheated to 900 °C, for 5 min, 0.27–2.7 mA/cm ² , \varnothing : 180–250 μm , P_{res} : 2×10^{-10} Torr; P_{dep} : 1.2×10^{-5} Torr	No information	280
(Mono)silane SiH_4 3 keV electrons	N_2O $\text{SiH}_4:\text{N}_2\text{O}=1:75$	0.33	400 mA/cm ² , \varnothing : 180 μm , P_{res} : 10^{-6} Torr; P_{dep} : 1.2×10^{-5} Torr	Index of refraction, 1.462; 5% close to stoichiometric value; BHF etch rate, 3.6–6 nm/s, three to six times faster than thermally grown oxide	278
$\text{Si}(\text{OEt})_4$ TEOS 10 keV electrons	O_2/TEOS , 0–15	2.2	P_{res} : 5×10^{-7} mbar 125 pA; \varnothing : 800 nm	$\text{SiO}_{2.5}\text{C}_{3.2}$ (no g.), SiO_2	352 and 393
$\text{Si}(\text{OMe})_4$ TMOS 10 keV electrons	O_2/TEOS , 0–0.6	16	P_{res} : 5×10^{-7} mbar 125 pA; \varnothing : 800 nm	$\text{SiO}_{2.5}\text{C}_{3.2}$ (no g.), SiO_2	352 and 393
$\text{Si}(\text{OMe})_4$ TMOS 60 keV Si^{2+} ions	O_2/TMOS , 1:2	16	P_{res} : 1.3×10^{-4} Pa; P_{dep} : 8×10^{-4} Pa; substrate temp.: RT–200 °C	SiO_x , $x=0.5-2$ (no g.), $x=1-2$ with O_2	394
Tetramethylsilane $\text{Si}(\text{Me})_4$ 5, 10, and 25 keV electrons	O_2/TMS , 0–1.7	887	P_{res} : 5×10^{-7} mbar, 5 kV; 125 pA; \varnothing : >1 μm , 10 kV; 125 pA; \varnothing : 800 nm, 25 kV; 125 pA; \varnothing : 80 nm	$\text{SiO}_{1.8}\text{C}_{3.7}$ (no g.) SiO_2	352 and 393
Tetraaisocyanatosilane $\text{Si}(\text{NCO})_4$ 10 keV electrons	O_2/TIS 0–470	1.3	P_{res} : 5×10^{-7} mbar, 125 pA; \varnothing : 800 nm	SiC_2NO_3 (no g.) SiO_2	352 and 393

tron beam observation of (frozen) hydrated biological samples.³⁹⁵ Etching of carbon in the form of synthetic diamond was carried out with oxygen, hydrogen, water, and air as precursor. Oxygen proves to be the most efficient etchant for diamond; the formation of amorphous carbon does not take place.^{384,396,397}

The most prominent and industrially applied etchant is the difluoride of xenon (XeF_2), one of the few at ambient temperature stable chemical compounds containing a noble gas. Its use for FEB induced etching of silicon based material has been reviewed in detail.¹⁹

Focused particle beam induced etching of chromium and chromium oxide has been one of the most important industrial applications in the past years. For photomask repair the removal of excess of absorbing chromium is essential. Chlorine-assisted FEB induced etching proved difficult due to the missing selectivity of the etch process with respect to CrO_x and Cr layers and the underlying SiO_2 material. Overetching into the SiO_2 material would induce unwanted light intensity changes in the photomask due to interference effects. Adding nitrogen in the form of ammonia improved the selectivity, but the breakthrough of high selectivity was obtained by using NO_2Cl or NOCl gas that selectively etches

CrO_x and Cr, but seems not to attack at all SiO_2 .³⁹⁸ The presence of oxygen and chlorine atoms in the dissociation products upon irradiation helps to form the volatile CrO_2Cl_2 compound, whereas the presence of nitrogen helps to protect against SiO_2 etching by reacting locally with the Si to Si_3N_4 , a better etch resistant material. The high polarity of the precursor results in a sufficiently long residence time for inducing the chemical reaction. Table XX summarizes the FEB induced etching results.

2. FIB gas enhanced etching

The same precursor compounds as described for FEB induced etching above can often be used for the enhancement of physical sputtering in FIB (milling). Compounds employed so far are very similar or identical to plasma etching gases developed for microelectronics industry. Indeed gas-assisted FIB can be regarded as a local version of plasma etching or reactive ion etching, although the ion energy is much higher for FIB. The difference between the precursors for FEB and FIB induced etching is that for FIB, the precursor gas can contain nonvolatile elements (which can be physically sputtered away). However, it is generally better to

TABLE XX. Etch precursor gases, materials to be etched, etching conditions, and results for focused electron beam induced etching.

Precursor gas	Material to be etched	Conditions	Results	Ref.
XeF ₂ 1.5 keV electrons	SiC, SiO ₂ , Si ₃ N ₄	current, 45 μ A; current density: 50 mA/cm ² ; 8×10^{-2} Pa (also studied irradiation with 450 eV Ar ⁺)	Etch rate: Si ₃ N ₄ : 60 nm/min, SiO ₂ : 20 nm/min	399
XeF ₂ 0.7 keV electrons	Si	$(1-2) \times 10^{-4}$ Torr; 30–60 μ A/cm ²	Etch rate enhancement: 2.2	309
XeF ₂ 10 keV electrons	Si	100 pA; 0.1 μ m diameter, 100 Si atoms per electron	Etch rate enhancement: 2	287
XeF ₂ 3, 5, 7, 9, and 12 keV electrons	SiO ₂	12.7 nA, substrate: SiO ₂ on Si	Etch rate: 1–4 ML/s	400
H ₂ O 5 keV electrons	Carbon from contamination	71 pA, substrate: InGaAs; 90 Pa H ₂ O	Competition between etching and deposition takes place as a function of contamination level and water pressure	234
H ₂ O 25 keV electrons	Diamond	300 pA, water flux at nozzle exit 2×10^{18} /cm ² s. Electron flux, 1×10^{19} /cm ² s	Etch rate: 0.02 nm/s	384
O ₂ 10 keV electrons	Diamond	80 nA; 800 nm beam diameter; rectangle etching; comparison of etch rates O ₂ and H ₂	Oxygen etches faster than hydrogen	396
H ₂ 10 keV electrons	Diamond	80 nA; 800 nm beam diameter; rectangle etching 10 nA; 250 nm beam diameter, dot and line etching	Using both gases, O ₂ and H ₂ , no amorphous carbon residue	396
O ₂ 2 keV electrons	Carbon contamination	18 000 nA; beam diameter <0.5 mm, 10^{-4} Torr O ₂	3.3 nm C etched in 150 min	276
H ₂ 10 eV–1 keV electrons	Si	LE4 process; low electron energy enhanced etching; 2000 nA; $\varnothing < 1$ mm, P_{res} : 5×10^{-11} mbar	Molecular H ₂ is first dissociated into H atoms in the gas phase and desorbs then under electron irradiation SiH _x units	401
H ₂ 1–15 eV electrons	GaN	LE4 process; electrons impinge together with molecular or atomic hydrogen on heated substrates	Very efficient etching enhancement due to electron irradiation	402
H ₂ /Cl ₂ electrons	Si GaAs GaN	LE4 process; a plasma produces slow atoms that etch in presence of electrons the substrates	Etch rate of GaN with H ₂ : 7–52.5 nm/min for 50–250 °C, 160 meV activation energy	402 and references cited therein
WF ₆ electrons	SiO ₂	Auger system, 10 kV, 8×10^{-4} A/cm ² ; 2 C/cm ² , FEBID system: 15 kV; 40 pA 65 °C; 130 °C, 500 nm SiO ₂ on Si	W deposition accompanies the Si etching	287
Cl ₂ 10 keV electrons	GaAs	100 pA; 0.1 μ m diameter, 0.6 μ m GaAs,	GaAs etching, electron enhancement as compared to 2 μ m without irradiation at 90 °C	287
Cl ₂ 2–3 keV electrons	CrO _x	10 mA/cm ² ; 0.1 μ m diameter, mTorr range; 10^{-5} Torr; 10 nm CrO _x on Si and Pd/Ag alloy	Unselective etching	303, 304, 403, and 404
ClF ₃ 10 keV electrons	PMMA	100 pA; 0.1 μ m diameter	PMMA etching	287

avoid any nonvolatile element (metals, carbon, etc.) in the precursor compound. The ideal etchant is thus composed of halogens, noble gases, nitrogen, oxygen, or hydrogen. Table XXI gives a summary of various gas enhancement factors. The experimental enhancement factors range within 0.2–75 for different materials systems. Factors <1 indicate, in fact, a passivation reaction of the molecules with the substrate atoms upon irradiation inhibiting the formation of volatile reaction products. For an overview of gas-assisted FIB etching of diamond, we refer to Ref. 153.

E. Nonvolatile compounds

Most compounds, containing more than three metal atoms even with the lowest polarizable ligands, such as CO or PF₃ are barely volatile without decomposition. There are much more metal containing complexes existing and continuously synthesized such as uncharged molecules that are nonvolatile, and probably even more complexes that are charged and therefore tend to exist as ionic crystals. These low volatile compounds and films containing them can be altered by irradiation with charged particles such as ions and electrons. Recent approaches of synthesizing polymers containing metal clusters or nanoparticles in the polymer chain are a novel class of promising metal-rich compounds. The difference of clusters and nanoparticles adopted from Schmid⁴¹⁹ is that clusters are monodispersed entities, which are precisely known and characterized by the same number of metal atoms in each unit, and nanoparticles are small particles (2–100 nm) but with a size distribution. Prominent examples are the stabilized gold clusters obtained with the same type of ligands as the high purity gold resulting trifluorophosphine gold chloride compound.³³³ The full two shells around one gold atom 55 cluster stabilized with 12 triphenylphosphine and 6 Cl ligands is one of the first examples.⁴¹⁹

It is straightforward that layers of such compounds have also been irradiated with charged particles in order to change the properties. Partially altered material results in nanocomposites with strongly changing electrical properties.⁴²⁰ Full decomposition should result even in pure metal films. The main advantage of this solid film approach is the potentially high processing speed because the needed precursor amount is applied before beam irradiation; no replenishment time limitation can occur.

Decomposition of solid layers composed of or containing metal organic precursors has been studied for a large number of metals in the past 20 years. The layers have been obtained by spraying, spin coating, dip coating, condensation from the gas phase, hydrothermal growth, self-assembly, or other methods. The present review article does not aim to cover the large number of papers that have been published in this direction. Successful decomposition with laser beams, ion beams, and electron beams has been reported for some compounds. Gold lines have been obtained by electron beam irradiation of commercially available gold containing inks.⁴²¹ A focused Ga⁺ beam of 20 keV energy induced the decom-

position of palladium acetate films and resulted in conductive lines.⁴²² Inspired by this work one of the authors tried to improve the geometrical limitations and the electrical properties of the metal lines by irradiation of cluster compounds that should improve the quantity of metal in the layer and therefore decrease the shrinkage of the film during decomposition. The magic number gold cluster compound Au₅₅(PPh₃)₁₂Cl₆ (Ref. 319) and self-synthesized iridium cluster compounds such as the tetraethylammonium salt of undecacarbonylbromotetrairidium [Ir₄(CO)₁₁Br]NEt₄ (Ref. 319) have been irradiated with Ga ions and resulted in conductive lines. The difficulty of such approaches is the limited line thickness that can be obtained. Independent of the compound, generally shrinkage in thickness of more than 80% takes place and the deposited thickness is limited by the originally applied layer thickness. Another problem of this approach is the limited adhesion of the obtained deposits on the substrate. The limited purity of the deposits and the weak adhesion are arguments against using these kinds of processes, but the strongest argument against the decomposition of metal containing films for pure metal structures is the similarity to well established, much faster, and good quality of metal deposit resulting lift-off processes. In comparison to these processes, metal organic layer approaches can be considered as low efficiency lithography because the applied electron, ion, or photon doses to completely decompose the layer to pure metal are generally much higher than those needed in optimized resist solubility change due to exposure. On the other hand, a revival of the metal containing film irradiation arises on the horizon, which is the specific patterned film production of functional nanocomposites for optical, magnetic, electrical, mechanical, and thermal properties.

VI. PROCESS CONTROL AND CHARACTERIZATION OF DEPOSITS

A. Time-resolved process control

1. Reflectometry

Real-time *in situ* reflectometry during FEB deposition was reported in Ref. 379. The setup is shown in Fig. 38(a), which basically consists of a monochromatic laser focused on the growing transparent or semitransparent deposit inside the SEM chamber and a readout of the optical signal. The principle is such that the intensity of the reflected laser beam depends on the optical thickness of the deposit and its optical absorption. A periodic signal is obtained, which allows calculating the real and imaginary parts of the refractive index at the laser wavelength from the period and the amplitude decay after having performed a thickness calibration. Comparing the optical thickness to the thickness measured by profilometry at various exposure times reveals if the deposited material is homogeneous or if changes in composition, i.e., the refractive index, occurred during growth. This kind of “tomography” information acquired *in situ* would replace time-consuming FIB cross section preparation. However,

TABLE XXI. Gas-assisted FIB etching. The rate enhancement refers to milling without precursor gas.

Substrate	Ion (energy, keV)	Gas (pressure on substrate or flux) ^a	Rate enhancement	Reference
Si	Ga (30)	Cl ₂ (4 mTorr)	20	405
Si	Ga (25)	H ₂ O (70 mTorr)	0.3	137
Si (100)	Ga (20) Ga (5)	Cl ₂ (3 × 10 ¹⁴ molecules/cm ² s)	39 ^b 18 ^b	406
Si (111)	Ga (30)	Cl ₂ (4 × 10 ¹⁷ molecules/cm ² s)	11.8–15.8 ^c	407
Si (off axis)		I ₂ (10 ¹⁵ molecules/cm ² s)	11	408
GaAs (100)	Ga (30)	Cl ₂ (4 × 10 ¹⁷ molecules/cm ² s)	10 (14.8 for optimized conditions)	407
GaAs(100)	Ga (0.2–15)	Cl ₂ (3 × 10 ¹⁸ molecules/cm ² s)	20–10 (for 0.2 and 15 keV ions)	409
InP	Ga (35)	Cl ₂ (1.3 mTorr)	20–30 (140 °C)	405
InP	Ga (30)	I ₂	10 (RT) 66 (200 °C)	410 and 411
SiO ₂	Ar (50)	XeF ₂ (20 mTorr)	100	69
SiO ₂	Ga (20)	XeF ₂ (2 × 10 ¹⁹ molecules/cm ² s)	10	230
SiO ₂	Ga (30)	XeF ₂ (10 ⁻⁵ Torr in chamber)	12	412
Si ₃ N ₄	Ar (50)	XeF ₂ (20 mTorr)	40	69
W	Ga (20)	XeF ₂ (2 Torr)	15–75 (depending on dwell time)	413
Al		Cl ₂	5–10	414
Al	Ga (30)	Cl ₂ (4 × 10 ¹⁷ molecules/cm ² s)	~3 (27 for optimized conditions)	407
Cu	Ga (45)	Cl ₂ +NH ₃ 1:1, 0.1 Torr	6–12	415
Ti	Ga (30)	XeF ₂ 1.3 × 10 ²⁰ (at needle outlet)	7	232
Nb	Ga (30?)	XeF ₂	7.2	416
Nb	Ga (30?)	I ₂	8.8	416
PMMA	Ga (25)	H ₂ O (70 mTorr)	20	137
PMMA	He, Ne, Ar, Kr, Xe (50)	Mixtures of XeF ₂ /O ₂ (10–100 mTorr)	1–5	417
C (diamond)	Ga (25)	H ₂ O (70 mTorr)	10	137
C (amorphous and diamond)	Ga (50)	XeF ₂ , NH ₃ /Cl ₂	4–7	154
Si, SiO ₂ , Si ₃ N ₄	Ga (25)	H ₂ O (70 mTorr)	~0.3 ^d	137
Cr	Ga (50)	XeF ₂ , O ₂ , H ₂ O, Cl ₂ , Br ₂ , NH ₃ /Br ₂ , CO/Br ₂	2 (Br ₂)	418

^aThe gas pressure values may be very uncertain. The measurement and/or estimation procedures are not specified in all cases.

^bThese enhancement factor values (as well as others in the table, no doubt) depend strongly on the milling rate with no gas present. The authors quote 1.5 atoms/ion at both 5 and 20 keV but mention large variations from run to run. This value is low compared to what is quoted in Table V above. The discrepancy may be due to some of the reasons we discussed in Sec. II C 2 on milling yield.

^cThe difference between the two results on silicon etching (Refs. 406 and 407) may be due to the fact that in reference g the current density in the Ga beam was five orders of magnitude lower (the beam was deliberately defocused) than the current density in Ref. 407. So the results in Ref. 407 of the etch rate may have been influenced by a depletion of precursor molecules.

^dAll three materials, Si, SiO₂, and Si₃N₄, “etch” more slowly by about a factor of 3 than they mill with no gas present. Presumably this is due to the fact that the material reoxidizes as it is being milled.

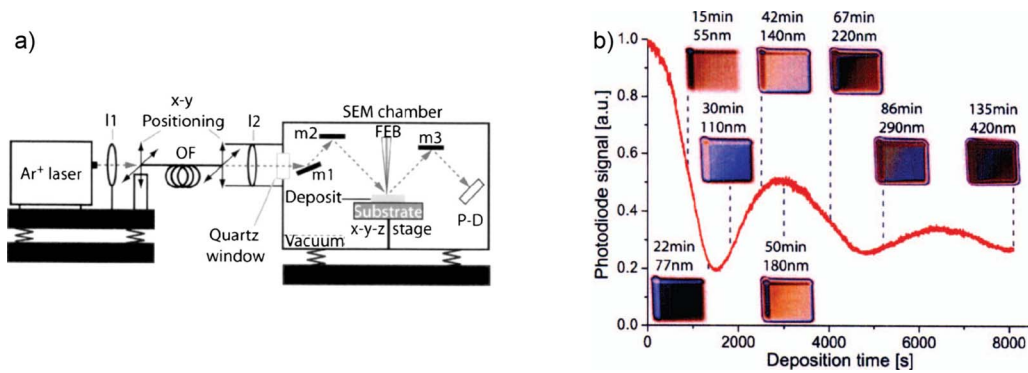


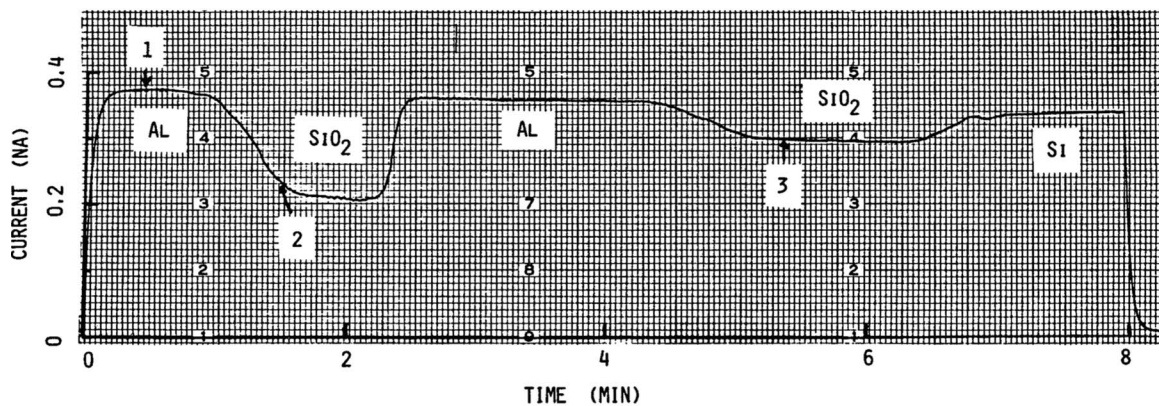
FIG. 38. (a) Simplified drawing of the reflectometry setup. Abbreviations stand for m =mirror, l =lens, OF =optical fiber, and $P-D$ =photodiode (from Ref. 379). (b) Reflectometry curves as a function of time for FEBID with $\text{Si}(\text{OCH}_3)_4$. Insets are optical microscope images of the deposits with corresponding deposition time and thickness (from Ref. 379).

thesize of the deposit must be at least somewhat larger than the focused laser beam.

2. Monitoring of sample current and secondary electron signal

End point detection during FIB milling can be carried out by monitoring the sample (stage) current or the secondary electron current or by imaging the milled area during the

process. All of these methods depend on the fact that the secondary electron yield depends on the material, and so as one mills into a multilayer film material the current or the image will change as the milling reaches the boundary between films. This technique is limited to holes that do not have high-aspect ratio so that a significant fraction of the secondary electrons leave the surface. See Fig. 39 for a sample current result of milling through an Al/SiO_2 ,



DISPLAY DURING MILLING

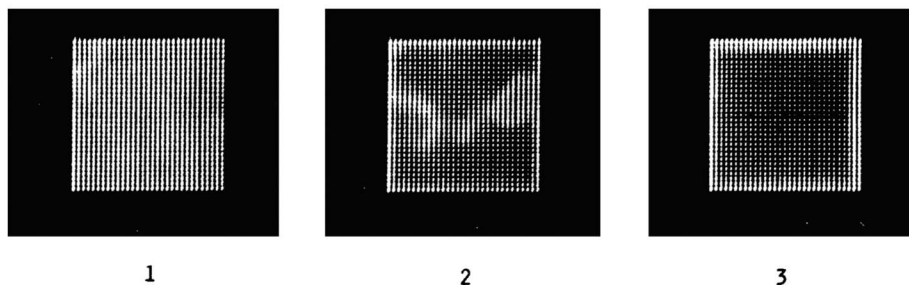


FIG. 39. Endpoint detection by time-resolved sample current measurements. The sample current is the difference of incident ion current and emitted electron (or ion) current. The emitted current is different for SiO_2 and Al causing a variation as a function of milling time or via depth. The pit is $3 \times 3 \mu\text{m}^2$. The lower part of the figure shows the display at three points (labeled on the curve) during milling. Note that because the pit being milled is becoming deeper, fewer secondary electrons escape at point 3 than at point 2. At point 3 the milled pit is about $2 \mu\text{m}$ deep. The Ga ion beam (68 keV) is stepped in 100 nm steps (from Ref. 157).

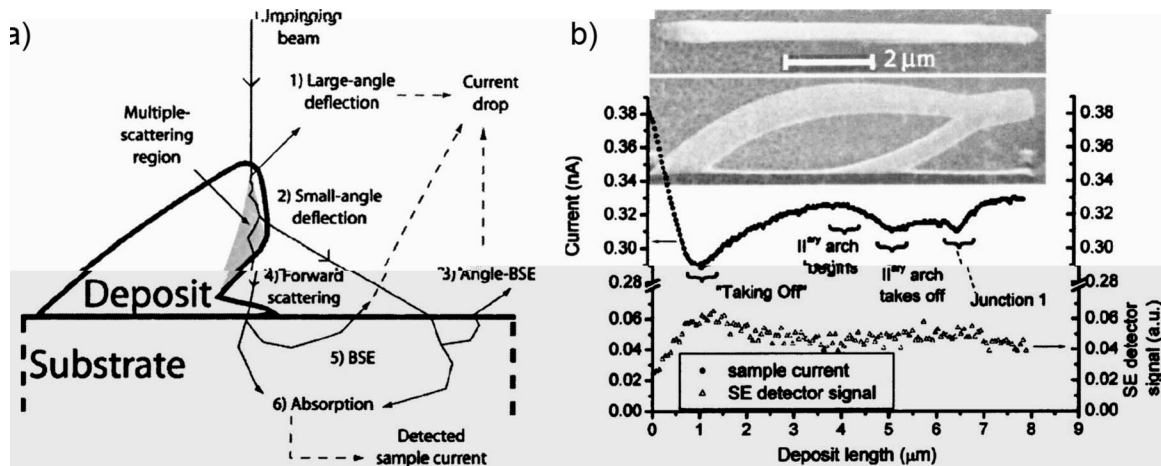


FIG. 40. (a) Schematic drawing of the electron paths in the deposits. The respective contributions of electrons trajectories to the measured current are highlighted (from Ref. 424). SEs generated at entrance and exit points of electrons are not shown. (b) *In situ* sample current and SE detector signal monitoring during deposition and correlation of the current features to the deposit geometry (top: top view; bottom: tilt view) (from Ref. 424).

Al/SiO₂, Si multilayer.¹⁵⁷ Note that because Al is polycrystalline and the crystal grains mill at different rates, the transition from Al to SiO₂ is not sharp. This is also seen in the image taken at the transition point.

Real-time monitoring of the sample current and the SE signal was applied and MC-simulated during FEB deposition of pillars,^{258,423} three dimensional periodic structures,⁴²⁴ and more complex three dimensional structures.³³⁶ The principle is shown in Fig. 40(a) from which it is seen that the sample current measures the balance of absorbed and exiting electrons in the sample. This signal offers for complicated three dimensional deposit geometries more sensitivity than the SE detector signal, as seen in Fig. 40(b). An *ex situ* calibration against geometry features is necessary to interpret the current-time curves properly. Once this precursor- and setup-dependent calibration step (electron or ion beam acceleration, substrate, and deposit architecture) is performed, the current curve becomes a signature of the deposition process. This “fingerprint” is especially useful for *in situ* reproducibility checks, beam focus and astigmatism, or beam drifts.

A technique for end pointing the milling of bulk silicon from the backside of flip chip devices, using laser illumination to produce an optical beam induced current, is described in Ref. 425. The signal varies strongly with the thickness of the silicon above the bulk to well junction and can be used to reliably end point the bulk-well interface.

3. Mass sensing

Mass sensing during FEB or FIB deposition of large areas with quartz crystal microbalances was used since the 1960s for fundamental studies.^{110,188,236} Only recently, *in situ* real-time mass measurements during *local* FEB and FIB deposition and etching were reported.⁹⁰ The principle is given in Fig. 41(a) and consists of a cantilever with piezoresistive readout being actuated by a piezoelectric actuator. The frequency response to mass changes must be calibrated due to the unknown cantilever force constant.⁴²⁶ From a typical measurement shown in Fig. 41(b), the adsorbed precursor

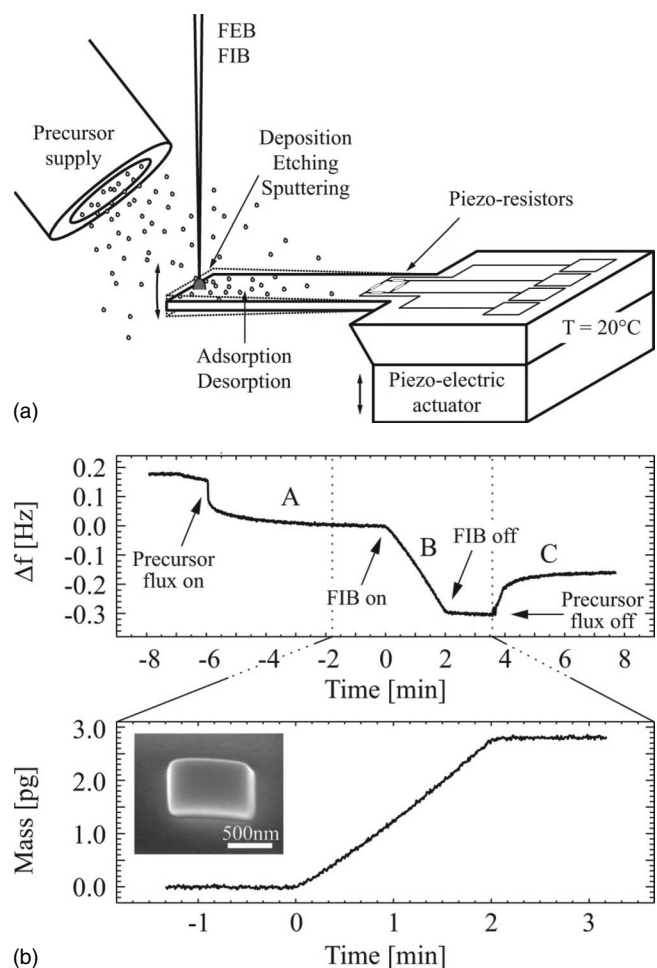


FIG. 41. (a) Schematic diagram of the cantilever mass sensor for FIB/FEB induced process monitoring with local precursor supply from a microtube gas injection system. The mass added to or removed from the cantilever is detected as a negative or positive resonance frequency shift, respectively (from Ref. 90). (b) (Top) FIB induced deposition experiment from the precursor (CH₃)₃PtCpCH₃: A=mass loading due to adsorption. C=mass loss due to desorption. B=FIB exposure of a 1 × 1 μm² rectangle. (Bottom) Evolution of the FIB (30 kV, 10 pA) deposited mass corresponding to part B. Inset: SEM tilt view (52°) of the FIB deposit (from Ref. 90).

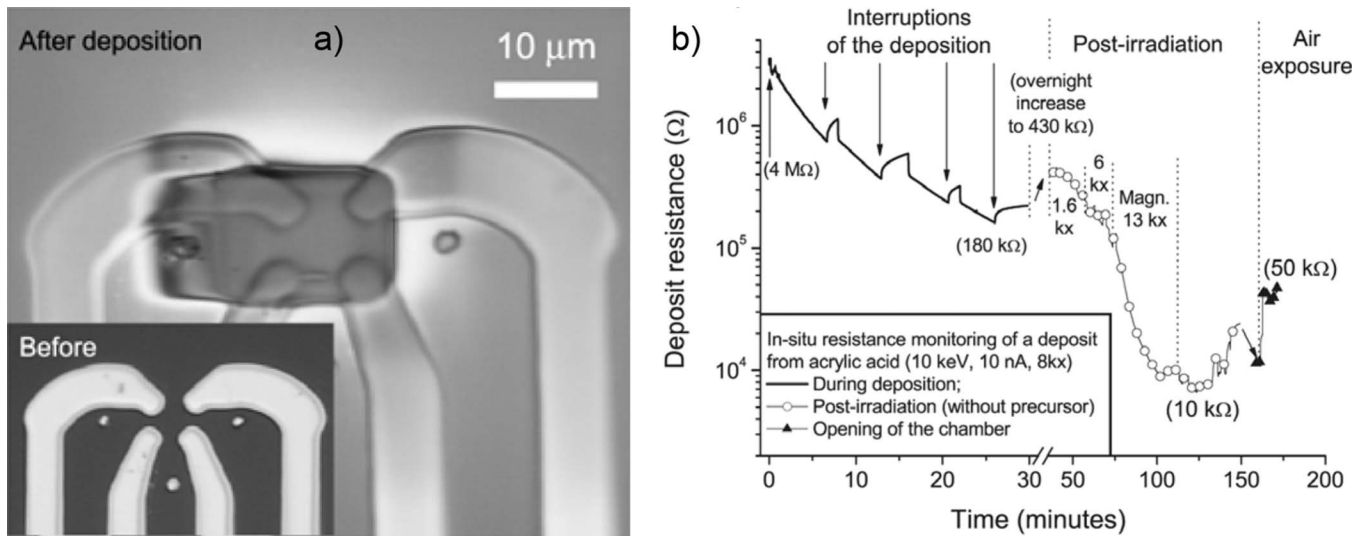


FIG. 42. (a) Four predeposited gold electrodes on SiO_2/Si substrate (inset) connected by a rectangular FEB deposit from acrylic acid (from Ref. 269). The outer electrodes were used for a two point measurement. (b) Real-time two point resistance measurement corresponding to (a). Note that changes during interruptions, postirradiation, and air exposure can be monitored (from Ref. 269).

mass on the cantilever can be determined as well as the deposited (or etched) mass during FEB or FIB exposure.

Deposition or etch yields, in units of deposited or etched atoms per incident electron or ion, are precisely accessible according to the first part of Eq. (6.1)

$$Y = \frac{N_A m / M}{I_p t / e_0} = R \frac{\rho}{M} N_A e_0, \quad (6.1)$$

where $I_p \cdot t$ is the incident dose during exposure time t and beam current I_p , m is the measured deposited or etched mass, and M is the molar mass of the etched or deposited material. N_A is the Avogadro constant and e_0 is the elementary charge. The molar mass of the etched material is generally known and etch yields can be derived *in situ*. For deposits the composition is generally unknown and the molar mass must be determined from composition measurements. Equation (6.1) represents a means to precisely determine yields since it does not require any assumption about the deposit density. In literature, frequently the deposition yields are calculated from deposition rates R (here in units of volume per incident charge), assuming the density and composition of pure bulk material for the deposit. This has been shown incorrect.⁹¹ From mass measurements, the density ρ_{dep} of the deposit can be obtained by measuring the deposit volume V_{dep} and $\rho_{\text{dep}} = m_{\text{dep}} / V_{\text{dep}}$. Finally, knowing the deposit density allows calculating the unknown specific volume of the deposited molecule entering into Eq. (2.1a) and (2.1b) via its molar mass: $V = M_{\text{dep}} / \rho_{\text{dep}}$. For precursors containing no hydrogen, the density can be estimated directly from the deposit composition.⁹¹

4. In situ electrical resistance measurements

Time-resolved resistance measurements during FEB deposition with $\text{W}(\text{CO})_6$ and acrylic acid were reported in Refs. 65 and 269. *In situ* measurements during FIB induced deposition with $\text{W}(\text{CO})_6$ are reported in Ref. 427. An ex-

ample of an electrical resistance real-time measurement is shown in Fig. 42.

The *in situ* measurements permit to study the “electrical thickness” of the deposit. For constant length, width, and electrical resistivity, the resistance is inversely proportional to the deposited wire thickness. Hence, for a constant deposition rate an inverse relationship between the resistance and the deposition time is obtained. Any deviation from this relationship would point to changes affecting the charge carrier generation or transport and deposition kinetics such as charging effects or formation of intermediate species^{65,269} under irradiation during FEB and FIB processing. Also postirradiation and air exposure phenomena can be studied and related to eventual oxidation processes [see Fig. 42(b)]. Two point measurements can be uniquely attributed to the deposit only if the contact resistance between electrodes and deposit is low compared to the deposit resistance. Alternatively, four point probe measurements would cancel contact resistance effects.

5. In situ observation studies

Dual or cross beam machines possessing a focused electron and ion beam allow *in situ* observation of the process. Information regarding the shape and process rate can be obtained in one experiment, which would otherwise necessitate a series of experiments stopped at differing intervals of the deposition or etch process.³³⁶ Due to its (almost) nondestructive interaction, generally, the focused electron beam is used for monitoring the FIB induced milling or deposition process.⁴²⁸

Recently, *in situ* monitoring of the annular dark field signal during electron beam induced deposition on electron transparent membranes was used to feed back control the deposit’s thickness homogeneity.⁴²⁹ This is especially useful for avoiding enhanced deposition on (predeposited) structures having a tilt to the incident electron beam (FEBID proximity effect). It was suggested that this method is ca-

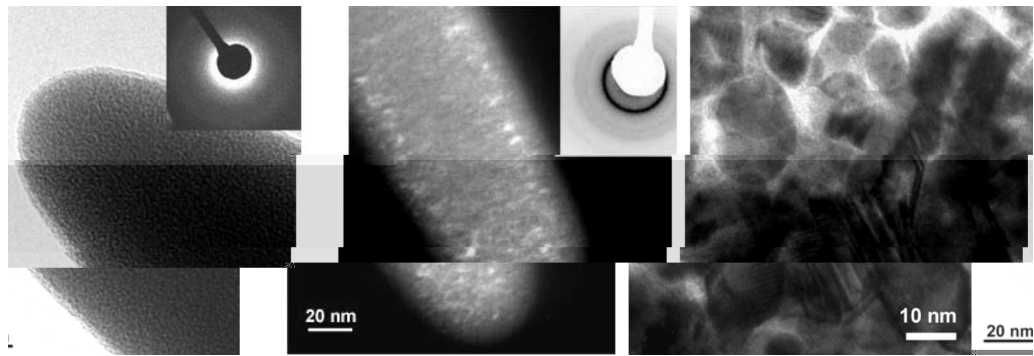


FIG. 43. TEM of FEB deposit substructures from differing precursors. Typical electron beam conditions were 25 keV, 100 pA, and an electron flux of about 10^{19} electrons / $\text{cm}^2 \text{ s}$. (a) Amorphous dielectric Si–O–C tip from tetraethoxysilane (TEOS). (b) Nanocomposite tip (dark field TEM) from $\text{Co}_2(\text{CO})_8$. The metal nanocrystals embedded in the carbonaceous matrix result in diffraction rings (inset). (c) Polycrystalline pure gold deposit from AuCIPF_3 (from Ref. 384).

pable of resolving the deposition of one single molecule, which would be the highest resolution of all the *in situ* techniques employed today.

B. Composition and substructure

The most commonly used methods comprise energy dispersive x-ray spectroscopy (EDXS), Raman spectroscopy, fast Fourier infrared (FTIR) spectroscopy, Auger electron spectroscopy (AES), and FIB cross section preparation. Although EDXS is well known we would like to point out that the standard quantification software of spectra often only allows for bulk samples. In other words, the excitation volume from which the x-rays escape should be as small as the deposit being probed. Estimations of this range can be found in Appendix A. A major limitation of EDXS is that hydrogen and the bonding states of carbon cannot be detected. FTIR measurements¹⁹⁰ of FEB deposits obtained from organic precursors show that hydrogen is mainly bonded to sp^3 C atoms, less to olefinic (sp^2) C atoms, and negligibly to aromatic C atoms in the deposit. Furthermore, the composition could be quantified to $\text{C}_9\text{H}_2\text{O}$. Raman measurements allow quantification of the sp^3 and sp^2 contents in organic deposits¹⁹⁰ or nanocomposite deposits containing a carbonaceous matrix. Depth composition profiling with AES in conjunction with ion sputtering may cause a postdecomposition of incompletely dissociated molecule fragments and may give, as a result, unreliable composition values.²⁴⁰

The internal structure of charged particle induced deposits can be generally classified into amorphous, nanocomposite (metal nanocrystals–carbon matrix), and polycrystalline (see Fig. 43 and 44). For FIB induced deposition similar internal structures can be observed and, additionally, gallium contamination (see Fig. 44).

Also composed crust-core structures were reported for FEB, when adding reactive gases³⁸⁸ or when beam heating effects²⁰⁴ are involved. The physical properties of the deposits differ considerably from pure material (metal or dielectrics) when carbon from the precursor (or chamber) is incorporated. However, the resulting nanocomposite structure

composed of metal nanocrystals embedded in a carbonaceous matrix proves in some cases very successful since it combines the functionality of the metal (electric and magnetic) with the mechanical stability and chemical protection of the carbonaceous matrix. Other applications require pure metal or dielectric deposits. For instance, the carbon content degrades optical transmissions or electrical conductivity at contacts.

The composition and microstructure of copper³⁷⁶ (see Fig. 45) and gold⁴³⁰ films obtained by focused ion beam induced deposition using organometallic precursors have also been studied. In the case of gold the precursor was dimethylgold hexafluoroacetylacetonate, and in the case of copper it was (hfa)CuVTMS [copper(I)-hexafluoroacetylacetonate trimethylvinylsilane]. The films consist of either metal particles 50–100 nm diameter in a carbon matrix, or columns of

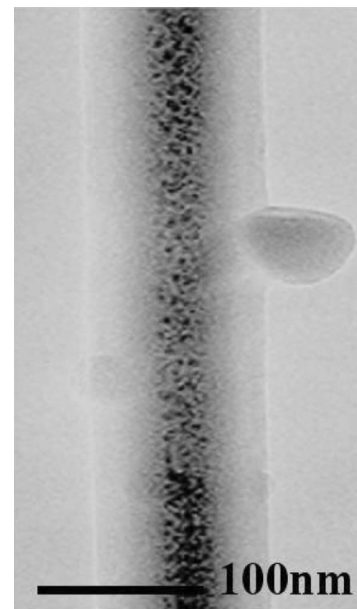


FIG. 44. TEM of an amorphous DLC pillar showing core Ga implantation (dark grains). Deposited with phenantrene and 30 keV Ga^+ ions (taken from Ref. 27).

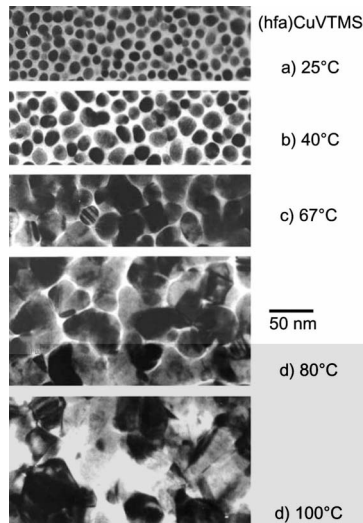


FIG. 45. Top view TEM micrographs of 50–100 nm thick copper containing films deposited at various temperatures using a 25 keV Ga^+ ion beam at an average current density of $20 \mu\text{A}/\text{cm}^2$. The local (hfa)CuVTMS gas pressure was 1.5 mTorr and the ion beam dwell time was 100 ns. The ion beam current was 60 pA into a scanned area of $10 \times 30 \mu\text{m}^2$ (Ref. 376). Chiang (Ref. 236) noted that thermal decomposition of the (hfa)CuVTMS molecule starts at 64 °C.

metal (similar diameters) oriented in the growth direction, or polycrystalline metal film with some carbon contamination. In general lower carbon content and lower resistivity films were obtained at lower ion beam current densities or higher substrate temperatures. Care should be taken that the global substrate temperature is below the temperature of thermal decomposition; otherwise the local resolution of the beam deposition is lost.

C. SEM integrated mechanical measurements

These measurements involve integration of nanomanipulation setups into the SEM. An overview on cantilever based force sensors and piezodriven vibration stages and their applications in scanning electron/ion microscopes can be found in Ref. 426. The determination of density and Young's modulus of FIB pillar deposits from phenanthrene and $\text{W}(\text{CO})_6$ was demonstrated in Refs. 431 and 432. They used a vibrational stage for resonance vibration of pillar deposits (see Fig. 46), and a second setup with a cantilever force sensor to bend the nanopillar. The mechanical properties as function of deposition parameters were investigated and showed a dependency with the flux ratio of precursor molecules and incident ions. Tensile strengths in the order of 1 GPa were measured for FEB deposits from $\text{Co}_2(\text{CO})_8$ and $\text{W}(\text{CO})_6$.⁴³³ SEM integrated atomic force microscopy measurements were reported in Refs. 426 and 434 and are very useful for topography information not accessible from the projected view in a scanning electron or ion microscope.

VII. APPLICATION FIELDS IN RESEARCH AND INDUSTRY

The preceding discussion has shown that FEB and FIB processing is highly flexible for achieving nanoscale structures by using both material addition and material removal. Obviously, with any new precursor molecule more functional structures can be deposited or etched with tailored dielectric, electrical, mechanical, and optical properties. Presently, three industrial applications can be identified for FEB: photomask repair of the 65 nm node and below, high-aspect ratio diamondlike atomic force microscopy (AFM) sensors, and circuit editing of multilevel architectures. For FIB the most common industrial applications are TEM lamella prepara-

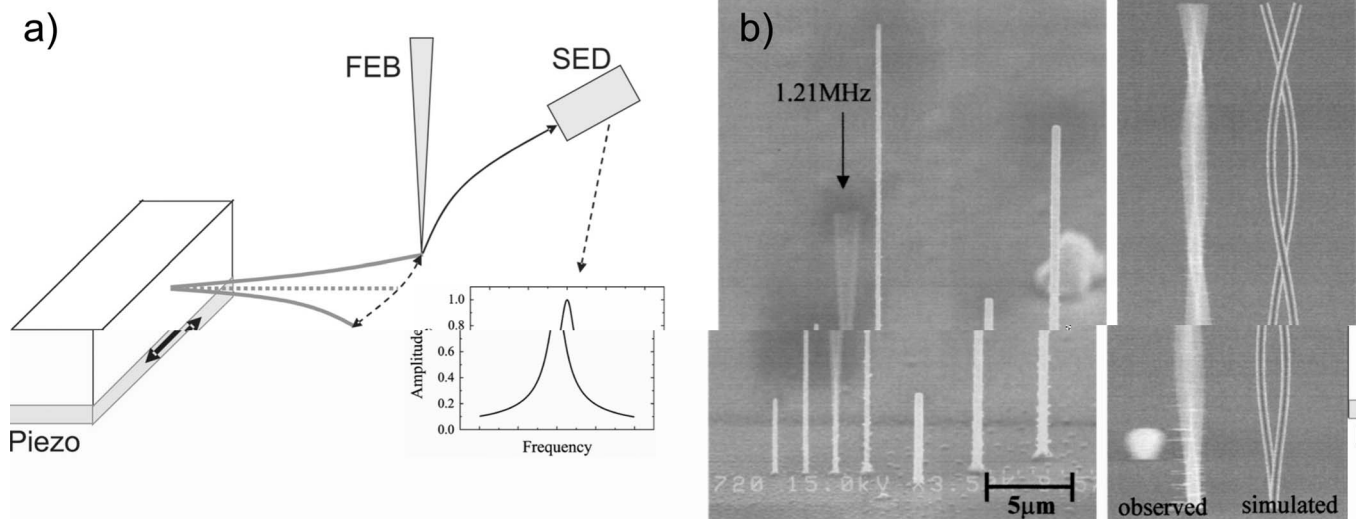


FIG. 46. (a) Principle of resonance experiment. When the resonance frequency of the pillar is approached, the secondary electron detector (SED) measures the peak curve since the pillar vibration intersects the focused electron beam (FEB) from (Ref. 426). (b) Tilt view of FIB deposited carbon pillars from phenanthrene precursor. Excitation of the fundamental resonance mode (left) and the second order resonance mode (right) from (Ref. 431).

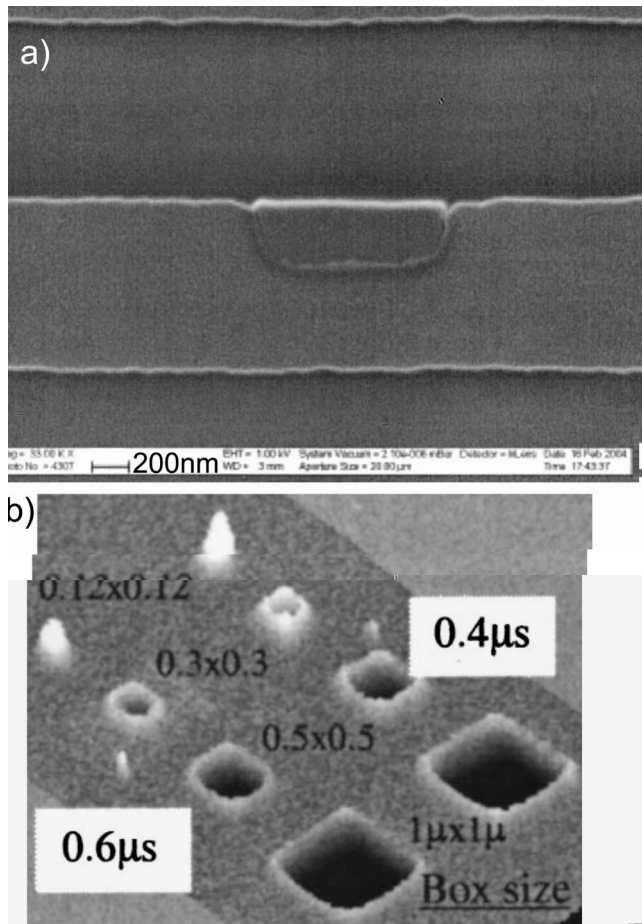


FIG. 47. High resolution FEB and FIB photomask repair. (a) SEM image of a FEB repaired mouse-bite-type clear mask failure using an organometallic Pt precursor and 1 keV electrons at 10–250 pA (from Ref. 18). (b) AFM image of gas assisted FIB etching. Boxes were etched into TiN using Br_2 gas and Ga^+ ions (20 keV, 22 pA) (from Ref. 435).

tion, tomography (e.g., failure analysis in integrated circuits), integrated circuit rewiring in the prototype stage, and write head trimming.

In this section we attempt to give a compact overview of the variety of FEB and FIB fabricated devices and industrial applications with a main focus on gas-assisted processing. Activities in device prototyping were and are increasingly numerous so that this list may be incomplete.

A. Repair of photomasks

Repair of defects in masks for extreme ultraviolet and advanced phase shift masks requires to deposit opaque or transparent material or to remove photomask material with high selectivity and without damage to the underlying material (Fig. 47). This application field was formerly a FIB domain,⁴³⁵ but due to the degradation of optical properties by Ga implantation and introduction of defects, the field increasingly becomes a FEB domain.^{17,436} FIB-related issues such as staining of quartz, overetching into sublayers, halos, layer intermixing, and riverbedding are avoided.^{18,437}

A commercial tool for mask repair is sold since 2003 by Nawotec (now Zeiss)⁴³⁸ for the 65 nm node and below. Ongoing research in this field is mainly related to new precursor chemistries with high etch rate and selectivity and the avoidance of electrical charge buildup on insulating quartz masks. Competition in this field is high and FEB precursor chemistries are rarely published. Pure SiO_2 FEB deposits using silane precursors together with oxygen as additional gas were reported.⁴³⁹ Optical measurements at 248 nm showed a 50% transmission loss, which might be due to internal deposit inhomogeneity. FEB deposition of SiO_2 obtained from TMOS and TMS by adding oxygen as reactive gas is reported.³⁵² Optical transmission at 193 nm wavelength was measured to 80% and 99%, respectively. The latter value fulfills the photomask repair requirements. Using the hydrogen-free tetraisocyanosilane $\text{Si}(\text{NCO})_4$ molecule together with additional oxygen, light absorption at 193 nm wavelength was not detectable in 100 nm FEB deposited SiO_2 .³⁵³ The irradiation induced reaction paths were investigated in detail.³⁹³

Other scientific studies comprise a comprehensive comparison of mask repair technologies including FIB, FEB, SPM, and laser.⁴⁴⁰ Without revealing the precursor compounds Edinger *et al.*⁴⁴¹ reported on FEB (1 keV) MoSi etching with an etch time of 4 min for a 70 nm deep and $1 \mu\text{m}^2$ large box, a selectivity to quartz of 3:1, and a depth control of 3 nm; FEB Cr etching with 11 nm resolution on phase mask; almost vertical FEB SiC etching through a 300 nm thick membrane with about 40 nm linewidth; FEB TaN etching with 38 nm linewidth; and Pt/C deposits with 4 nm critical dimension control. Liang *et al.*⁴⁴⁰ reported on FEB Pt/C deposition with 27 nm linewidth and 100 nm line thickness using $\text{MeCpPt}(\text{Me})_3$; FEB TaBN etching with a linewidth of 11 nm in a 70 nm film and a selectivity of 30:1; and FEB quartz etching with a linewidth of 25 nm in 100 nm depth and a depth control better than 5 nm using XeF_2 . Jinhua *et al.*⁴⁴² reported a five times higher FEB etch rate for Cr with XeF_2 using 10 keV instead of 20 keV electrons. Further details on Cr etching with FEB and FIB can be found in Tables XX and XXI, respectively. Gallium-FIB etching of Cr with the precursor mixtures Br_2/NH_3 , Br_2/CO_2 , and Br_2 was studied in Ref. 418 and optical transmission rates found to range between 60 % and 95% at 193 nm.

B. Scanning probe sensors

Commercial cantilevers with ultrasharp high-aspect-ratio tips are used in AFM configuration to sense a variety of properties with nanometer resolution, such as topography, magnetic polarization, ferroelectric domains, and temperature. High resolution, high-aspect-ratio tips can be made by FEB induced deposition in a simple single step process^{256,444} by focusing the primary beam on the cantilever and depositing a pillar of the desired material (Fig. 48).

Mechanically resistant, high-aspect-ratio, high resolution AFM tips of diamondlike carbon are a commercial product from Nanotools.⁴⁴⁵ The mechanical properties are close

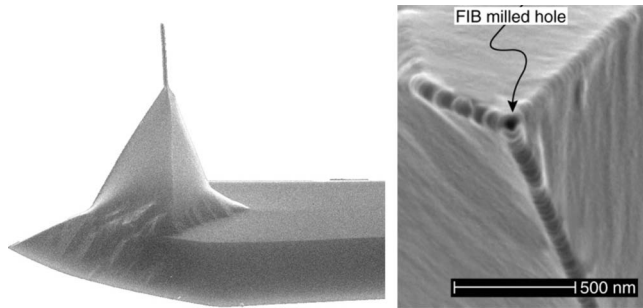


FIG. 48. High resolution FEB and FIB functionalized sensors. (a) FEB deposited MFM tip on commercial silicon AFM sensor. (b) A 40 nm diameter FIB milled hole into a magnetic coating of commercial silicon sensor (taken from Ref. 443).

to diamond; the elastic modulus is 0.5 TPa.⁴⁴⁶ Their use as a mechanical high resolution patterning tool was demonstrated.⁴⁴⁷

Scientific studies on FEB tip deposition investigated the role of electron beam energy,^{211,239,423} precursor pressure,⁴⁴⁸ secondary electron emission,⁶¹ different precursors,²⁵⁸ and electron beam size.³²¹ As extensively discussed in the previous sections on modeling, it should be kept in mind that the tip apex resolution depends on the balance of precursor replenishment and depletion for a given beam size. This balance is very difficult to quantify experimentally. Experimental work concerning ultimate resolution of freestanding rod deposits by FEB can be found in Refs. 287 and 449–452.

The potential of automated FEB processing of cantilever wafers (image recognition, positioning, and autofocus) was investigated in Ref. 453. Apart from commercialized hard, high-aspect ratio, and sharp AFM tips, several functional scanning probe sensors were fabricated and analyzed at laboratory scale: magnetic FEB tips were characterized in Refs. 322 and 324, and magnetic properties of FEB structures measured.^{454,455} Optical fibers for scanning near field microscopy were functionalized with FEB deposited plasmonic gold structures⁴⁵⁶ and carbon waveguides.⁴⁵⁷ Scanning thermal sensors were shown in Refs. 458 and 459. FEB and FIB deposited silicon oxide nanostructures were used to reduce fluorescence quenching in apertureless scanning near field optical microscopy and create surface plasmon resonant tips, which avoids far field illumination and related background noise signals.⁴⁶⁰ The sidewall roughness with a specially FEB designed AFM tip was measured in Ref. 461. FIB deposition of tips suffers from comparatively “bad” resolution. The physical sputtering inherent to FIB is predominantly used in trimming of sensors, such as recording heads in magnetic harddisk storage,^{462–464} AFM sensors,^{443,465} or nanodispensers.⁴⁶⁶

C. Circuit editing

Focused ion beams, and in some cases also electron beams, are used to rewire integrated circuits in the prototyping phase. If an integrated circuit does not operate as expected after the initial design and fabrication, it can be altered and retested or diagnosed with these techniques.

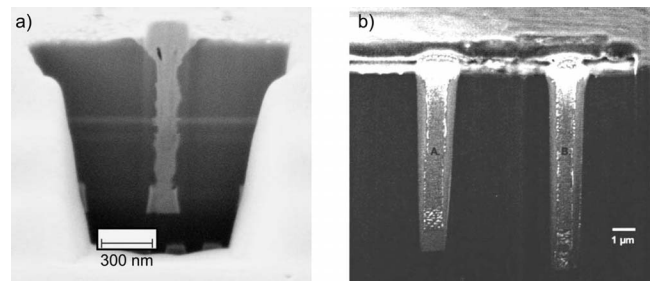


FIG. 49. (a) Cross section of a gas-assisted FEB processed via hole penetrating through several dielectric layers to a metal layer. The aspect ratio is 10 (depth: 1 μm; diameter: 100 nm). After via hole etch the metal layer was connected by gas-assisted FEB metal deposition (courtesy of Nawotec/Carl Zeiss SMT). (b) FIB image of cross-sectioned FIB-Cu filled via holes using the precursor (hfa)Cu-VTMS. Left: $1 \times 1 \times 8.2 \mu\text{m}^3$ via (may be incomplete cross section). Right: $1 \times 1 \times 9.2 \mu\text{m}^3$ via (from Ref. 377).

Connections can be cut or made. These processes can be quite challenging in integrated circuits with multilevels of metallization. Alternatively vias can be etched (see Figs. 49 and 50) and metal pads can be deposited and connected to various points in the wiring so that the circuit can be tested with external probes. The technological challenge is to etch high-aspect-ratio holes through different layer materials at high resolution and to stop the process at the desired metal layer. Here end point detection methods as discussed in Sec. VI are in use. The other challenge is to connect the “buried” metal layer with other metal layers or top layer electronics by depositing an electrically conductive pillar inside the via hole.

A particularly challenging situation occurs when a connection needs to be made to an underlying metal layer, which is covered by metal layers above it. This requires deposition of both a metal and an insulator as illustrated in Fig. 50.³⁸⁰

The FEB repair of a transistor gate on GaAs using $\text{W}(\text{CO})_6$ was demonstrated.⁶⁵ The deposit formed a Schottky barrier to the underlying GaAs substrate with an ideality factor of 1.4. Ion beam deposited contacts using $\text{W}(\text{CO})_6$ and Ar^+ and H_2^+ ions showed Ohmic behavior at 2 keV incident energy due to ion induced damage and Schottky behavior at 0.5 keV.⁴⁶⁷

D. Nanophotonics

Nanophotonic structures realized by FEB and FIB comprise mainly two dimensional photonic crystals and plasmonic resonance structures in metal membranes. An overview on FEB and FIB deposition and etching for sub-100-nm fabrication of nanophotonic structures is given in Ref. 384. Photonic crystals consist of an array of diffractive cylinders either realized by deposition of highly refractive material or by drilling holes into highly refractive material, namely, semiconductors. In both cases the refractive index change is against air. The scientific challenges for photonic band gap structure fabrication are (a) to deposit high-refractive index material with low absorption coefficient, (b) to deposit or etch high-aspect-ratio cylinders with length $\approx 3\lambda$, and diameters $\approx (0.1–0.3)\lambda$ and pitches $\approx \lambda$ depending on the refrac-

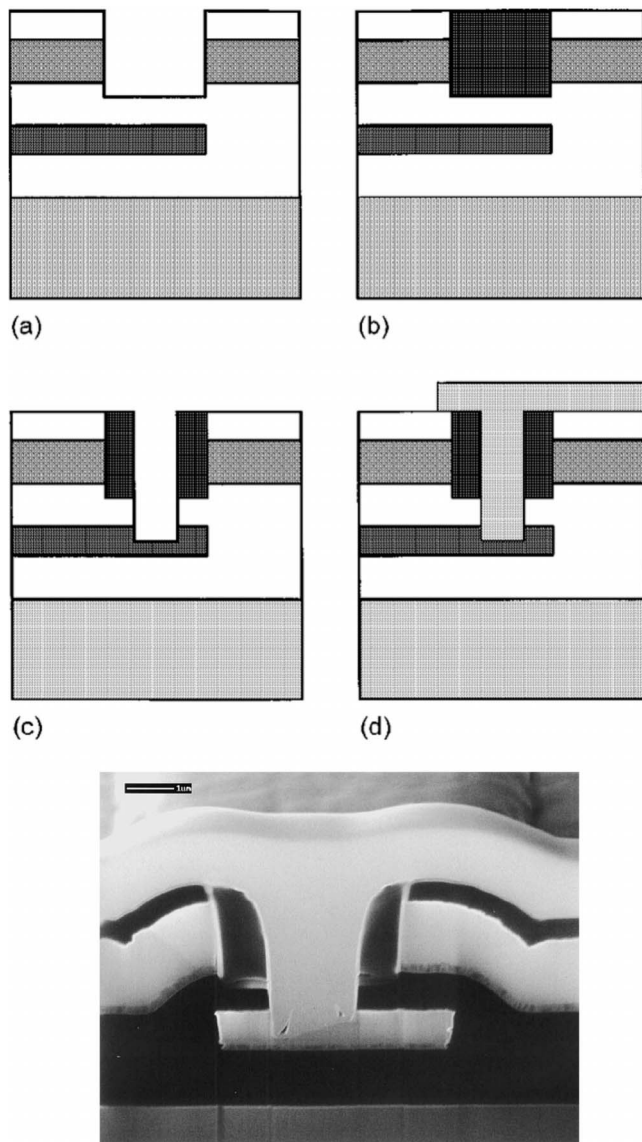


FIG. 50. Schematic of the fabrication sequence for making a connection to an underlying metallic layer covered by another layer. (a) Cut through the upper metal layer, (b) deposition of silicon dioxide insulator, (c) cutting of new hole down to lower metal layer, and (d) deposition of metal connection (from Ref. 380). (e) Focused ion beam image of the completed structure fabricated as shown in (d) (from Ref. 380).

refractive index, and (c) to deposit or etch with low surface roughness < 2 nm and constant diameter cylinder geometry. For plasmonic structures the metal purity is most important to keep damping losses low. The high addressability with FEB and FIB gives large flexibility in PBG and plasmonic structure design.

Refractive index measurements of FEB deposits from the precursors Ti tetraisopropoxide (TTIP) and TEOS in the spectral range from 300 to 900 nm are reported in Refs. 384 and 470. Using the precursors $\text{Ti}(\text{NO}_3)_4$ and TMOS, complex refractive indices of $n_{\text{TiO}_2} = 2.19 + i0.013$ at 514 nm and $n_{\text{SiO}_2\text{C}_x} = 1.56 + i0.14$ at 514 nm were reported in Ref. 379.

A FEB fabricated band gap filter in the 1.25–1.65 μm wavelength region using a Pt precursor was reported in Ref. 468 [see Fig. 51(a)]. The plasmonic resonance of a 60 nm gold FEB deposit from $\text{Me}_2\text{Au}(\text{tfa})$ and on top of a SNOM tip was shown to homogenize and to enhance its light field.⁴⁵⁶ FEB deposition of gold/carbon dot arrays from $\text{Me}_2\text{-Au}(\text{acac})$ precursor was also reported.⁴⁷¹ After *ex situ* annealing at 400 °C for 30 min in air, the dot arrays showed support of localized plasmon resonances. Figure 51(b) shows an example of FIB iodine-enhanced etching. A cylindrical hole is etched into InP to form a specific photonic band gap structure in a Y splitter.⁴¹⁰ Special care was taken to prevent the InP from stray ions from the beam skirts by depositing a protective W or Pt layer (which was removed after local FIB etching). The addition of iodine and the use of a heat stage allowed for *straight* cylindrical holes with an aspect ratio of > 10 and a surface roughness of 12.6 nm (root mean square), which is comparable to results obtained so far only by conventional dry etching methods.

Plasmonic resonance structures in metallic membranes fabricated by FIB milling are reported [Fig. 51(c)]. As a result of the coupling between the surface plasmons in metal structures and light waves, various interesting optical phenomena have been observed. Because of their lower plasmon frequencies, gold and silver are frequently used. These two metals are difficult to pattern at nanometer dimensions except by focused ion beam milling. For example, extraordinary optical transmission is observed through films of silver that have arrays of holes milled in them where the period of

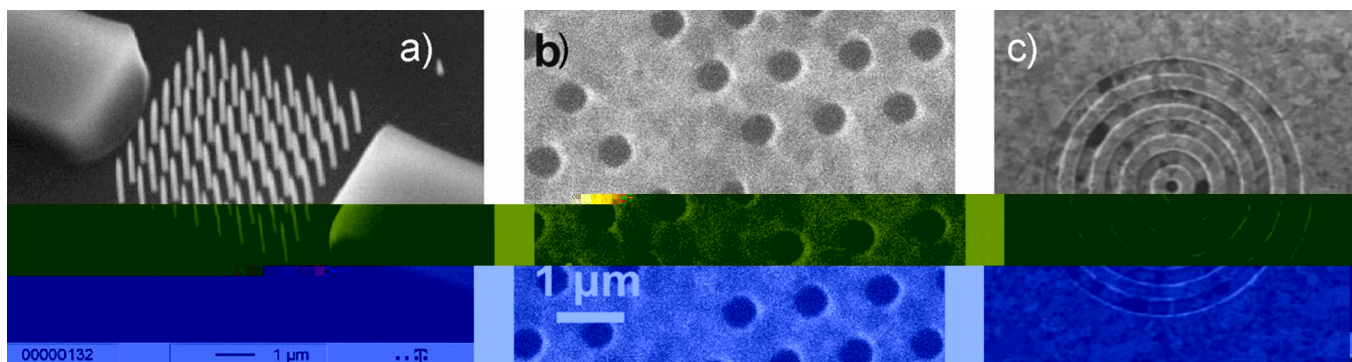


FIG. 51. FEB and FIB fabricated photonic crystals. (a) FEB deposited band gap filter (Ref. 468). (b) FIB (Ga^+) iodine-enhanced etching of InP. The central hole was FIB etched into a photonic crystal structure (Y splitter) (from Ref. 410). (c) A FIB milled plasmonic resonance structure in a 300 nm thick silver membrane; the groove periodicity is 500 nm, groove depth is 60 nm, and hole diameter is 250 nm (Ref. 469).

the array is less than the wavelength of the light.⁴⁷² Another surprising effect was observed when a 300 nm thick silver membrane had a 250 nm hole focused ion beam milled through it and surrounded on both front and back by a concentric ring grating. When illuminated at normal incidence the transmission through this hole is totally unexpected. The transmitted light is forward collimated, wavelength dependent, and far more intense than would be expected for such a small hole.⁴⁶⁹ FIB milling was also used to fabricate specific aperture geometries into a 50 nm thick gold layer on top of an optical fiber⁴⁷³ and to fabricate Fresnel lenses at the front end of glass fibers and photonic band gap structures in a LiNbO₃ waveguide.^{474,475}

E. Micro- and nanoelectronics

FEB and FIB are used in this field as a versatile three-dimensional single step fabrication, which does not require multistep processing as needed in e-beam lithography. There are a large number of laboratory demonstrators of electric circuit “LEGO” units such as resistors, wires, bonds, transistors, and sensors. Furthermore, failure inspection can be followed by a “just in place” repair or modification of electrical units can be performed very locally, for instance, in nanoelectromechanical system devices.

1. Insulators and resistors

Requirements for insulators are high resistance, high breakthrough voltage fields, and low intrinsic charges. Insulator deposition by FIB was reported for different silane precursors.^{382,394} For instance, with pentamethyl cyclopentasiloxane the best resistivity of $\rho_{\text{FIB}} = 8 \times 10^{11} \Omega \text{ cm}$ and breakdown fields of 650 V/ μm were achieved.³⁸² A comparison between FIB and FEB deposited insulators using TMOs showed $\rho_{\text{FIB}} = 1 \times 10^3 \Omega \text{ cm}$ and $\rho_{\text{FEB}} = 1 \times 10^6 \Omega \text{ cm}$.²³¹ The “low” FIB deposit resistance is attributed to Ga contamination. A resistivity $\rho_{\text{FEB}} = 6 \times 10^{13} \Omega \text{ cm}$ and a leakage current of $2 \times 10^{-13} \text{ A}$ is reported using an organosilicon precursor with additional O₂ reactive gas supply for FEB.⁶³ The addition of oxygen during deposition removes codeposited hydrocarbons, which are the cause of leakage currents in SiO₂. As alternative to oxygen cosupply, annealing steps at 600–800 °C for 60 s in forming gas (N₂/H₂ mixture) were applied, reducing the number of negative oxide charges in 2 nm thick FEB deposits to zero.⁴⁷⁶ The above values compare to near room temperature CVD fabricated 25 nm thick films from TEOS having a resistivity $\rho = 1 \times 10^{13} \Omega \text{ cm}$ and a leakage current density of $1.8 \times 10^{-10} \text{ A/cm}^2$ (at 1 MV/cm), and a breakdown field of 7.2 MV/cm.⁴⁷⁷ A metal-insulator-metal diode, where the insulator was fabricated by FEB contamination with a resistivity of $\rho = 1 \times 10^{11} \Omega \text{ cm}$, was shown in Ref. 478.

FEB deposited resistors from hydrocarbon backpressure gas and precursors of MeCpPt(Me)₃, Me₂Au(tfa) W(CO)₆, and Me₂Au(acac) are reported in Refs. 259, 373, and 479–482. The carbon to metal content in these deposits is used to tune the resistance in the ohm to megaohm range. Hoyle *et al.*²³³ developed a two stage deposition model involving a

high resistivity intermediate dissociation product and a final low resistivity product to explain deposit thickness and resistivity measurements for FEB with W(CO)₆. For FEB deposition with the precursor AuCIPF₃, rapid repetitive line scans gave a better electrical conductance, which can be attributed to the desorption of ligand fragments.³³³ FEB deposits from W(CO)₆ with low incident energy (down to 60 eV) were four times better conducting than with 20 keV.⁶⁴

Resistance versus temperature measurements indicate an electron-hopping conduction mechanism due to the deposit substructure consisting of metal nanocrystals embedded in a carbonaceous matrix. A superconducting behavior below 5.5 K of FIB deposited wires from W(CO)₆ was shown.³⁴⁶ The resistance versus temperature behavior of wires from MeCpPt(Me)₃ precursor was shown to rely on a variable range hopping mechanism with Coulomb oscillations for FEB deposits, whereas the resistance of FIB deposited wires hardly depended on temperature.⁴⁸³ In one case measurements with wires obtained from the same precursor were shown to be metallic for FEB deposition, whereas it was semiconducting for the FIB deposition due to Ga contamination.⁴⁸⁴

2. Electrical contacts

Requirements for electrical contacts are low resistance and a work function match in case of contacts to semiconductors, often nanowires, to avoid Schottky barriers.

Delocalized (halo) deposits around the FEB or FIB written contact line can lead to an unwanted contamination or decoration of the nanowire or nanotube surface and might influence electrical measurements. The reason for the halo deposits with sizes in the micrometer range around the incident beam is due to incident stray ions and redeposition for FIB and due to back and forward scattered electrons for FEB processing. A comparison of halo deposits generated by focused beams of 30 keV Ga ions and 10 keV electrons during MeCpPt(Me)₃ deposition showed a 3 μm sized Ga and Pt halo and a 10 μm carbon halo around the deposits.⁴⁸⁵ The electrical leakage resistance of such halo deposits was analyzed.⁴⁸⁶ In the case of FEB fabricated Hall sensors from Co₂(CO)₈, the halo deposits were shown to be insulating and not to increase the active sensor area.³²³ Halo deposits during FEB induced deposition contact lines from AuCIPF₃ could be drastically diminished using low electron beam energies.³³⁴ Basically, the backscattered electron range (see Appendix A) gives the halo size in case of low-aspect-ratio ≤ 1 FEB deposits. Thus a reduction of the incident electron energy to 1 keV would reduce the halo size around the deposit below 40 nm.

Contact resistances lower than with conventional Cr/Au lift-off technique were obtained by FEB wiring single wall carbon nanotubes with the inorganic precursor AuCIPF₃ (Ref. 349) [see Fig. 52(a)]. The resulting pure metallic Au deposit has still a resistivity of 10–20 times of bulk gold due to the percolative grain structure.^{334,371} Pure Au core deposits surrounded by a carbon crust were obtained by adding water or oxygen as reactive gas during FEB with Me₂Au(acac)

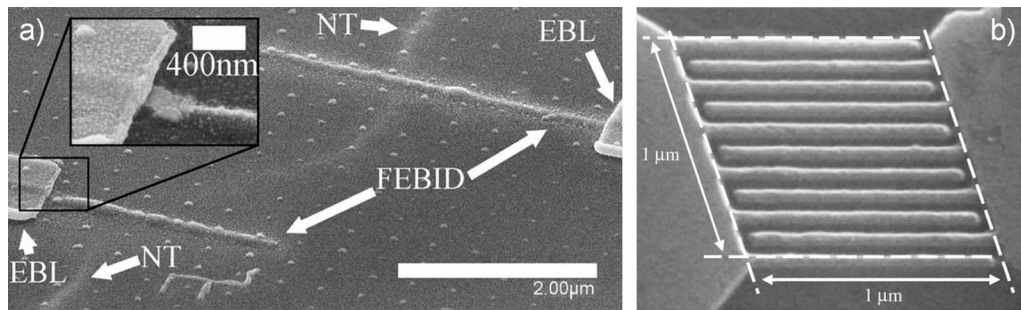


FIG. 52. FEB and FIB fabricated nanoelectronic units. (a) FEB deposited gold lines (FEBID) to connect a single wall carbon nanotube (NT) to lift-off prefabricated gold electrodes (EBL) (from Ref. 349). (b) FIB (Ga^+) XeF_2 -enhanced etching of Ti for interdigitated electrodes (from Ref. 232).

precursor. With the assumption that all current is carried in the core, resistivities of 2–30 times of bulk gold were estimated.^{388,487} FEB deposited electrical contacts from $\text{W}(\text{CO})_6$ were also realized.³⁴⁴ FEB deposited contacts to gold nanowires from a Pt precursor (presumably $\text{MeCpPt}(\text{Me})_3$) showed worse specifications compared to standard e-beam lithography.⁴⁸⁸ High contact resistances in the order of 10 M Ω of FEB deposited contacts from $\text{MeCpPt}(\text{Me})_3$ to SnO_2 nanowires were also reported.⁴⁸⁹

For $\text{MeCpPt}(\text{Me})_3$ a comparison gives $\rho_{\text{FIB}} = 20 \mu\Omega \text{ cm}$ and $\rho_{\text{FEB}} = 200 \mu\Omega \text{ cm}$.⁴⁹⁰ However, the contamination and the damage caused by incident Ga ions in nanowires or carbon nanotubes with FIB are detrimental for investigations of electrical properties. On the other hand, for GaN nanowires it was found that FIB deposited Pt contacts, consisting of nanocrystalline Pt embedded in an amorphous matrix of C and Ga, have a metal-like conductivity and low intrinsic resistivities.⁴⁹¹ A roughly 2–3 nm amorphous contact interface was detected and attributed to create interface states that could pin the Fermi level within the nanowire, which in turn results in low contact resistances and low Schottky-barrier heights. Another study on GaN nanowires showed that FIB deposited contacts were initially nonlinear and quite resistive, but after annealing the contact resistance became quite linear and four orders of magnitude lower than before.⁴⁹²

Thermal annealing generally increases the metal content in the deposit at the expense of oxidized (and thus removed) carbon, which improves the electrical conductivity of FEB deposits. This was discussed in Sec. V B 9. Annealing FIB deposited tungsten wires by injecting a high electrical current density of up to $6 \times 10^7 \text{ A/cm}^2$ leads to segregation and evaporation of FIB implanted Ga material.⁴⁹³

The potential of FIB induced deposition for free space wiring with nanoscale control was demonstrated.⁴⁹⁴ The deposited diamondlike carbon material showed a relatively high resistivity of 100 $\Omega \text{ cm}$ at room temperature.

We can conclude that low resistance electrical contacts by FEB deposition can be obtained using carbon-free precursors or adding additional reactive gases to organometallic precursors. For specific materials, for example, GaN, FIB induced damage is not detrimental during contact deposition.

3. Laboratory prototype devices

Various micro and nanoelectronic devices have been fabricated using FEB and FIB techniques. The following are some examples.

- (1) Interdigitated titanium nanoelectrodes, which can be used as gas or biosensors, were fabricated with XeF_2 assisted FIB milling having a 50 nm width and spacing and a resistance of $>1 \text{ G}\Omega$ (Ref. 232) [see Fig. 52(b)].
- (2) A lateral tunnel junction device showing Fowler–Nordheim characteristics and a single electron transistor using WF_6 and FEB is reported in Refs. 288, 289, and 495.
- (3) Submicron Hall sensors made from $\text{Co}_2(\text{CO})_8$ precursor with FEB having a sensitivity of 1 V/AT, a resistance of a few kilo-ohms, a maximum bias current of 1 mA, and room temperature magnetic-field resolution of $10^{-6} \text{ T/Hz}^{1/2}$ were shown in Ref. 323. FIB milling of nano-Hall sensors from doped semiconductor material GaAs:Si and Au films down to $100 \times 100 \text{ nm}^2$ active areas were demonstrated in Refs. 496 and 497.
- (4) Combined FIB milling and ion implantation were used for the fabrication of high temperature superconductor Josephson junctions.⁴⁹⁸
- (5) GaN nanostructures fabricated by FIB deposition at 600 °C using gallane quinuclidine precursor and reactive nitrogen radical gas showed near-band-edge emission from GaN and other luminescence attributed to defects and/or impurities. An improved fabrication method showed strong near-band-edge emission at 3.37 eV from GaN.³⁹²
- (6) The feasibility of quantum wire fabrication was shown by FEB etching with Cl_2 into GaAs/AlGaAs (Ref. 499) and by FEB deposition with GaMe_3 and AsH_3 .⁵⁰⁰

An interesting application of electron and ion beams is the irradiation of nanostructured carbon materials. (This area has been reviewed in a recent article.⁵⁰¹) Electron beam irradiation of carbon nanotubes and graphene films can cause them to be reconfigured. In addition when the single walled carbon

nanotubes are FIB irradiated with doses between 10^{12} and
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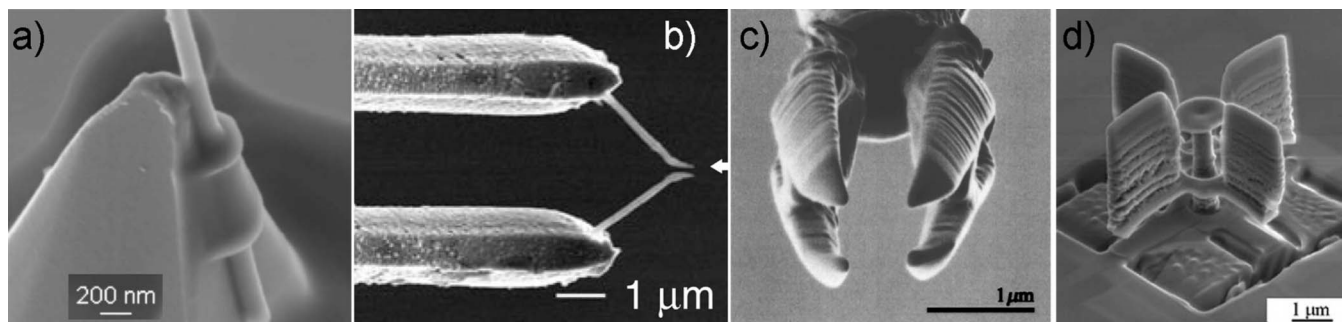


FIG. 55. FEB and FIB fabricated mechanical structures. (a) FEB deposited clamp to fix a nanowire to a AFM cantilever pyramid (Ref. 543). (b) Nanotweezers with 25 nm resolution (Ref. 548). (c) FIB deposited electrostatically actuated gripper (Ref. 549). (d) FIB deposited four wing rotor (Ref. 550).

high energies and the high deposition rates are quite favorable for the fabrication of these complex three dimensional structures.

Generally, FEB or FIB attachments of carbon nanotubes or nanowires can be made large enough to be stable against mechanical constraints applied to the attached structures. The mechanical quality of the fixation for vibration experiments was investigated in Ref. 552. Young's moduli of FEB and FIB deposits from phenanthrene were found to have an opposite dependency on incident beam energy. For Ga^+ -FIB deposits Young's modulus increased with increasing energy (from 20 to 90 GPa for 5–30 keV), whereas for FEB deposits Young's modulus decreased (from 55 to 19 GPa for 5–30 keV).⁵⁵³ The density and Young's modulus of FIB deposits from phenanthrene depend on the deposition rate; the highest value was 600 GPa.^{431,554} The mechanical properties of FIB deposits from $\text{W}(\text{CO})_6$ gave a Young's modulus of 300 GPa and a density of 13 g/cm^3 .⁴³² FEB deposits from paraffin were investigated: the typical deposit was found to be hydrogenated amorphous carbon having more sp^2 -than sp^3 -bonded carbon. Nanoindentation tests revealed a hardness of about 4 GPa and an elastic modulus of 30–60 GPa, depending on the accelerating voltage.⁵⁴³ Tensile strength measurements of FEB deposits from $\text{W}(\text{CO})_6$ and $\text{Co}_2(\text{CO})_8$ gave values in the order of 1 GPa.⁴³³ FEB pillar deposits from (hfa)Cu-VTMS showed an increase in density with increasing dose (from 2 to around 4 g/cm^3), whereas FEB deposits from TMOS showed a constant density of $1.9 \pm 0.3 \text{ g/cm}^3$ with electron dose. Densities of deposits from hydrogen-free precursors, such as $\text{Co}_2(\text{CO})_8$ and $\text{Rh}_2\text{Cl}_2(\text{PF}_3)_4$, are linearly related to their composition.⁹¹

I. Biorelated applications

Employment of gas-assisted FEB and FIB processes in biology are relatively new. Applications comprise nanopore membranes, which are being pursued as a potential method for high-speed DNA sequencing.

Nilsson⁵⁵⁵ reported a FIB process, which includes FIB milling of pores into silicon nitride membranes and their successive diameter reduction by gas-assisted FIB deposition using tetraethoxysilane and water [see Fig. 56(a)]. Danelon⁵⁵⁷ used a subnanometer accuracy FEB deposition process for nanopore diameter reduction with real-time con-

trol. Sub-5-nm diameter milling into SiC membranes with a highly focused Ga^+ beam (35 keV, 2 pA, 5 nm FWHM) was demonstrated [see Fig. 56(c)].⁵⁵ A robust electronic detector consisting of a single nanopore in a Si_3N_4 membrane, capable of registering single DNA molecules in aqueous solution, was fabricated.⁵⁵⁸ A 3 keV Ar ion beam was used for controlled closing of nanopores down to 1.8 nm by creating diffusive adatoms.

Subelectron-beam diameter etching of a carbon model membrane using water or XeF_2 was demonstrated using time-resolved stage current measurements.⁵⁵⁹ Other applications include neural interface systems. Hoshino⁵⁵⁶ presented the FIB deposition of a regenerative electrode made of diamondlike carbon [see Fig. 56(b)]. Although Ga contamination is an issue in FIB deposition, the deposits showed a sufficiently low cytotoxicity for growing nerves *in vitro*. A FIB deposited cell wall cutting tool for subcellular cutting operations without damaging underlying organelles as well as capture tool for organelles were demonstrated.⁵⁶⁰

VIII. SUMMARY AND OUTLOOK

A. FEB versus FIB

In certain respects FEB fabrication and FIB fabrication are complimentary. The situation for gas-assisted processing can be summarized as follows.

FIB has generally a higher yield in deposition and etching but generally damages the area addressed and contaminates it with gallium. Also selectivity is difficult to achieve. FEB on the other hand produces no (or substantially less) damage or

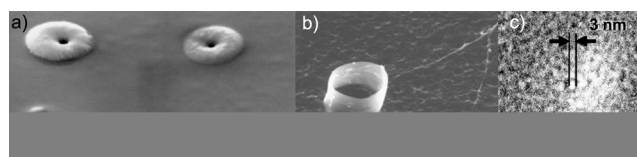


FIG. 56. FEB and FIB fabricated biological structures. (a) Nanopore diameter tuning with FIB deposition from tetraethoxysilane (TEOS). Nanopores were FIB milled into membrane (Ref. 555). (b) Biocompatible FIB deposited diamondlike carbon microtube with an *in vitro* grown nerve (Ref. 556). (c) Hole of 3 nm diameter milled by FIB into a 20 nm thick SiC membrane (from Ref. 55).

contamination and can have high selectivity, but is slower, produces low metal content deposits, and cannot be used to remove inert materials such as gold.

How inherent are the disadvantages? For FEB it was shown that smart chemistry can improve the metal content close to 100% by using inorganic precursors and additional reactive gases. The same approach should allow finding etchants for most materials. In how far the yield can be improved with this concept remains an open question, but clearly the exposure dwell time of the electron or ion beams has to be reduced to a minimum (see Table IX). Especially, for small structures the throughput is often limited by gas replenishment and not by the yield [Fig. 30(b)]. It can be concluded that for FEB the disadvantages are not inherent but rather represent the present state of the art of precursor research. For Ga⁺-FIB the disadvantages are coupled to the heavy mass of ions and are thus inherent. However, replacing the predominantly used Ga ions by lighter ions such as protons or helium or other noble gases might diminish the extent of damage and contamination, where they prove detrimental in nanofabrication.

B. Process regimes

The understanding of the concept of process regimes in gas-assisted FEB and FIB is of utmost importance. As discussed in Secs. III and IV they govern the resolution, composition, and throughput of the process. The understanding and control of process regimes are prerequisites for the further technological development of FEB and FIB technologies as well as the correct scientific interpretation of experimental results. Correct interaction parameters of charged particles with molecules and the (maximum) process yield will be only obtained when working in the charged particle limited regime, the conditions of which were specified in Sec. III E. Furthermore, the beam current and dwell time should be reduced to avoid unwanted side reactions (like organic fragment fixation before desorption) to take place.

The molecule limited regime becomes important in FEB processes involving several species of adsorbates, either deliberately introduced as gases, formed as intermediates, or present as background contamination (hydrocarbons and water). What matters is to find the irradiation conditions for which one of the molecule species becomes limited with respect to the others. Then deposit properties can be changed as well as switching between deposition and etching be observed. For FIB processing switching between deposition and etching can also occur for one single molecule species due to the physical sputter action of the incident ions representing the second “species.”

Regime transitions from molecule limited to electron/ion limited with increasing distance from the beam center occur within the incident beam profile and its spatially related emitted energy spectrum (although passing often unnoticed). The geometry of the resulting structures (rings or holes for stationary beam) is self-limiting, meaning that no precise exposure control is needed to reproducibly fabricate such structures. On the other hand it also means that deposits can be

composed of a core and mantle (crust) both having different physical properties due to different composition. Finding exposure conditions (beam parameters, vacuum conditions, or molecules) that avoid this substructure is very important for depositing pure material.

C. Precursor molecules and deposit purity

A large variety of molecules is used in gas-assisted FEB and FIB processing as was shown in Sec. V. For the majority of FEB and FIB experiments, the energies of the incident beam and the energy spectrum of the emitted electrons or surface excited atoms will not lead to breaking of one specific bond (for instance, desirably the metal-ligand bond) in the adsorbed molecule but will quite unselectively break other bonds, too. However, the very rapid energy redistribution in excited molecules often favors dissociation of the weakest bonds (if other electronic relaxation can be avoided). This redistribution also limits the process window for selective bond scission of higher energy bonds in the molecule. In fact, the dissociation of any bond is accomplished by the electronic excitation of the whole molecule. The resonant dissociative electron attachment discussed in Sec. II B was shown to be selective with respect to the molecule energy states of alcohols at incident energies below 10 eV. If this state selectivity could be proven for metal organic molecules, one would be able to tune the molecule fragmentation and thus the deposit composition.

Presently, sub-1-keV scanning beam systems can be only achieved at very low lateral resolution. In principle, scanning tunneling microscopes could provide such low-energy electron beams at 10–50 pA tunneling current. In any case, the dissociative electron attachment mechanism, which is employed at such low energies for molecule dissociation, would still need further investigation for its specific dissociation action on the desired ligand bond to produce pure material.

Presently, there are three conceptual approaches followed for obtaining pure deposit material with keV beams. They comprise the use of (1) inorganic molecules, (2) additional reactive gases, and (3) UHV systems.

- (1) Inorganic molecules are presently limited in variety to a few metal hydrides, metal halides, and metal trifluorophosphines (see Secs. V B 2, V B 3, and V B 5), respectively. The stability and the handling of these precursors are delicate and it would be desirable for the future to have less sensitive (moisture, light, and spontaneous decomposition) and less toxic molecules at hand. As simple rule of thumb, the precursor with highest probability of obtaining the desired product is a poorly stable compound, which decomposes thermally at a temperature slightly above the substrate temperature to the wanted product. This precursor should not contain polymerizable ligand atoms such as C, S, and, to a lower extent, P.
- (2) Adding reactive gases forms volatile reaction products with unwanted codeposits, mostly hydrocarbons. Using an oxidizing reactive gas additionally removes oxygen deficiencies of the deposited material and

worked very well for oxide deposition. A reducing reactive gas should be used if metal oxides must be avoided. Examples were given in Sec. V C.

- (3) The use of UHV systems for pure metal deposition proved very recently successful for iron [see Sec. V B 4 (a)]. It will be the issue of further research if this is the case also for other molecules.

There are also methods for reducing the carbon content: a simple method for reducing hydrocarbon contamination in gold and copper during the deposition process was shown to be the deposition on a heated stage to help volatilize the reaction products (see Sec. VI B). When heating occurred by the charged particle beam, pure metal deposits could be also obtained. However, the lateral process resolution is then given by the thermally activated volume being in general much larger than the finely focused beam (see Sec. II F). Another method to obtain pure material is *ex situ* treatment at elevated temperatures with or without reactive gases, which was discussed in Sec. V B 9.

Finally, we would also like to note that functional deposit material can be obtained using carbon containing metal precursors. The resulting nanocomposite material, mostly metal nanocrystals embedded in a carbonaceous matrix, has properties within one to three orders of magnitude close to that of pure metal *and* the stabilizing function of the carbon matrix against mechanical load or chemical attack. Many of the laboratory prototype applications presented in Sec. VII are operative with this type of nanocomposite material.

D. Fragmentation channels and reaction paths

Although a variety of impressive applications has been brought about by gas-assisted FEB and FIB processes as discussed in Sec. VII, there is, from a scientific point of view, an important lack in understanding of the beam induced chemistry. On the one hand there is a deep fundamental insight into electron stimulated chemistry in adsorbed molecules (and even more in the gas phase). This knowledge is however very specific with respect to very few molecules and experimental conditions, which are highly idealized (ultrahigh vacuum, crystallographic and clean surfaces, and low-energy electron beams below 100 eV). On the other hand there are gas-assisted FEB and FIB processes, which mostly proceed above 1 keV incident energy (involving a secondary low-energy spectrum due to interaction), perform on surfaces being continuously deposited or etched, and operate with local pressures far from ultrahigh vacuum. There is some similarity to chemical vapor deposition (CVD); however, there is a major difference: classical low pressure or atmospheric pressure chemical vapor deposition operates at temperatures above the thermal decomposition temperature of the precursor molecule in order to guarantee rapid desorption of ligands or other undesired fragments. The temperature range of FEBIP and FIBIP is often such that dissociation fragments are irreversibly adsorbed and immobile. Furthermore, the pressure range is low so that there is no equilibrium between gas and solid phase. Hence, the question is

how can the concepts of surface science and CVD help to understand the gas-assisted FEB and FIB process? This is a challenge for scientists from all fields. One step forward into this direction has been done by using ultrahigh vacuum FEB setups, which allow operating under well defined atmospheres (mainly no residual H₂O partial pressure, see Secs. II C 2, II D 1, and V A 1). Another step has been done in using low-energy beams (although less focused), which are in the energy range of the secondary electron emitted spectrum.

We feel that work in this direction is about to start in a systematic way, which will lead to a deeper understanding of the mechanism the gas-assisted FEB and FIB processes rely on. Probably, this will be in detail very much molecule dependent but will result in future in a general understanding improving the purity of the deposits, the resolution, and also the throughput of the process.

E. Resolution

The best lateral resolutions are reported for aspect ratio structures below or near 1. sub-5-nm resolution of low-aspect-ratio deposits by FEB on bulk Si was demonstrated in a (high resolution) SEM.²⁴³ The current world record is a 0.72 nm small deposit (full width at half maximum) obtained on a membrane in a scanning transmission electron microscope.⁹² Deposition at this scale was found to be determined by a random process: deposits do not exactly nucleate at the irradiated spot. The possibility to deposit one single molecule using the *molecule* limited regime and an *in situ* feedback control signal was suggested.⁵⁶¹ MC simulations also suggest that 1 nm deposits could be obtained on bulk substrates.²³⁷

For high-aspect-ratio (>1) deposition, the generation of secondary electrons (along the scatter trajectories of incident electrons) exiting the deposit volume leads to pillar diameters in the order of 5–20 nm (for coaxial exposure) according to simulations performed in the electron-limited regime. Experimentally, best pillar diameters obtained are around 50–80 nm and best apex diameters were around 10 nm. Depositing freestanding rods by moving the electron beam laterally in one direction from the sample's edge into empty space produced at best 5 nm rod and apex diameters. Presently, the best etch resolutions were in the order of 10–40 nm for metal membranes as discussed in Sec. VII A. Generally, gas-assisted FIB has a lower resolution than FEB. Apart from the larger FIB diameter, we attribute this to the molecule-limited regime in the central beam irradiated region.

F. Models

Models for process rates as well as for deposit and etch shapes can be classified into continuum models and Monte Carlo simulations (or a mixture of both). The beauty of Monte Carlo models is that incident and secondary electrons or incident ions and cascade ions can be traced anywhere in space with their remaining energy. Some assumptions and

limits with regard to secondary electron generation were discussed in Sec. II A. Things become really complicated when the interaction with adsorbed molecules must be described quantitatively. First, it is difficult to decide which dissociation channel (see Sec. II B) is responsible for deposition and, second, in most cases data on the related cross section are missing. A pragmatic approach is followed, which takes available data from the gas phase. There is also a lack of data for the surface diffusion coefficients and residence times. As a consequence, the simulations should not be overinterpreted in terms of generality or quantity. However, relative trends in three dimensional shape evolutions can be qualitatively predicted. Continuum models have the beauty of simplicity (without being oversimplifying). Their applicability limit is near the 1 nm scale where matter starts to behave discontinuously. Simple, low-aspect geometries can be treated reliably. They allow for easy implementation of exposure parameters (dwell time, refresh time, electron/ion flux, beam profile, etc.) and are very useful in determining unknown parameters such as the deposition (or etch) cross section and the process yield (both at a given incident energy), molecule adsorption, desorption, and diffusion. General scaling laws could be predicted as well as the classification into the three process regimes performed (see Sec. III).

For the future, we would like to suggest that any simulation or calculation should be carefully checked against real experiments and that underlying assumptions together with their consequences should be stated. This is not always easy since gas-assisted FEB and FIB deposition and etching comprises many physicochemical processes.

G. Fundamental issues

Although many FIB and FEB fabrication techniques have been developed and the fundamental aspects of the processes have been studied, a number of scientific as well as technological challenges still remain.

- (1) For many of the precursor molecules, the irradiation chemistry is unknown. This includes dissociation channels, polymerization channels, and intermediate production. Since FEB and FIB interaction with matter produces an energy spectrum, these reactions can also occur simultaneously.
- (2) For many precursor gases the role of surface kinetics (adsorption, desorption, and diffusion) is not understood nor characterized. Dissociative adsorption with ligand transfer to the substrate might play a dominant role in deposition reactions.
- (3) The role of high-energy beams or focused low-energy beams has been explored only to a limited degree.
- (4) The role of possible gas phase reactions and charging effects has been explored only to a limited degree.
- (5) The role of substrate temperature has also only been exploited in a couple of instances.

The fundamental understanding and the potential of gas-assisted FEB and FIB induced deposition and etching would be greatly improved starting investigations of these issues.

H. Future prospects

There are two limitations to the practical applications of ion beam and electron beam direct processing, which are implicit in what we have discussed so far: (a) because of the particle substrate interaction, the dimensions of high-aspect-ratio structures one can fabricate are larger than the beam diameter, and (b) because the fabrication by the beams is serial, the time needed to fabricate any structures is long and mass-production-type applications are impractical. There are two recent developments which may to some degree overcome these limitations.

1. Helium ion beam

Although the gas field ion source mentioned in Table II has been studied for many years and impressive performance has been demonstrated, its operation at cryogenic temperatures has always been difficult, and no practical helium ion column had been developed. A few years ago Alis Corp. (now part of Carl Zeiss SMT) in Peabody, MA announced a helium ion beam system with imaging capabilities superior to that of a scanning electron microscope. In this ion source the ions are emitted from a single tungsten atom of an oriented single crystal tip, thus enabling a subnanometer beam diameter.^{40,562,563}

The ion/substrate interaction of a helium ion can be thought of as intermediate between that of the electron and the heavier Ga⁺ ion. It also loses energy mainly to the electrons in the substrate and does not produce collision cascades of the kind produced by gallium ions except near the end of its travel when it has lost most of its energy. Because of the vast mass difference between a helium ion and an electron, one may speculate that the area from which secondary electrons are emitted in the case of the helium ions is smaller than the area from which secondary electrons are emitted when an electron beam is incident. Moreover, the secondary electron yield of the ions is between 3 and 10 for most materials and is higher than that of electrons.⁴⁰ The nanofabrication potential of He ions has, to our knowledge, not been explored. However, beam induced deposition has been observed with a broad 5 keV He ion beam.⁵⁷ The net deposition yield (dissociation yield minus sputter yield) from dimethylgold hexafluoroacetylacetonate was 0.24, while the sputter yield was 0.15. For heavier ions, for example, krypton (close in mass to Ga), these numbers are 2.8 and 9.3 at 5 keV. Even just sputtering data for He ions are rare. Sputter yield of Ag at 10 keV is 0.4 for He ions compared to 14.8 for Kr ions.⁶⁸ Clearly sputtering and adsorbate dissociation do occur and there may be reason to expect that the surface/ion interaction may be more localized and therefore the fabricated structures may be closer to the beam diameter.

2. Projection maskless patterning

Ion projection lithography was actively considered and developed as one of the “next generation lithographies” to replace optical lithography for chip manufacturing. In this technology a broad beam of ions back illuminates a stencil

mask, and the image of the mask is demagnified by an ion optical column onto the substrate to expose resist.⁵⁶⁴ For a review of this topic, see Ref. 565.

A potentially useful outgrowth from the ion projection lithography has recently been demonstrated.⁵⁶⁶ The stencil mask is replaced by a programable aperture mask, which produces a large number of beamlets each of which can be turned on or off. The image of this aperture mask is demagnified by 200× onto the sample. Thus 3.5 μm mask openings produce 17.5 nm spots on the sample. For 10 keV argon ions the total maximum current is quoted as 90 nA and is limited by ion-ion repulsion. The beamlets are turned on and off in a programmed fashion so as to produce the desired pattern as the wafer moves under the beam—sort of like an ion beam dot matrix printer. To produce a comparable dimension spot with a FIB system, the current in a single beam would have to be two to three orders of magnitude smaller. Thus the throughput in fabricating a given pattern with the multibeam system would be 100 to 1000 times higher. At higher beam energies and with lighter ions, a higher total current can be passed down the column before space charge effects come into play. For example, an earlier analysis of multibeam writing suggests that the total current of 3 μA can be used. For electrons, of course, the current can be even higher.⁵⁶⁷

Projection maskless patterning, if developed, may well make many of the electron and ion beam fabrication processes we have discussed usable in commercial production of nanodevices.

ACKNOWLEDGMENTS

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APPENDIX A: RANGES OF ELECTRONS AND IONS

Typical ranges of interaction and distributions will be presented via analytical expressions and/or Monte Carlo simulations. Although the analytical expressions are often referred to as gray numbers,⁵³ they allow for a more physical understanding of the scaling of the excitation volume with respect to incident energy and target composition (elements).

Due to the energy loss electrons and ions are decelerated.

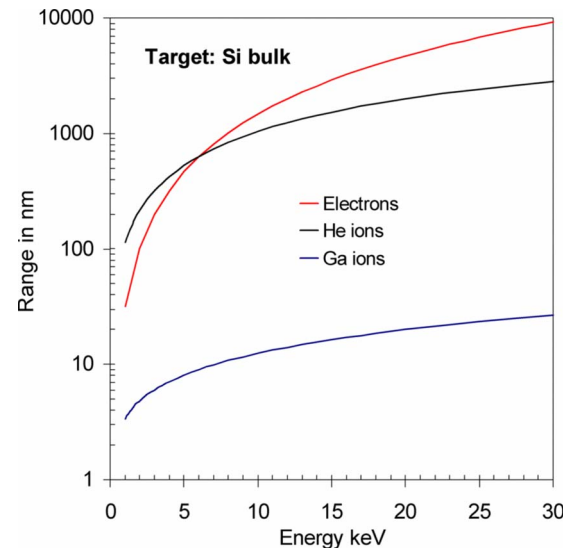


FIG. A1. Electron range R_E [Eq. (A1)] and projected ranges of ions in bulk silicon according to SRIM (Ref. 73).

Electrons come to rest within a volume, the dimension of which can be estimated by the parametrized Kanaya–Okayama electron range R_E , (see also Fig. A1),⁵⁶⁸

$$R_E = 2.76 \times 10^{-4} \frac{M(\text{g/mol})E^{5/3}(\text{eV})}{Z^{8/9}\rho(\text{g/cm}^3)} \quad (\text{nm}). \quad (\text{A1})$$

This equation shows a good fit with experimental data down to 5 keV. For lower energies a dependence $R_E(E) \sim E^{1.3}$ was found.⁵⁶⁹ For bulk silicon the electron range is presented in Fig. A1 and extends from approximately 30 nm at 1 keV to 10 μm for 30 keV. Ranges of ions depend heavily on the ion/target elements. Generally, Ga ions have up to two to three orders of magnitude smaller range than electrons or low mass ions such as He.

For the maximum depth of x-ray generation, Eq. (A1) can be adapted by introducing a reduced energy term $(E - E_x)^{5/3}$, where E_x represents the specific energy to generate an x-ray photon in a given material. This energy ranges approximately between 0.5 and 10 keV for *K*, *L*, and *M* shell transitions.

An analytical expression for lateral electron straggling is known as electron beam broadening due to forward scattering and given in parametrized form as⁴⁵

$$x_{\text{rms}}(\text{nm}) = 3.32 \left(\frac{\rho(\text{g/cm}^3)}{M(\text{g/mol})} \right)^{1/2} \frac{Z}{E(\text{eV})} t(\text{nm})^{3/2}, \quad (\text{A2})$$

with t as the film thickness. This analytical expression holds within the first 25 collisions.

The dimension of the exit surface of backscattered electrons can be characterized by the radius R_{BSE} ,⁵⁶⁸

$$R_{\text{BSE}} = 1.1R_E\gamma/(1 + \gamma), \quad (\text{A3})$$

with $\gamma=0.19Z^{2/3}$ and R_E as defined in Eq. (A1).

A unique formula for the range of a given ion species impinging on a target can not be found in literature.⁶⁸ Instead Monte Carlo range algorithms^{80,570} are frequently applied to calculate ranges (see Fig. A1).

$$\frac{dE}{ds} = \begin{cases} \frac{2\pi e_0^4 N_A \rho Z}{(4\pi\epsilon_0)^2 M E} \ln\left(\frac{e^{1/2}(E+0.85J)}{2^{1/2} J}\right) \\ 7.85 \times 10^3 \frac{\rho(\text{g/cm}^3)Z}{M(\text{g/mol})E(\text{eV})} \ln\left(1.166 \frac{(E(\text{eV})+0.85J(\text{eV}))}{J(\text{eV})}\right) \end{cases} \quad (\text{eV/nm}), \quad (\text{B1})$$

where Z is the atomic number, M is the molar mass, ρ is the density, N_A is Avogadro's number, J is the mean ionization potential $J=(9.76Z+58.5Z^{-0.19})$ eV, $\epsilon_0=8.85 \times 10^{-12}$ A s/V m, and $e_0=1.6 \times 10^{-19}$ A s. The total stopping power $S(E)$ is obtained from the energy loss via the atomic density of the target $N=N_A\rho/M$, $dE/ds=N \cdot S(E)$.

For a compound A_mB_n an average atomic number $Z_{av}=(mZ_A+nZ_B)^{1/(n+m)}$ is proposed to calculate its stopping power.⁸⁷

The analytical expressions for the electronic and nuclear stopping powers of ions are given in terms of the reduced energy ϵ (E in eV),

$$\epsilon = \frac{0.03255}{Z_1 Z_2 (Z_1^{2/3} + Z_2^{2/3})^{1/2}} \frac{M_2}{M_1 + M_2} E, \quad (\text{B2a})$$

where Z_1 and M_1 are the atomic number and mass of the ion and Z_2 and M_2 similarly for the target atoms. The nuclear stopping power is given by^{133,160,161} and references therein,

$$S_n(E) = \frac{84.78Z_1Z_2}{(Z_1^{2/3} + Z_2^{2/3})^{1/2}} \frac{M_1}{M_1 + M_2} s_n(\epsilon) \quad (\text{eV } \text{\AA}^2), \quad (\text{B2b})$$

where

$$s_n(\epsilon) = \frac{3.441\epsilon^{1/2} \ln(\epsilon + 2.718)}{1 + 6.355\epsilon^{1/2} + \epsilon(6.882\epsilon^{1/2} - 1.708)}. \quad (\text{B2c})$$

The electronic stopping power is given by

$$S_e(E) = k\epsilon^{1/2}. \quad (\text{B2d})$$

Which energy loss mechanism dominates depends on the mass of the ion. In Fig. B1 the energy loss of electrons, Ga ions, and He ions in silicon are presented graphically. Ions are stopped orders of magnitude more efficiently than electrons with the same energy. Basically, for electrons the total stopping power $S(E) \sim \ln(E)/E$, and for ions the electronic stopping power $S_e(E) \sim E^{1/2}$ and the nuclear stopping power $S_n(E) \sim E^{1/2} \ln(E)/(E^{3/2} + E^{1/2} - E)$.

APPENDIX B: ELECTRON AND ION ENERGY LOSSES AND STOPPING POWERS

For electrons the total energy loss per trajectory path unit dE/ds can be calculated from the modified Bethe-loss formula for energies $0.05\text{keV} < E < 100\text{keV}$,⁸⁷

APPENDIX C: SKIRT DISTRIBUTION

The integrated radial skirt distribution due to gas phase scattering is given as⁴⁵

$$R(r) = \int_0^r 2\pi f_s(r) r dr / \int_0^\infty 2\pi f_s(r) r dr, \quad (\text{C1})$$

where $f_s(r)$ is the (MC-simulated) distribution of scattered electrons (or ions) arriving on the planar substrate after having passed the gas phase. Figure C1 shows such an integrated radial skirt distribution for a 20 keV electron beam (with zero diameter), which scattered when passing through a 1 mm thick gas phase of $\text{Co}_2(\text{CO})_8$ molecules at its vapor pressure of 0.4 mbar. The scattered fraction amounts to 21% of the incident primary electrons. In comparison a Gaussian distribution having the same full width containing 50% of the scattered electrons is shown.

APPENDIX D: DEPOSITED ENERGY

There are different presentations of the energy deposited by focused incident electron or ion beams. Figure D1 shows

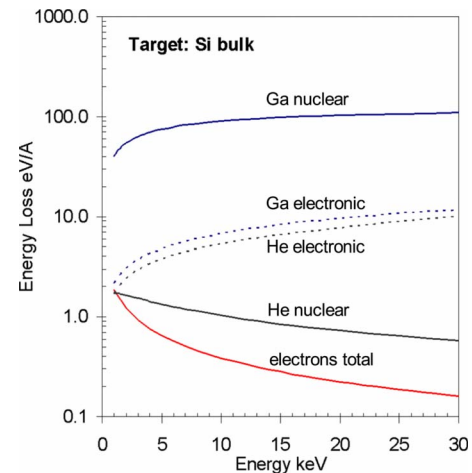


FIG. B1. Energy loss for electrons according to Eq. (B1) and nuclear/electronic losses of Ga and He ions according to SRIM (Ref. 570).

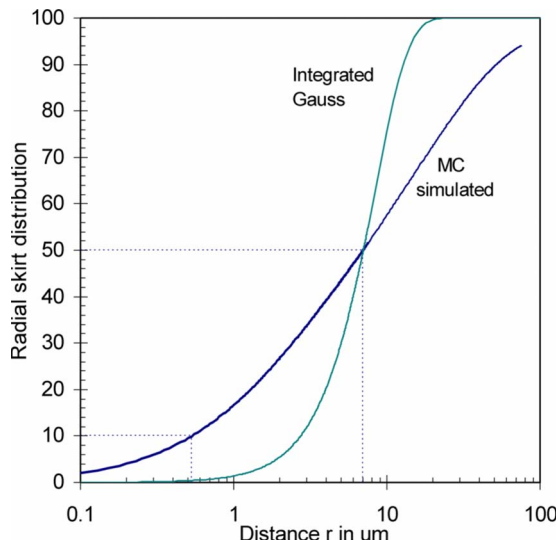


FIG. C1. Integrated radial distribution of scattered electrons incident on the substrate from a 20 keV zero diameter beam passing through 1 mm $\text{Co}_2(\text{CO})_8$ at $P=0.4$ mbar obtained from MC simulations (Ref. 81). The curve approaches 100% at larger distances. The scattered fraction is 21% of the PE. In comparison a Gaussian with the same FW50 is shown.

the radial energy distribution in a planar bulk Si substrate caused by an incident 20 keV electron beam with zero diameter, which can be used as input for the heat term $H(r, z)$ in Eq. (2.15).

The energy distribution with depth $H(z)$ is obtained by integrating $H(r, z)$ over r , thus representing all the deposited energies in a plane slice at depth z (changing the unit to eV/nm). Figure D2 shows a comparison between electrons and the ions Ga and He. For $H(z)$ parametrized expressions for the depth position of the lost energy maximum were established as a function of incident electron energy and material,⁵⁶⁸

$$z_E = \frac{R_E}{2} \left(1 - \left(\frac{1.1\gamma}{1 + \gamma} \right)^2 \right), \quad (\text{D1})$$

with $\gamma=0.19Z^{2/3}$ and R_E defined as in Eq. (A1). Equation (D1) gives a 20% agreement with MC simulations at low

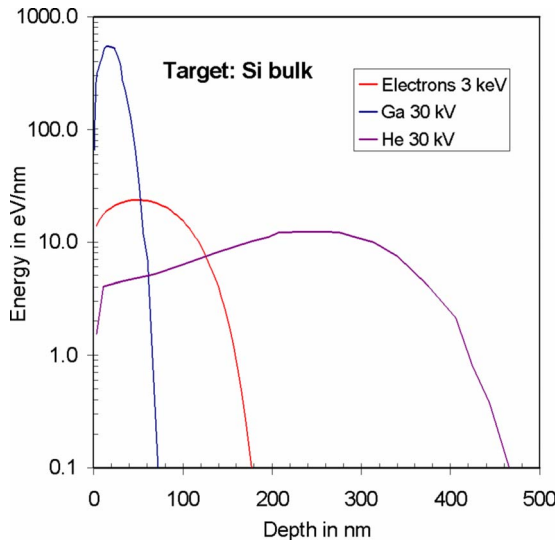


FIG. D2. MC simulation of dissipated energy $H(z)$ for 3 keV electrons (Ref. 81) and 30 keV Ga and He ions [SRIM (Ref. 73)]. Note the differences in energy and depth for the two ion species.

energy and 5% agreement at 25 keV. An analytic expression for the deposited electron energy as a function of target depth is given in Ref. 569. As was the case for ion ranges, Monte Carlo simulations are frequently applied to calculate the distribution of deposited ion energy in the target (see Fig. D2).

APPENDIX E: THE DIFFUSION PARAMETER C_2 ²¹⁹

The diffusion contribution C_2 in Eq. (3.5) is given²¹⁹

$$C_2 = K_1(\tilde{\rho}_{out}^{-1}) \frac{1 - \tilde{\tau}^{-1}}{I_0(\tilde{\rho}_{in}^{-1})K_1(\tilde{\rho}_{out}^{-1}) + \tilde{\tau}I_1(\tilde{\rho}_{in}^{-1})K_0(\tilde{\rho}_{out}^{-1})}, \quad (\text{E1})$$

where K_n and I_n are modified Bessel functions and $\tilde{\rho} = 2\rho/\text{FWHM}_B$ represents the diffusion lengths normalized to the FWHM of $f(r)$. The surface diffusion length of molecules outside the irradiated area is defined as $\rho_{out} = (D\tau_{out})^{1/2}$ and at the beam center $\rho_{in} = (D\tau_{in})^{1/2}$. The dimensionless depletion is defined as $\tilde{\tau} = \tau_{out}/\tau_{in}$, i.e., as the ratio of the effective residence times outside the irradiated area and in the irradiated area.

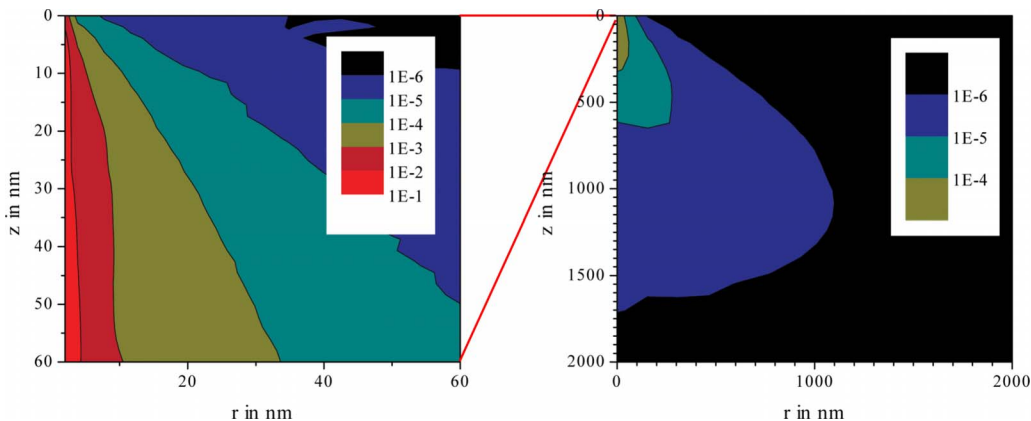


FIG. D1. MC simulation (Ref. 81) of the deposited energy density distribution in units of $\text{eV}/\text{nm}^3/\text{PE}$ into a Si bulk substrate by a 20 keV electron beam with zero tilt and diameter. The electron beam incidence is at $r=z=0$. The left hand side of the figure represents a zoom into the vicinity of the incident electron beam.

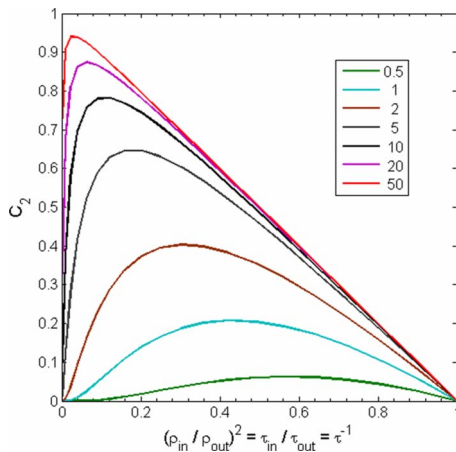


FIG. E1. Plot of C_2 vs the inverse of irradiative depletion ($\bar{\tau}^{-1}$) according to Eq. (E1). The legend shows values of the normalized diffusion path outside the irradiated area: $2\rho_{\text{out}}/\text{FWHM}_B$.

tion center, respectively (see Sec. III A). The dependence $C_2(\bar{\tau}, \bar{\rho}_{\text{out}})$ is shown in Fig. E1. The maximum of the diffusion contribution C_2 increases with mobility of the molecules (longer diffusion path).

The diffusion contribution tends to zero for very high depletions ($\bar{\tau}^{-1} \rightarrow 0$) since the molecules are dissociated and fixed at very high rate leaving no time for diffusion. It also tends to zero at zero depletion ($\bar{\tau}^{-1} \rightarrow 1$) since there is no concentration gradient between the irradiated area and the surrounding. Furthermore, the diffusion contribution stays weak when the average diffusion path of the molecules on the surface is low compared to the beam size.

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